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HOUSE BILL No. 5928

March 30, 2006, Introduced by Reps. Byrnes, Tobocman, Accavitti, Meisner, Leland, Kolb, Anderson, Mortimer, Sheltrown, Alma Smith, Vagnozzi, Donigan, Plakas, Farrah, Gleason, Cushingberry and Zelenko and referred to the Committee on Health Policy.

A bill to amend 1974 PA 258, entitled "Mental health code,"

by amending sections 754 and 755 (MCL 330.1754 and 330.1755), section 754 as amended and section 755 as added by 1995 PA 290.

THE PEOPLE OF THE STATE OF MICHIGAN ENACT:

- Sec. 754. (1) The department shall establish a state office of recipient rights subordinate only to the director of the department.
 - (2) The department shall ensure all of the following:
 - (a) The process for funding the state office of recipient rights includes a review of the funding by the state recipient rights advisory committee.
 - (b) The state office of recipient rights will be protected

- 1 from pressures that could interfere with the impartial, even-
- 2 handed, and thorough performance of its duties.
- 3 (c) The state office of recipient rights will have unimpeded
- 4 access to all of the following:
- 5 (i) All programs and services operated by or under contract
- 6 with the department except where other recipient rights systems
- 7 authorized by this act exist.
- 8 (ii) All staff employed by or under contract with the
- 9 department.
- 10 (iii) All evidence necessary to conduct a thorough investigation
- 11 or to fulfill its monitoring function.
- 12 (d) Staff of the state office of recipient rights receive
- 13 training each year in recipient rights protection.
- 14 (e) Each contract between the department and a provider
- 15 requires both of the following:
- 16 (i) That the provider and his or her employees receive annual
- 17 training in recipient rights protection.
- 18 (ii) That recipients will be protected from rights violations
- 19 while they are receiving services under the contract.
- 20 (f) Technical assistance and training in recipient rights
- 21 protection are available to all community mental health services
- 22 programs and other mental health service providers subject to this
- 23 act.
- 24 (3) The department shall endeavor to ensure all of the
- 25 following:
- (a) The state office of recipient rights has sufficient staff
- 27 and other resources necessary to perform the duties described in

- 1 this section.
- 2 (b) Complainants, staff of the state office of recipient
- 3 rights, and any staff acting on behalf of a recipient will be
- 4 protected from harassment or retaliation resulting from recipient
- 5 rights activities.
- 6 (c) Appropriate remedial action is taken to resolve violations
- 7 of rights and notify the complainants of substantiated violations
- 8 in a manner that does not violate employee rights.
- **9** (4) After consulting with the state recipient rights advisory
- 10 committee, the director of the department shall select a director
- 11 of the state office of recipient rights who has the education,
- 12 training, and experience to fulfill the responsibilities of the
- 13 office. The director of the department shall not replace or dismiss
- 14 the director of the state office of recipient rights without first
- 15 consulting the state recipient rights advisory committee. The
- 16 director of the state office of recipient rights shall have no
- 17 direct service responsibility.
- 18 (5) The state office of recipient rights may do all of the
- 19 following:
- 20 (a) Investigate apparent or suspected violations of the rights
- 21 guaranteed by this chapter.
- 22 (b) Resolve disputes relating to violations.
- 23 (c) Act on behalf of recipients to obtain appropriate remedies
- 24 for any apparent violations.
- 25 (d) Apply for and receive grants, gifts, and bequests —in
- 26 order to effectuate any purpose of this chapter.
- 27 (6) The state office of recipient rights shall do all of the

- 1 following:
- 2 (a) Ensure that recipients, parents of minor recipients, and
- 3 guardians or other legal representatives have access to summaries
- 4 of the rights guaranteed by this chapter and chapter 7a and are
- 5 notified of those rights in an understandable manner, both at the
- 6 time services are requested and periodically during the time
- 7 services are provided to the recipient.
- 8 (b) Ensure that the telephone number and address of the office
- 9 of recipient rights and the names of rights officers are
- 10 conspicuously posted in all service sites.
- 11 (c) Maintain a record system for all reports of apparent or
- 12 suspected rights violations received, including a mechanism for
- 13 logging in all complaints and a mechanism for secure storage of all
- 14 investigative documents and evidence.
- 15 (d) Initiate actions that are appropriate and necessary to
- 16 safeguard and protect rights guaranteed by this chapter to
- 17 recipients of services provided directly by the department or by
- 18 its contract providers other than community mental health services
- 19 programs.
- 20 (e) Receive reports of apparent or suspected violations of
- 21 rights guaranteed by this chapter. The state office of recipient
- 22 rights shall refer reports of apparent or suspected rights
- 23 violations to the recipient rights office of the appropriate
- 24 provider to be addressed by the provider's internal rights
- 25 protection mechanisms. The state office shall intervene as
- 26 necessary to act on behalf of recipients in situations in which the
- 27 director of the department considers the rights protection system

- 1 of the provider to be out of compliance with this act and rules
- 2 promulgated under this act.
- 3 (f) Upon request, advise recipients of the process by which a
- 4 rights complaint or appeal may be made and assist recipients in
- 5 preparing written rights complaints and appeals.
- **6** (g) Advise recipients that there are advocacy organizations
- 7 available to assist recipients in preparing written rights
- 8 complaints and appeals and offer to refer recipients to those
- 9 organizations.
- 10 (h) Upon receipt of a complaint, advise the complainant of the
- 11 complaint process, appeal process, and mediation option.
- 12 (i) Ensure that each service site operated by the department
- 13 or by a provider under contract with the department, other than a
- 14 community mental health services program, is visited by recipient
- 15 rights staff with the frequency necessary for protection of rights
- 16 but in no case less than annually.
- 17 (j) Ensure that all individuals employed by the department
- 18 receive department-approved training related to recipient rights
- 19 protection before or within 30 days after being employed.
- 20 (k) Ensure that all reports of apparent or suspected
- 21 violations of rights within state facilities or programs operated
- 22 by providers under contract with the department other than
- 23 community mental health services programs are investigated in
- 24 accordance with section 778 and that those reports that do not
- 25 warrant investigation are recorded in accordance with subdivision
- **26** (c).
- 27 (l) Review semiannual statistical rights data submitted by

- 1 community mental health services programs and licensed hospitals to
- 2 determine trends and patterns in the protection of recipient rights
- 3 in the public mental health system and provide a summary of the
- 4 data to community mental health services programs and to the
- 5 director of the department.
- 6 (m) Serve as consultant to the director in matters related to
- 7 recipient rights.
- 8 (n) At least quarterly, provide summary complaint data
- 9 consistent with the annual report required in subdivision (o),
- 10 together with a summary of remedial action taken on substantiated
- 11 complaints, to the department and the state recipient rights
- 12 advisory committee.
- 13 (o) Submit to the director of the department and to the
- 14 committees and subcommittees of the legislature with legislative
- 15 oversight of mental health matters, for availability to the public,
- 16 an annual report on the current status of recipient rights for the
- 17 state. The report shall be submitted not later than March 31 of
- 18 each year for the preceding fiscal year. The annual report shall
- 19 include, at a minimum, all of the following:
- 20 (i) Summary data by type or category regarding the rights of
- 21 recipients receiving services from the department including the
- 22 number of complaints received by **EACH** state facility and other
- 23 state-operated placement agency, the number of reports filed, and
- 24 the number of reports investigated.
- 25 (ii) The number of substantiated rights violations by category
- 26 and by state facility.
- 27 (iii) The remedial actions taken on substantiated rights

- 1 violations by category and by state facility.
- 2 (iv) Training received by staff of the state office of
- 3 recipient rights.
- 4 (v) Training provided by the state office of recipient rights
- 5 to staff of contract providers.
- (vi) Outcomes of assessments of the recipient rights system of
- 7 each community mental health services program.
- 8 (vii) Identification of patterns and trends in rights
- 9 protection in the public mental health system in this state.
- 10 (viii) Review of budgetary issues including staffing and
- 11 financial resources.
- 12 (ix) Summary of the results of any consumer satisfaction
- 13 surveys conducted.
- 14 (x) Recommendations to the department.
- 15 (P) ANNUALLY SURVEY A RANDOMLY SELECTED SAMPLE OF APPLICANTS
- 16 WHOSE NAMES AND CONTACT INFORMATION HAVE BEEN PROVIDED UNDER
- 17 SECTION 755(7). THE OFFICE SHALL USE THE SURVEY TO DO ALL OF THE
- 18 FOLLOWING:
- 19 (i) DEVELOP STATEWIDE BASELINE DATA ON APPLICANTS DENIED MENTAL
- 20 HEALTH SERVICE.
- 21 (ii) DETERMINE TRENDS AND PATTERNS RELATED TO MENTAL HEALTH
- 22 SERVICE DENIALS.
- 23 (iii) IDENTIFY SPECIFIC CIRCUMSTANCES THAT MAY WARRANT
- 24 INVESTIGATION OF POSSIBLE RIGHTS VIOLATIONS.
- 25 (Q) -(p) Provide education and training to its recipient
- 26 rights advisory committee and its recipient rights appeals
- 27 committee.

- 1 Sec. 755. (1) Each community mental health services program
- 2 and each licensed hospital shall establish an office of recipient
- 3 rights subordinate only to the executive director or hospital
- 4 director.
- 5 (2) Each community mental health services program and each
- 6 licensed hospital shall ensure all of the following:
- 7 (a) Education and training in recipient rights policies and
- 8 procedures are provided to its recipient rights advisory committee
- 9 and its recipient rights appeals committee.
- 10 (b) The process for funding the office of recipient rights
- 11 includes a review of the funding by the recipient rights advisory
- 12 committee.
- 13 (c) The office of recipient rights will be protected from
- 14 pressures that could interfere with the impartial, even-handed, and
- 15 thorough performance of its duties.
- 16 (d) The office of recipient rights will have unimpeded access
- 17 to all of the following:
- 18 (i) All programs and services operated by or under contract
- 19 with the community mental health services program or licensed
- 20 hospital.
- (ii) All staff employed by or under contract with the community
- 22 mental health services program or licensed hospital.
- 23 (iii) All evidence necessary to conduct a thorough investigation
- 24 or to fulfill its monitoring function.
- 25 (e) Staff of the office of recipient rights receive training
- 26 each year in recipient rights protection.
- 27 (f) Each contract between the community mental health services

- 1 program or licensed hospital and a provider requires both of the
- 2 following:
- 3 (i) That the provider and his or her employees receive
- 4 recipient rights training.
- 5 (ii) That recipients will be protected from rights violations
- 6 while they are receiving services under the contract.
- 7 (3) Each community mental health services program and each
- 8 licensed hospital shall endeavor to ensure all of the following:
- 9 (a) Complainants, staff of the office of recipient rights, and
- 10 any staff acting on behalf of a recipient will be protected from
- 11 harassment or retaliation resulting from recipient rights
- 12 activities and that appropriate disciplinary action will be taken
- 13 if there is evidence of harassment or retaliation.
- 14 (b) Appropriate remedial action is taken to resolve violations
- 15 of rights and -notify- the complainants ARE NOTIFIED of
- 16 substantiated violations in a manner that does not violate employee
- 17 rights.
- 18 (4) The executive director or hospital director shall select a
- 19 director of the office of recipient rights who has the education,
- 20 training, and experience to fulfill the responsibilities of the
- 21 office. The executive director shall not select, replace, or
- 22 dismiss the director of the office of recipient rights without
- 23 first consulting the recipient rights advisory committee. The
- 24 director of the office of recipient rights shall have no direct
- 25 clinical service responsibility.
- 26 (5) Each office of recipient rights established under this
- 27 section shall do all of the following:

- 1 (a) Provide or coordinate the protection of recipient rights
- 2 for all directly operated or contracted services.
- 3 (b) Ensure that recipients, parents of minor recipients, and
- 4 guardians or other legal representatives have access to summaries
- 5 of the rights guaranteed by this chapter and chapter 7a and are
- 6 notified of those rights in an understandable manner, both at the
- 7 time services are initiated and periodically during the time
- 8 services are provided to the recipient.
- 9 (c) Ensure that the telephone number and address of the office
- 10 of recipient rights and the names of rights officers are
- 11 conspicuously posted in all service sites.
- 12 (d) Maintain a record system for all reports of apparent or
- 13 suspected rights violations received within the community mental
- 14 health services program system or the licensed hospital system,
- 15 including a mechanism for logging in all complaints and a mechanism
- 16 for secure storage of all investigative documents and evidence.
- 17 (e) Ensure that each service site is visited with the
- 18 frequency necessary for protection of rights but in no case less
- 19 than annually.
- 20 (f) Ensure that all individuals employed by the community
- 21 mental health services program, contract agency, or licensed
- 22 hospital receive training related to recipient rights protection
- 23 before or within 30 days after being employed.
- 24 (g) Review the recipient rights policies and the rights system
- 25 of each provider of mental health services under contract with the
- 26 community mental health services program or licensed hospital to
- 27 ensure that the rights protection system of each provider is in

- 1 compliance with this act and is of a uniformly high standard.
- 2 (h) Serve as consultant to the executive director or hospital
- 3 director and to staff of the community mental health services
- 4 program or licensed hospital in matters related to recipient
- 5 rights.
- **6** (i) Ensure that all reports of apparent or suspected
- 7 violations of rights within the community mental health services
- 8 program system or licensed hospital system are investigated in
- 9 accordance with section 778 and that those reports that do not
- 10 warrant investigation are recorded in accordance with subdivision
- **11** (d).
- 12 (j) Semiannually provide summary complaint data consistent
- 13 with the annual report required in subsection (6), together with a
- 14 summary of remedial action taken on substantiated complaints by
- 15 category, to the department and to the recipient rights advisory
- 16 committee of the community mental health services program or
- 17 licensed hospital.
- 18 (6) The executive director or hospital director shall submit
- 19 to the board of the community mental health services program or the
- 20 governing board of the licensed hospital and the department an
- 21 annual report prepared by the office of recipient rights on the
- 22 current status of recipient rights in the community mental health
- 23 services program system or licensed hospital system and a review of
- 24 the operations of the office of recipient rights. The report shall
- 25 be submitted not later than December 30 of each year for the
- 26 preceding fiscal year or period specified in contract. The annual
- 27 report shall include, at a minimum, all of the following:

- 1 (a) Summary data by category regarding the rights of
- 2 recipients receiving services from the community mental health
- 3 services program or licensed hospital including complaints
- 4 received, the number of reports filed, and the number of reports
- 5 investigated by provider.
- **6** (b) The number of substantiated rights violations by category
- 7 and provider.
- 8 (c) The remedial actions taken on substantiated rights
- 9 violations by category and provider.
- 10 (d) Training received by staff of the office of recipient
- 11 rights.
- 12 (e) Training provided by the office of recipient rights to
- 13 contract providers.
- (f) Desired outcomes established for the office of recipient
- 15 rights and progress toward these outcomes.
- 16 (g) Recommendations to the community mental health services
- 17 program board or licensed hospital governing board.
- 18 (7) EACH COMMUNITY MENTAL HEALTH SERVICES PROGRAM AND EACH
- 19 LICENSED HOSPITAL SHALL ENDEAVOR TO OBTAIN NAMES OF AND CONTACT
- 20 INFORMATION FOR ALL MENTAL HEALTH SERVICE APPLICANTS. EACH
- 21 COMMUNITY MENTAL HEALTH SERVICES PROGRAM AND EACH LICENSED HOSPITAL
- 22 SHALL SUBMIT TO THE STATE OFFICE OF RECIPIENT RIGHTS THE NAMES OF,
- 23 AND CONTACT INFORMATION FOR, APPLICANTS WHO HAVE BEEN DENIED MENTAL
- 24 HEALTH SERVICE. FOR PURPOSES OF THIS SUBSECTION, AN "APPLICANT"
- 25 INCLUDES, BUT IS NOT LIMITED TO, A LEGALLY COMPETENT ADULT WHO HAS
- 26 NO MEDICAL GUARDIAN, A PARENT SEEKING SERVICE FOR HIS OR HER MINOR
- 27 CHILD, OR A GUARDIAN WITH AUTHORITY TO MAKE MEDICAL DECISIONS ON

1 BEHALF OF HIS OR HER WARD.