

1 UPON ENACTMENT OF CONCURRENT LEGISLATION BY THE RESPECTIVE STATE
2 LEGISLATURES AND CONSENT BY THE CONGRESS OF THE UNITED STATES AS
3 FOLLOWS:

4 GREAT LAKES-ST. LAWRENCE RIVER BASIN WATER RESOURCES

5 COMPACT

6 ARTICLE 1

7 SHORT TITLE, DEFINITIONS, PURPOSES AND DURATION

8 SECTION 1.1. SHORT TITLE. THIS ACT SHALL BE KNOWN AND MAY BE
9 CITED AS THE "GREAT LAKES-ST. LAWRENCE RIVER BASIN WATER RESOURCES
10 COMPACT."

11 SECTION 1.2. DEFINITIONS. FOR THE PURPOSES OF THIS COMPACT,
12 AND OF ANY SUPPLEMENTAL OR CONCURRING LEGISLATION ENACTED PURSUANT
13 THERETO, EXCEPT AS MAY BE OTHERWISE REQUIRED BY THE CONTEXT:

14 ADAPTIVE MANAGEMENT MEANS A WATER RESOURCES MANAGEMENT SYSTEM
15 THAT PROVIDES A SYSTEMATIC PROCESS FOR EVALUATION, MONITORING AND
16 LEARNING FROM THE OUTCOMES OF OPERATIONAL PROGRAMS AND ADJUSTMENT
17 OF POLICIES, PLANS AND PROGRAMS BASED ON EXPERIENCE AND THE
18 EVOLUTION OF SCIENTIFIC KNOWLEDGE CONCERNING WATER RESOURCES AND
19 WATER DEPENDENT NATURAL RESOURCES.

20 AGREEMENT MEANS THE GREAT LAKES-ST. LAWRENCE RIVER BASIN
21 SUSTAINABLE WATER RESOURCES AGREEMENT.

22 APPLICANT MEANS A PERSON WHO IS REQUIRED TO SUBMIT A PROPOSAL
23 THAT IS SUBJECT TO MANAGEMENT AND REGULATION UNDER THIS COMPACT.
24 APPLICATION HAS A CORRESPONDING MEANING.

25 BASIN OR GREAT LAKES-ST. LAWRENCE RIVER BASIN MEANS THE
26 WATERSHED OF THE GREAT LAKES AND THE ST. LAWRENCE RIVER UPSTREAM
27 FROM TROIS-RIVIÈRES, QUÉBEC WITHIN THE JURISDICTION OF THE PARTIES.

1 BASIN ECOSYSTEM OR GREAT LAKES-ST. LAWRENCE RIVER BASIN
2 ECOSYSTEM MEANS THE INTERACTING COMPONENTS OF AIR, LAND, WATER AND
3 LIVING ORGANISMS, INCLUDING HUMANKIND, WITHIN THE BASIN.

4 COMMUNITY WITHIN A STRADDLING COUNTY MEANS ANY INCORPORATED
5 CITY, TOWN OR THE EQUIVALENT THEREOF, THAT IS LOCATED OUTSIDE THE
6 BASIN BUT WHOLLY WITHIN A COUNTY THAT LIES PARTLY WITHIN THE BASIN
7 AND THAT IS NOT A STRADDLING COMMUNITY.

8 COMPACT MEANS THIS COMPACT.

9 CONSUMPTIVE USE MEANS THAT PORTION OF THE WATER WITHDRAWN OR
10 WITHHELD FROM THE BASIN THAT IS LOST OR OTHERWISE NOT RETURNED TO
11 THE BASIN DUE TO EVAPORATION, INCORPORATION INTO PRODUCTS, OR OTHER
12 PROCESSES.

13 COUNCIL MEANS THE GREAT LAKES-ST. LAWRENCE RIVER BASIN WATER
14 RESOURCES COUNCIL, CREATED BY THIS COMPACT.

15 COUNCIL REVIEW MEANS THE COLLECTIVE REVIEW BY THE COUNCIL
16 MEMBERS AS DESCRIBED IN ARTICLE 4 OF THIS COMPACT.

17 COUNTY MEANS THE LARGEST TERRITORIAL DIVISION FOR LOCAL
18 GOVERNMENT IN A STATE. THE COUNTY BOUNDARIES SHALL BE DEFINED AS
19 THOSE BOUNDARIES THAT EXIST AS OF DECEMBER 13, 2005.

20 CUMULATIVE IMPACTS MEAN THE IMPACT ON THE BASIN ECOSYSTEM THAT
21 RESULTS FROM INCREMENTAL EFFECTS OF ALL ASPECTS OF A WITHDRAWAL,
22 DIVERSION OR CONSUMPTIVE USE IN ADDITION TO OTHER PAST, PRESENT,
23 AND REASONABLY FORESEEABLE FUTURE WITHDRAWALS, DIVERSIONS AND
24 CONSUMPTIVE USES REGARDLESS OF WHO UNDERTAKES THE OTHER
25 WITHDRAWALS, DIVERSIONS AND CONSUMPTIVE USES. CUMULATIVE IMPACTS
26 CAN RESULT FROM INDIVIDUALLY MINOR BUT COLLECTIVELY SIGNIFICANT
27 WITHDRAWALS, DIVERSIONS AND CONSUMPTIVE USES TAKING PLACE OVER A

1 PERIOD OF TIME.

2 DECISION-MAKING STANDARD MEANS THE DECISION-MAKING STANDARD
3 ESTABLISHED BY SECTION 4.11 FOR PROPOSALS SUBJECT TO MANAGEMENT AND
4 REGULATION IN SECTION 4.10.

5 DIVERSION MEANS A TRANSFER OF WATER FROM THE BASIN INTO
6 ANOTHER WATERSHED, OR FROM THE WATERSHED OF ONE OF THE GREAT LAKES
7 INTO THAT OF ANOTHER BY ANY MEANS OF TRANSFER, INCLUDING BUT NOT
8 LIMITED TO A PIPELINE, CANAL, TUNNEL, AQUEDUCT, CHANNEL,
9 MODIFICATION OF THE DIRECTION OF A WATER COURSE, A TANKER SHIP,
10 TANKER TRUCK OR RAIL TANKER BUT DOES NOT APPLY TO WATER THAT IS
11 USED IN THE BASIN OR A GREAT LAKE WATERSHED TO MANUFACTURE OR
12 PRODUCE A PRODUCT THAT IS THEN TRANSFERRED OUT OF THE BASIN OR
13 WATERSHED. DIVERT HAS A CORRESPONDING MEANING.

14 ENVIRONMENTALLY SOUND AND ECONOMICALLY FEASIBLE WATER
15 CONSERVATION MEASURES MEAN THOSE MEASURES, METHODS, TECHNOLOGIES OR
16 PRACTICES FOR EFFICIENT WATER USE AND FOR REDUCTION OF WATER LOSS
17 AND WASTE OR FOR REDUCING A WITHDRAWAL, CONSUMPTIVE USE OR
18 DIVERSION THAT *i)* ARE ENVIRONMENTALLY SOUND, *ii)* REFLECT BEST
19 PRACTICES APPLICABLE TO THE WATER USE SECTOR, *iii)* ARE TECHNICALLY
20 FEASIBLE AND AVAILABLE, *iv)* ARE ECONOMICALLY FEASIBLE AND COST
21 EFFECTIVE BASED ON AN ANALYSIS THAT CONSIDERS DIRECT AND AVOIDED
22 ECONOMIC AND ENVIRONMENTAL COSTS AND *v)* CONSIDER THE PARTICULAR
23 FACILITIES AND PROCESSES INVOLVED, TAKING INTO ACCOUNT THE
24 ENVIRONMENTAL IMPACT, AGE OF EQUIPMENT AND FACILITIES INVOLVED, THE
25 PROCESSES EMPLOYED, ENERGY IMPACTS AND OTHER APPROPRIATE FACTORS.

26 EXCEPTION MEANS A TRANSFER OF WATER THAT IS EXCEPTED UNDER
27 SECTION 4.9 FROM THE PROHIBITION AGAINST DIVERSIONS IN SECTION 4.8.

1 EXCEPTION STANDARD MEANS THE STANDARD FOR EXCEPTIONS
2 ESTABLISHED IN SECTION 4.9.4.

3 INTRA-BASIN TRANSFER MEANS THE TRANSFER OF WATER FROM THE
4 WATERSHED OF ONE OF THE GREAT LAKES INTO THE WATERSHED OF ANOTHER
5 GREAT LAKE.

6 MEASURES MEANS ANY LEGISLATION, LAW, REGULATION, DIRECTIVE,
7 REQUIREMENT, GUIDELINE, PROGRAM, POLICY, ADMINISTRATIVE PRACTICE OR
8 OTHER PROCEDURE.

9 NEW OR INCREASED DIVERSION MEANS A NEW DIVERSION, AN INCREASE
10 IN AN EXISTING DIVERSION, OR THE ALTERATION OF AN EXISTING
11 WITHDRAWAL SO THAT IT BECOMES A DIVERSION.

12 NEW OR INCREASED WITHDRAWAL OR CONSUMPTIVE USE MEANS A NEW
13 WITHDRAWAL OR CONSUMPTIVE USE OR AN INCREASE IN AN EXISTING
14 WITHDRAWAL OR CONSUMPTIVE USE.

15 ORIGINATING PARTY MEANS THE PARTY WITHIN WHOSE JURISDICTION AN
16 APPLICATION OR REGISTRATION IS MADE OR REQUIRED.

17 PARTY MEANS A STATE PARTY TO THIS COMPACT.

18 PERSON MEANS A HUMAN BEING OR A LEGAL PERSON, INCLUDING A
19 GOVERNMENT OR A NONGOVERNMENTAL ORGANIZATION, INCLUDING ANY
20 SCIENTIFIC, PROFESSIONAL, BUSINESS, NON-PROFIT, OR PUBLIC INTEREST
21 ORGANIZATION OR ASSOCIATION THAT IS NEITHER AFFILIATED WITH, NOR
22 UNDER THE DIRECTION OF A GOVERNMENT.

23 PRODUCT MEANS SOMETHING PRODUCED IN THE BASIN BY HUMAN OR
24 MECHANICAL EFFORT OR THROUGH AGRICULTURAL PROCESSES AND USED IN
25 MANUFACTURING, COMMERCIAL OR OTHER PROCESSES OR INTENDED FOR
26 INTERMEDIATE OR END USE CONSUMERS. (i) WATER USED AS PART OF THE
27 PACKAGING OF A PRODUCT SHALL BE CONSIDERED TO BE PART OF THE

1 PRODUCT. (ii) OTHER THAN WATER USED AS PART OF THE PACKAGING OF A
2 PRODUCT, WATER THAT IS USED PRIMARILY TO TRANSPORT MATERIALS IN OR
3 OUT OF THE BASIN IS NOT A PRODUCT OR PART OF A PRODUCT. (iii) EXCEPT
4 AS PROVIDED IN (i) ABOVE, WATER WHICH IS TRANSFERRED AS PART OF A
5 PUBLIC OR PRIVATE SUPPLY IS NOT A PRODUCT OR PART OF A PRODUCT. (iv)
6 WATER IN ITS NATURAL STATE SUCH AS IN LAKES, RIVERS, RESERVOIRS,
7 AQUIFERS, OR WATER BASINS IS NOT A PRODUCT.

8 PROPOSAL MEANS A WITHDRAWAL, DIVERSION OR CONSUMPTIVE USE OF
9 WATER THAT IS SUBJECT TO THIS COMPACT.

10 PROVINCE MEANS ONTARIO OR QUÉBEC.

11 PUBLIC WATER SUPPLY PURPOSES MEANS WATER DISTRIBUTED TO THE
12 PUBLIC THROUGH A PHYSICALLY CONNECTED SYSTEM OF TREATMENT, STORAGE
13 AND DISTRIBUTION FACILITIES SERVING A GROUP OF LARGELY RESIDENTIAL
14 CUSTOMERS THAT MAY ALSO SERVE INDUSTRIAL, COMMERCIAL, AND OTHER
15 INSTITUTIONAL OPERATORS. WATER WITHDRAWN DIRECTLY FROM THE BASIN
16 AND NOT THROUGH SUCH A SYSTEM SHALL NOT BE CONSIDERED TO BE USED
17 FOR PUBLIC WATER SUPPLY PURPOSES.

18 REGIONAL BODY MEANS THE MEMBERS OF THE COUNCIL AND THE
19 PREMIERS OF ONTARIO AND QUÉBEC OR THEIR DESIGNEE AS ESTABLISHED BY
20 THE AGREEMENT.

21 REGIONAL REVIEW MEANS THE COLLECTIVE REVIEW BY THE REGIONAL
22 BODY AS DESCRIBED IN ARTICLE 4 OF THIS COMPACT.

23 SOURCE WATERSHED MEANS THE WATERSHED FROM WHICH A WITHDRAWAL
24 ORIGINATES. IF WATER IS WITHDRAWN DIRECTLY FROM A GREAT LAKE OR
25 FROM THE ST. LAWRENCE RIVER, THEN THE SOURCE WATERSHED SHALL BE
26 CONSIDERED TO BE THE WATERSHED OF THAT GREAT LAKE OR THE WATERSHED
27 OF THE ST. LAWRENCE RIVER, RESPECTIVELY. IF WATER IS WITHDRAWN FROM

1 THE WATERSHED OF A STREAM THAT IS A DIRECT TRIBUTARY TO A GREAT
2 LAKE OR A DIRECT TRIBUTARY TO THE ST. LAWRENCE RIVER, THEN THE
3 SOURCE WATERSHED SHALL BE CONSIDERED TO BE THE WATERSHED OF THAT
4 GREAT LAKE OR THE WATERSHED OF THE ST. LAWRENCE RIVER,
5 RESPECTIVELY, WITH A PREFERENCE TO THE DIRECT TRIBUTARY STREAM
6 WATERSHED FROM WHICH IT WAS WITHDRAWN.

7 STANDARD OF REVIEW AND DECISION MEANS THE EXCEPTION STANDARD,
8 DECISION-MAKING STANDARD AND REVIEWS AS OUTLINED IN ARTICLE 4 OF
9 THIS COMPACT.

10 STATE MEANS ONE OF THE STATES OF ILLINOIS, INDIANA, MICHIGAN,
11 MINNESOTA, NEW YORK, OHIO OR WISCONSIN OR THE COMMONWEALTH OF
12 PENNSYLVANIA.

13 STRADDLING COMMUNITY MEANS ANY INCORPORATED CITY, TOWN OR THE
14 EQUIVALENT THEREOF, WHOLLY WITHIN ANY COUNTY THAT LIES PARTLY OR
15 COMPLETELY WITHIN THE BASIN, WHOSE CORPORATE BOUNDARY EXISTING AS
16 OF THE EFFECTIVE DATE OF THIS COMPACT, IS PARTLY WITHIN THE BASIN
17 OR PARTLY WITHIN TWO GREAT LAKES WATERSHEDS.

18 TECHNICAL REVIEW MEANS A DETAILED REVIEW CONDUCTED TO
19 DETERMINE WHETHER OR NOT A PROPOSAL THAT REQUIRES REGIONAL REVIEW
20 UNDER THIS COMPACT MEETS THE STANDARD OF REVIEW AND DECISION
21 FOLLOWING PROCEDURES AND GUIDELINES AS SET OUT IN THIS COMPACT.

22 WATER MEANS GROUND OR SURFACE WATER CONTAINED WITHIN THE
23 BASIN.

24 WATER DEPENDENT NATURAL RESOURCES MEANS THE INTERACTING
25 COMPONENTS OF LAND, WATER AND LIVING ORGANISMS AFFECTED BY THE
26 WATERS OF THE BASIN.

27 WATERS OF THE BASIN OR BASIN WATER MEANS THE GREAT LAKES AND

1 ALL STREAMS, RIVERS, LAKES, CONNECTING CHANNELS AND OTHER BODIES OF
2 WATER, INCLUDING TRIBUTARY GROUNDWATER, WITHIN THE BASIN.

3 WITHDRAWAL MEANS THE TAKING OF WATER FROM SURFACE WATER OR
4 GROUNDWATER. WITHDRAW HAS A CORRESPONDING MEANING.

5 SECTION 1.3. FINDINGS AND PURPOSES.

6 THE LEGISLATIVE BODIES OF THE RESPECTIVE PARTIES HEREBY FIND
7 AND DECLARE:

8 1. FINDINGS:

9 A. THE WATERS OF THE BASIN ARE PRECIOUS PUBLIC NATURAL
10 RESOURCES SHARED AND HELD IN TRUST BY THE STATES;

11 B. THE WATERS OF THE BASIN ARE INTERCONNECTED AND PART OF A
12 SINGLE HYDROLOGIC SYSTEM;

13 C. THE WATERS OF THE BASIN CAN CONCURRENTLY SERVE MULTIPLE
14 USES. SUCH MULTIPLE USES INCLUDE MUNICIPAL, PUBLIC, INDUSTRIAL,
15 COMMERCIAL, AGRICULTURE, MINING, NAVIGATION, ENERGY DEVELOPMENT AND
16 PRODUCTION, RECREATION, THE SUBSISTENCE, ECONOMIC AND CULTURAL
17 ACTIVITIES OF NATIVE PEOPLES, WATER QUALITY MAINTENANCE, AND THE
18 MAINTENANCE OF FISH AND WILDLIFE HABITAT AND A BALANCED ECOSYSTEM.
19 AND, OTHER PURPOSES ARE ENCOURAGED, RECOGNIZING THAT SUCH USES ARE
20 INTERDEPENDENT AND MUST BE BALANCED;

21 D. FUTURE DIVERSIONS AND CONSUMPTIVE USES OF BASIN WATER
22 RESOURCES HAVE THE POTENTIAL TO SIGNIFICANTLY IMPACT THE
23 ENVIRONMENT, ECONOMY AND WELFARE OF THE GREAT LAKES-ST. LAWRENCE
24 RIVER REGION;

25 E. CONTINUED SUSTAINABLE, ACCESSIBLE AND ADEQUATE WATER
26 SUPPLIES FOR THE PEOPLE AND ECONOMY OF THE BASIN ARE OF VITAL
27 IMPORTANCE; AND,

1 F. THE PARTIES HAVE A SHARED DUTY TO PROTECT, CONSERVE,
2 RESTORE, IMPROVE AND MANAGE THE RENEWABLE BUT FINITE WATERS OF THE
3 BASIN FOR THE USE, BENEFIT AND ENJOYMENT OF ALL THEIR CITIZENS,
4 INCLUDING GENERATIONS YET TO COME. THE MOST EFFECTIVE MEANS OF
5 PROTECTING, CONSERVING, RESTORING, IMPROVING AND MANAGING THE BASIN
6 WATERS IS THROUGH THE JOINT PURSUIT OF UNIFIED AND COOPERATIVE
7 PRINCIPLES, POLICIES AND PROGRAMS MUTUALLY AGREED UPON, ENACTED AND
8 ADHERED TO BY ALL PARTIES.

9 2. PURPOSES:

10 A. TO ACT TOGETHER TO PROTECT, CONSERVE, RESTORE, IMPROVE AND
11 EFFECTIVELY MANAGE THE WATERS AND WATER DEPENDENT NATURAL RESOURCES
12 OF THE BASIN UNDER APPROPRIATE ARRANGEMENTS FOR INTERGOVERNMENTAL
13 COOPERATION AND CONSULTATION BECAUSE CURRENT LACK OF FULL
14 SCIENTIFIC CERTAINTY SHOULD NOT BE USED AS A REASON FOR POSTPONING
15 MEASURES TO PROTECT THE BASIN ECOSYSTEM;

16 B. TO REMOVE CAUSES OF PRESENT AND FUTURE CONTROVERSIES;

17 C. TO PROVIDE FOR COOPERATIVE PLANNING AND ACTION BY THE
18 PARTIES WITH RESPECT TO SUCH WATER RESOURCES;

19 D. TO FACILITATE CONSISTENT APPROACHES TO WATER MANAGEMENT
20 ACROSS THE BASIN WHILE RETAINING STATE MANAGEMENT AUTHORITY OVER
21 WATER MANAGEMENT DECISIONS WITHIN THE BASIN;

22 E. TO FACILITATE THE EXCHANGE OF DATA, STRENGTHEN THE
23 SCIENTIFIC INFORMATION BASE UPON WHICH DECISIONS ARE MADE AND
24 ENGAGE IN CONSULTATION ON THE POTENTIAL EFFECTS OF PROPOSED
25 WITHDRAWALS AND LOSSES ON THE WATERS AND WATER DEPENDENT NATURAL
26 RESOURCES OF THE BASIN;

27 F. TO PREVENT SIGNIFICANT ADVERSE IMPACTS OF WITHDRAWALS AND

1 LOSSES ON THE BASIN'S ECOSYSTEMS AND WATERSHEDS;

2 G. TO PROMOTE INTERSTATE AND STATE-PROVINCIAL COMITY; AND,

3 H. TO PROMOTE AN ADAPTIVE MANAGEMENT APPROACH TO THE

4 CONSERVATION AND MANAGEMENT OF BASIN WATER RESOURCES, WHICH

5 RECOGNIZES, CONSIDERS AND PROVIDES ADJUSTMENTS FOR THE

6 UNCERTAINTIES IN, AND EVOLUTION OF, SCIENTIFIC KNOWLEDGE CONCERNING

7 THE BASIN'S WATERS AND WATER DEPENDENT NATURAL RESOURCES.

8 SECTION 1.4. SCIENCE.

9 1. THE PARTIES COMMIT TO PROVIDE LEADERSHIP FOR THE

10 DEVELOPMENT OF A COLLABORATIVE STRATEGY WITH OTHER REGIONAL

11 PARTNERS TO STRENGTHEN THE SCIENTIFIC BASIS FOR SOUND WATER

12 MANAGEMENT DECISION MAKING UNDER THIS COMPACT.

13 2. THE STRATEGY SHALL GUIDE THE COLLECTION AND APPLICATION OF
14 SCIENTIFIC INFORMATION TO SUPPORT:

15 A. AN IMPROVED UNDERSTANDING OF THE INDIVIDUAL AND CUMULATIVE
16 IMPACTS OF WITHDRAWALS FROM VARIOUS LOCATIONS AND WATER SOURCES ON
17 THE BASIN ECOSYSTEM AND TO DEVELOP A MECHANISM BY WHICH IMPACTS OF
18 WITHDRAWALS MAY BE ASSESSED;

19 B. THE PERIODIC ASSESSMENT OF CUMULATIVE IMPACTS OF
20 WITHDRAWALS, DIVERSIONS AND CONSUMPTIVE USES ON A GREAT LAKE AND
21 ST. LAWRENCE RIVER WATERSHED BASIS;

22 C. IMPROVED SCIENTIFIC UNDERSTANDING OF THE WATERS OF THE
23 BASIN;

24 D. IMPROVED UNDERSTANDING OF THE ROLE OF GROUNDWATER IN BASIN
25 WATER RESOURCES MANAGEMENT; AND,

26 E. THE DEVELOPMENT, TRANSFER AND APPLICATION OF SCIENCE AND
27 RESEARCH RELATED TO WATER CONSERVATION AND WATER USE EFFICIENCY.

ARTICLE 2

ORGANIZATION

SECTION 2.1. COUNCIL CREATED.

THE GREAT LAKES-ST. LAWRENCE RIVER BASIN WATER RESOURCES COUNCIL IS HEREBY CREATED AS A BODY POLITIC AND CORPORATE, WITH SUCCESSION FOR THE DURATION OF THIS COMPACT, AS AN AGENCY AND INSTRUMENTALITY OF THE GOVERNMENTS OF THE RESPECTIVE PARTIES.

SECTION 2.2. COUNCIL MEMBERSHIP.

THE COUNCIL SHALL CONSIST OF THE GOVERNORS OF THE PARTIES, EX OFFICIO.

SECTION 2.3. ALTERNATES.

EACH MEMBER OF THE COUNCIL SHALL APPOINT AT LEAST ONE ALTERNATE WHO MAY ACT IN HIS OR HER PLACE AND STEAD, WITH AUTHORITY TO ATTEND ALL MEETINGS OF THE COUNCIL AND WITH POWER TO VOTE IN THE ABSENCE OF THE MEMBER. UNLESS OTHERWISE PROVIDED BY LAW OF THE PARTY FOR WHICH HE OR SHE IS APPOINTED, EACH ALTERNATE SHALL SERVE DURING THE TERM OF THE MEMBER APPOINTING HIM OR HER, SUBJECT TO REMOVAL AT THE PLEASURE OF THE MEMBER. IN THE EVENT OF A VACANCY IN THE OFFICE OF ALTERNATE, IT SHALL BE FILLED IN THE SAME MANNER AS AN ORIGINAL APPOINTMENT FOR THE UNEXPIRED TERM ONLY.

SECTION 2.4. VOTING.

1. EACH MEMBER IS ENTITLED TO ONE VOTE ON ALL MATTERS THAT MAY COME BEFORE THE COUNCIL.

2. UNLESS OTHERWISE STATED, THE RULE OF DECISION SHALL BE BY A SIMPLE MAJORITY.

3. THE COUNCIL SHALL ANNUALLY ADOPT A BUDGET FOR EACH FISCAL YEAR AND THE AMOUNT REQUIRED TO BALANCE THE BUDGET SHALL BE

1 APPORTIONED EQUITABLY AMONG THE PARTIES BY UNANIMOUS VOTE OF THE
2 COUNCIL. THE APPROPRIATION OF SUCH AMOUNTS SHALL BE SUBJECT TO SUCH
3 REVIEW AND APPROVAL AS MAY BE REQUIRED BY THE BUDGETARY PROCESSES
4 OF THE RESPECTIVE PARTIES.

5 4. THE PARTICIPATION OF COUNCIL MEMBERS FROM A MAJORITY OF THE
6 PARTIES SHALL CONSTITUTE A QUORUM FOR THE TRANSACTION OF BUSINESS
7 AT ANY MEETING OF THE COUNCIL.

8 SECTION 2.5. ORGANIZATION AND PROCEDURE.

9 THE COUNCIL SHALL PROVIDE FOR ITS OWN ORGANIZATION AND
10 PROCEDURE, AND MAY ADOPT RULES AND REGULATIONS GOVERNING ITS
11 MEETINGS AND TRANSACTIONS, AS WELL AS THE PROCEDURES AND TIMELINE
12 FOR SUBMISSION, REVIEW AND CONSIDERATION OF PROPOSALS THAT COME
13 BEFORE THE COUNCIL FOR ITS REVIEW AND ACTION. THE COUNCIL SHALL
14 ORGANIZE, ANNUALLY, BY THE ELECTION OF A CHAIR AND VICE CHAIR FROM
15 AMONG ITS MEMBERS. EACH MEMBER MAY APPOINT AN ADVISOR, WHO MAY
16 ATTEND ALL MEETINGS OF THE COUNCIL AND ITS COMMITTEES, BUT SHALL
17 NOT HAVE VOTING POWER. THE COUNCIL MAY EMPLOY OR APPOINT
18 PROFESSIONAL AND ADMINISTRATIVE PERSONNEL, INCLUDING AN EXECUTIVE
19 DIRECTOR, AS IT MAY DEEM ADVISABLE, TO CARRY OUT THE PURPOSES OF
20 THIS COMPACT.

21 SECTION 2.6. USE OF EXISTING OFFICES AND AGENCIES.

22 IT IS THE POLICY OF THE PARTIES TO PRESERVE AND UTILIZE THE
23 FUNCTIONS, POWERS AND DUTIES OF EXISTING OFFICES AND AGENCIES OF
24 GOVERNMENT TO THE EXTENT CONSISTENT WITH THIS COMPACT. FURTHER, THE
25 COUNCIL SHALL PROMOTE AND AID THE COORDINATION OF THE ACTIVITIES
26 AND PROGRAMS OF THE PARTIES CONCERNED WITH WATER RESOURCES
27 MANAGEMENT IN THE BASIN. TO THIS END, BUT WITHOUT LIMITATION, THE

1 COUNCIL MAY:

2 1. ADVISE, CONSULT, CONTRACT, ASSIST OR OTHERWISE COOPERATE
3 WITH ANY AND ALL SUCH AGENCIES;

4 2. EMPLOY ANY OTHER AGENCY OR INSTRUMENTALITY OF ANY OF THE
5 PARTIES FOR ANY PURPOSE; AND,

6 3. DEVELOP AND ADOPT PLANS CONSISTENT WITH THE WATER RESOURCES
7 PLANS OF THE PARTIES.

8 SECTION 2.7. JURISDICTION.

9 THE COUNCIL SHALL HAVE, EXERCISE AND DISCHARGE ITS FUNCTIONS,
10 POWERS AND DUTIES WITHIN THE LIMITS OF THE BASIN. OUTSIDE THE
11 BASIN, IT MAY ACT IN ITS DISCRETION, BUT ONLY TO THE EXTENT
12 SUCH ACTION MAY BE NECESSARY OR CONVENIENT TO EFFECTUATE OR
13 IMPLEMENT ITS POWERS OR RESPONSIBILITIES WITHIN THE BASIN AND
14 SUBJECT TO THE CONSENT OF THE JURISDICTION WHEREIN IT PROPOSES TO
15 ACT.

16 SECTION 2.8. STATUS, IMMUNITIES AND PRIVILEGES.

17 1. THE COUNCIL, ITS MEMBERS AND PERSONNEL IN THEIR OFFICIAL
18 CAPACITY AND WHEN ENGAGED DIRECTLY IN THE AFFAIRS OF THE COUNCIL,
19 ITS PROPERTY AND ITS ASSETS, WHEREVER LOCATED AND BY WHOMSOEVER
20 HELD, SHALL ENJOY THE SAME IMMUNITY FROM SUIT AND EVERY FORM OF
21 JUDICIAL PROCESS AS IS ENJOYED BY THE PARTIES, EXCEPT TO THE EXTENT
22 THAT THE COUNCIL MAY EXPRESSLY WAIVE ITS IMMUNITY FOR THE PURPOSES
23 OF ANY PROCEEDINGS OR BY THE TERMS OF ANY CONTRACT.

24 2. THE PROPERTY AND ASSETS OF THE COUNCIL, WHEREVER LOCATED
25 AND BY WHOMSOEVER HELD, SHALL BE CONSIDERED PUBLIC PROPERTY AND
26 SHALL BE IMMUNE FROM SEARCH, REQUISITION, CONFISCATION,
27 EXPROPRIATION OR ANY OTHER FORM OF TAKING OR FORECLOSURE BY

1 EXECUTIVE OR LEGISLATIVE ACTION.

2 3. THE COUNCIL, ITS PROPERTY AND ITS ASSETS, INCOME AND THE
3 OPERATIONS IT CARRIES OUT PURSUANT TO THIS COMPACT SHALL BE IMMUNE
4 FROM ALL TAXATION BY OR UNDER THE AUTHORITY OF ANY OF THE PARTIES
5 OR ANY POLITICAL SUBDIVISION THEREOF; PROVIDED, HOWEVER, THAT IN
6 LIEU OF PROPERTY TAXES THE COUNCIL MAY MAKE REASONABLE PAYMENTS TO
7 LOCAL TAXING DISTRICTS IN ANNUAL AMOUNTS WHICH SHALL APPROXIMATE
8 THE TAXES LAWFULLY ASSESSED UPON SIMILAR PROPERTY.

9 SECTION 2.9. ADVISORY COMMITTEES.

10 THE COUNCIL MAY CONSTITUTE AND EMPOWER ADVISORY COMMITTEES,
11 WHICH MAY BE COMPRISED OF REPRESENTATIVES OF THE PUBLIC AND OF
12 FEDERAL, STATE, TRIBAL, COUNTY AND LOCAL GOVERNMENTS, WATER
13 RESOURCES AGENCIES, WATER-USING INDUSTRIES AND SECTORS, WATER-
14 INTEREST GROUPS AND ACADEMIC EXPERTS IN RELATED FIELDS.

15 ARTICLE 3

16 GENERAL POWERS AND DUTIES

17 SECTION 3.1. GENERAL.

18 THE WATERS AND WATER DEPENDENT NATURAL RESOURCES OF THE BASIN
19 ARE SUBJECT TO THE SOVEREIGN RIGHT AND RESPONSIBILITIES OF THE
20 PARTIES, AND IT IS THE PURPOSE OF THIS COMPACT TO
21 PROVIDE FOR JOINT EXERCISE OF SUCH POWERS OF SOVEREIGNTY BY THE
22 COUNCIL IN THE COMMON INTERESTS OF THE PEOPLE OF THE REGION, IN THE
23 MANNER AND TO THE EXTENT PROVIDED IN THIS COMPACT. THE COUNCIL AND
24 THE PARTIES SHALL USE THE STANDARD OF REVIEW AND DECISION AND
25 PROCEDURES CONTAINED IN OR ADOPTED PURSUANT TO THIS COMPACT AS THE
26 MEANS TO EXERCISE THEIR AUTHORITY UNDER THIS COMPACT. THE COUNCIL
27 MAY REVISE THE STANDARD OF REVIEW AND DECISION, AFTER CONSULTATION

1 WITH THE PROVINCES AND UPON UNANIMOUS VOTE OF ALL COUNCIL MEMBERS,
2 BY REGULATION DULY ADOPTED IN ACCORDANCE WITH SECTION 3.3 OF THIS
3 COMPACT AND IN ACCORDANCE WITH EACH PARTY'S RESPECTIVE STATUTORY
4 AUTHORITIES AND APPLICABLE PROCEDURES. THE COUNCIL SHALL IDENTIFY
5 PRIORITIES AND DEVELOP PLANS AND POLICIES RELATING TO BASIN WATER
6 RESOURCES. IT SHALL ADOPT AND PROMOTE UNIFORM AND COORDINATED
7 POLICIES FOR WATER RESOURCES CONSERVATION AND MANAGEMENT IN THE
8 BASIN.

9 SECTION 3.2. COUNCIL POWERS.

10 THE COUNCIL MAY: PLAN; CONDUCT RESEARCH AND COLLECT, COMPILE,
11 ANALYZE, INTERPRET, REPORT AND DISSEMINATE DATA ON WATER RESOURCES
12 AND USES; FORECAST WATER LEVELS; CONDUCT INVESTIGATIONS; INSTITUTE
13 COURT ACTIONS; DESIGN, ACQUIRE, CONSTRUCT, RECONSTRUCT, OWN,
14 OPERATE, MAINTAIN, CONTROL, SELL AND CONVEY REAL AND PERSONAL
15 PROPERTY AND ANY INTEREST THEREIN AS IT MAY DEEM NECESSARY, USEFUL
16 OR CONVENIENT TO CARRY OUT THE PURPOSES OF THIS COMPACT; MAKE
17 CONTRACTS; RECEIVE AND ACCEPT SUCH PAYMENTS, APPROPRIATIONS,
18 GRANTS, GIFTS, LOANS, ADVANCES AND OTHER FUNDS, PROPERTIES AND
19 SERVICES AS MAY BE TRANSFERRED OR MADE AVAILABLE TO IT BY ANY PARTY
20 OR BY ANY OTHER PUBLIC OR PRIVATE AGENCY, CORPORATION OR
21 INDIVIDUAL; AND, EXERCISE SUCH OTHER AND DIFFERENT POWERS AS MAY BE
22 DELEGATED TO IT BY THIS COMPACT OR OTHERWISE PURSUANT TO LAW, AND
23 HAVE AND EXERCISE ALL POWERS NECESSARY OR CONVENIENT TO CARRY OUT
24 ITS EXPRESS POWERS OR WHICH MAY BE REASONABLY IMPLIED THEREFROM.

25 SECTION 3.3. RULES AND REGULATIONS.

26 1. THE COUNCIL MAY PROMULGATE AND ENFORCE SUCH RULES AND
27 REGULATIONS AS MAY BE NECESSARY FOR THE IMPLEMENTATION AND

1 ENFORCEMENT OF THIS COMPACT. THE COUNCIL MAY ADOPT BY REGULATION,
2 AFTER PUBLIC NOTICE AND PUBLIC HEARING, REASONABLE APPLICATION FEES
3 WITH RESPECT TO THOSE PROPOSALS FOR EXCEPTIONS THAT ARE SUBJECT TO
4 COUNCIL REVIEW UNDER SECTION 4.9. ANY RULE OR REGULATION OF THE
5 COUNCIL, OTHER THAN ONE WHICH DEALS SOLELY WITH THE INTERNAL
6 MANAGEMENT OF THE COUNCIL OR ITS PROPERTY, SHALL BE ADOPTED ONLY
7 AFTER PUBLIC NOTICE AND HEARING.

8 2. EACH PARTY, IN ACCORDANCE WITH ITS RESPECTIVE STATUTORY
9 AUTHORITIES AND APPLICABLE PROCEDURES, MAY ADOPT AND ENFORCE RULES
10 AND REGULATIONS TO IMPLEMENT AND ENFORCE THIS COMPACT AND THE
11 PROGRAMS ADOPTED BY SUCH PARTY TO CARRY OUT THE MANAGEMENT PROGRAMS
12 CONTEMPLATED BY THIS COMPACT.

13 SECTION 3.4. PROGRAM REVIEW AND FINDINGS.

14 1. EACH PARTY SHALL SUBMIT A REPORT TO THE COUNCIL AND THE
15 REGIONAL BODY DETAILING ITS WATER MANAGEMENT AND CONSERVATION AND
16 EFFICIENCY PROGRAMS THAT IMPLEMENT THIS COMPACT. THE REPORT SHALL
17 SET OUT THE MANNER IN WHICH WATER WITHDRAWALS ARE MANAGED BY
18 SECTOR, WATER SOURCE, QUANTITY OR ANY OTHER MEANS, AND HOW THE
19 PROVISIONS OF THE STANDARD OF REVIEW AND DECISION AND CONSERVATION
20 AND EFFICIENCY PROGRAMS ARE IMPLEMENTED. THE FIRST REPORT SHALL BE
21 PROVIDED BY EACH PARTY ONE YEAR FROM THE EFFECTIVE DATE OF THIS
22 COMPACT AND THEREAFTER EVERY 5 YEARS.

23 2. THE COUNCIL, IN COOPERATION WITH THE PROVINCES, SHALL
24 REVIEW ITS WATER MANAGEMENT AND CONSERVATION AND EFFICIENCY
25 PROGRAMS AND THOSE OF THE PARTIES THAT ARE ESTABLISHED
26 IN THIS COMPACT AND MAKE FINDINGS ON WHETHER THE WATER MANAGEMENT
27 PROGRAM PROVISIONS IN THIS COMPACT ARE BEING MET, AND IF NOT,

1 RECOMMEND OPTIONS TO ASSIST THE PARTIES IN MEETING THE PROVISIONS
2 OF THIS COMPACT. SUCH REVIEW SHALL TAKE PLACE:

3 A. 30 DAYS AFTER THE FIRST REPORT IS SUBMITTED BY ALL PARTIES;
4 AND,

5 B. EVERY FIVE YEARS AFTER THE EFFECTIVE DATE OF THIS COMPACT;
6 AND,

7 C. AT ANY OTHER TIME AT THE REQUEST OF ONE OF THE PARTIES.

8 3. AS ONE OF ITS DUTIES AND RESPONSIBILITIES, THE COUNCIL MAY
9 RECOMMEND A RANGE OF APPROACHES TO THE PARTIES WITH RESPECT TO THE
10 DEVELOPMENT, ENHANCEMENT AND APPLICATION OF WATER MANAGEMENT AND
11 CONSERVATION AND EFFICIENCY PROGRAMS TO IMPLEMENT THE STANDARD OF
12 REVIEW AND DECISION REFLECTING IMPROVED SCIENTIFIC UNDERSTANDING OF
13 THE WATERS OF THE BASIN, INCLUDING GROUNDWATER, AND THE IMPACTS OF
14 WITHDRAWALS ON THE BASIN ECOSYSTEM.

15 ARTICLE 4

16 WATER MANAGEMENT AND REGULATION

17 SECTION 4.1. WATER RESOURCES INVENTORY, REGISTRATION AND
18 REPORTING.

19 1. WITHIN FIVE YEARS OF THE EFFECTIVE DATE OF THIS COMPACT,
20 EACH PARTY SHALL DEVELOP AND MAINTAIN A WATER RESOURCES INVENTORY
21 FOR THE COLLECTION, INTERPRETATION, STORAGE, RETRIEVAL EXCHANGE,
22 AND DISSEMINATION OF INFORMATION CONCERNING THE WATER RESOURCES OF
23 THE PARTY, INCLUDING, BUT NOT LIMITED TO, INFORMATION ON THE
24 LOCATION, TYPE, QUANTITY, AND USE OF THOSE RESOURCES AND THE
25 LOCATION, TYPE, AND QUANTITY OF WITHDRAWALS, DIVERSIONS AND
26 CONSUMPTIVE USES. TO THE EXTENT FEASIBLE, THE WATER RESOURCES
27 INVENTORY SHALL BE DEVELOPED IN COOPERATION WITH LOCAL, STATE,

1 FEDERAL, TRIBAL AND OTHER PRIVATE AGENCIES AND ENTITIES, AS WELL AS
2 THE COUNCIL. EACH PARTY'S AGENCIES SHALL COOPERATE WITH THAT PARTY
3 IN THE DEVELOPMENT AND MAINTENANCE OF THE INVENTORY.

4 2. THE COUNCIL SHALL ASSIST EACH PARTY TO DEVELOP A COMMON
5 BASE OF DATA REGARDING THE MANAGEMENT OF THE WATER RESOURCES OF THE
6 BASIN AND TO ESTABLISH SYSTEMATIC ARRANGEMENTS FOR THE EXCHANGE OF
7 THOSE DATA WITH OTHER STATES AND PROVINCES.

8 3. TO DEVELOP AND MAINTAIN A COMPATIBLE BASE OF WATER USE
9 INFORMATION, WITHIN FIVE YEARS OF THE EFFECTIVE DATE OF THIS
10 COMPACT ANY PERSON WHO WITHDRAWS WATER IN AN AMOUNT OF 100,000
11 GALLONS PER DAY OR GREATER AVERAGE IN ANY 30-DAY PERIOD (INCLUDING
12 CONSUMPTIVE USES) FROM ALL SOURCES, OR DIVERTS WATER OF ANY AMOUNT,
13 SHALL REGISTER THE WITHDRAWAL OR DIVERSION BY A DATE SET BY THE
14 COUNCIL UNLESS THE PERSON HAS PREVIOUSLY REGISTERED IN ACCORDANCE
15 WITH AN EXISTING STATE PROGRAM. THE PERSON SHALL REGISTER THE
16 WITHDRAWAL OR DIVERSION WITH THE ORIGINATING PARTY USING A FORM
17 PRESCRIBED BY THE ORIGINATING PARTY THAT SHALL INCLUDE, AT A
18 MINIMUM AND WITHOUT LIMITATION: THE NAME AND ADDRESS OF THE
19 REGISTRANT AND DATE OF REGISTRATION; THE LOCATIONS AND SOURCES OF
20 THE WITHDRAWAL OR DIVERSION; THE CAPACITY OF THE WITHDRAWAL OR
21 DIVERSION PER DAY AND THE AMOUNT WITHDRAWN OR DIVERTED FROM EACH
22 SOURCE; THE USES MADE OF THE WATER; PLACES OF USE AND PLACES OF
23 DISCHARGE; AND, SUCH OTHER INFORMATION AS THE ORIGINATING PARTY MAY
24 REQUIRE. ALL REGISTRATIONS SHALL INCLUDE AN ESTIMATE OF THE VOLUME
25 OF THE WITHDRAWAL OR DIVERSION IN TERMS OF GALLONS PER DAY AVERAGE
26 IN ANY 30-DAY PERIOD.

27 4. ALL REGISTRANTS SHALL ANNUALLY REPORT THE MONTHLY VOLUMES

1 OF THE WITHDRAWAL, CONSUMPTIVE USE AND DIVERSION IN GALLONS TO THE
2 ORIGINATING PARTY AND ANY OTHER INFORMATION REQUESTED BY THE
3 ORIGINATING PARTY.

4 5. EACH PARTY SHALL ANNUALLY REPORT THE INFORMATION GATHERED
5 PURSUANT TO THIS SECTION TO A GREAT LAKES-ST. LAWRENCE RIVER WATER
6 USE DATA BASE REPOSITORY AND AGGREGATED INFORMATION SHALL BE MADE
7 PUBLICLY AVAILABLE, CONSISTENT WITH THE CONFIDENTIALITY
8 REQUIREMENTS IN SECTION 8.3.

9 6. INFORMATION GATHERED BY THE PARTIES PURSUANT TO THIS
10 SECTION SHALL BE USED TO IMPROVE THE SOURCES AND APPLICATIONS OF
11 SCIENTIFIC INFORMATION REGARDING THE WATERS OF THE BASIN AND THE
12 IMPACTS OF THE WITHDRAWALS AND DIVERSIONS FROM VARIOUS LOCATIONS
13 AND WATER SOURCES ON THE BASIN ECOSYSTEM, AND TO BETTER UNDERSTAND
14 THE ROLE OF GROUNDWATER IN THE BASIN. THE COUNCIL AND THE PARTIES
15 SHALL COORDINATE THE COLLECTION AND APPLICATION OF SCIENTIFIC
16 INFORMATION TO FURTHER DEVELOP A MECHANISM BY WHICH INDIVIDUAL AND
17 CUMULATIVE IMPACTS OF WITHDRAWALS, CONSUMPTIVE USES AND DIVERSIONS
18 SHALL BE ASSESSED.

19 SECTION 4.2. WATER CONSERVATION AND EFFICIENCY PROGRAMS.

20 1. THE COUNCIL COMMITS TO IDENTIFY, IN COOPERATION WITH THE
21 PROVINCES, BASIN-WIDE WATER CONSERVATION AND EFFICIENCY OBJECTIVES
22 TO ASSIST THE PARTIES IN DEVELOPING THEIR WATER CONSERVATION AND
23 EFFICIENCY PROGRAM. THESE OBJECTIVES ARE BASED ON THE GOALS OF:

24 A. ENSURING IMPROVEMENT OF THE WATERS AND WATER DEPENDENT
25 NATURAL RESOURCES;

26 B. PROTECTING AND RESTORING THE HYDROLOGIC AND ECOSYSTEM
27 INTEGRITY OF THE BASIN;

1 C. RETAINING THE QUANTITY OF SURFACE WATER AND GROUNDWATER IN
2 THE BASIN;

3 D. ENSURING SUSTAINABLE USE OF WATERS OF THE BASIN; AND,

4 E. PROMOTING THE EFFICIENCY OF USE AND REDUCING LOSSES AND
5 WASTE OF WATER.

6 2. WITHIN TWO YEARS OF THE EFFECTIVE DATE OF THIS COMPACT,
7 EACH PARTY SHALL DEVELOP ITS OWN WATER CONSERVATION AND EFFICIENCY
8 GOALS AND OBJECTIVES CONSISTENT WITH THE BASIN-WIDE GOALS AND
9 OBJECTIVES, AND SHALL DEVELOP AND IMPLEMENT A WATER CONSERVATION
10 AND EFFICIENCY PROGRAM, EITHER VOLUNTARY OR MANDATORY, WITHIN ITS
11 JURISDICTION BASED ON THE PARTY'S GOALS AND OBJECTIVES. EACH PARTY
12 SHALL ANNUALLY ASSESS ITS PROGRAMS IN MEETING THE PARTY'S GOALS AND
13 OBJECTIVES, REPORT TO THE COUNCIL AND THE REGIONAL BODY AND MAKE
14 THIS ANNUAL ASSESSMENT AVAILABLE TO THE PUBLIC.

15 3. BEGINNING FIVE YEARS AFTER THE EFFECTIVE DATE OF THIS
16 COMPACT, AND EVERY FIVE YEARS THEREAFTER, THE COUNCIL, IN
17 COOPERATION WITH THE PROVINCES, SHALL REVIEW AND MODIFY AS
18 APPROPRIATE THE BASIN-WIDE OBJECTIVES, AND THE PARTIES SHALL HAVE
19 REGARD FOR ANY SUCH MODIFICATIONS IN IMPLEMENTING THEIR PROGRAMS.
20 THIS ASSESSMENT WILL BE BASED ON EXAMINING NEW TECHNOLOGIES, NEW
21 PATTERNS OF WATER USE, NEW RESOURCE DEMANDS AND THREATS, AND
22 CUMULATIVE IMPACT ASSESSMENT UNDER SECTION 4.15.

23 4. WITHIN TWO YEARS OF THE EFFECTIVE DATE OF THIS COMPACT, THE
24 PARTIES COMMIT TO PROMOTE ENVIRONMENTALLY SOUND AND ECONOMICALLY
25 FEASIBLE WATER CONSERVATION MEASURES SUCH AS:

26 A. MEASURES THAT PROMOTE EFFICIENT USE OF WATER;

27 B. IDENTIFICATION AND SHARING OF BEST MANAGEMENT PRACTICES AND

1 STATE OF THE ART CONSERVATION AND EFFICIENCY TECHNOLOGIES;
2 C. APPLICATION OF SOUND PLANNING PRINCIPLES;
3 D. DEMAND-SIDE AND SUPPLY-SIDE MEASURES OR INCENTIVES; AND,
4 E. DEVELOPMENT, TRANSFER AND APPLICATION OF SCIENCE AND
5 RESEARCH.

6 5. EACH PARTY SHALL IMPLEMENT IN ACCORDANCE WITH PARAGRAPH 2
7 ABOVE A VOLUNTARY OR MANDATORY WATER CONSERVATION PROGRAM FOR ALL,
8 INCLUDING EXISTING, BASIN WATER USERS. CONSERVATION PROGRAMS NEED
9 TO ADJUST TO NEW DEMANDS AND THE POTENTIAL IMPACTS OF CUMULATIVE
10 EFFECTS AND CLIMATE.

11 SECTION 4.3. PARTY POWERS AND DUTIES.

12 1. EACH PARTY, WITHIN ITS JURISDICTION, SHALL MANAGE AND
13 REGULATE NEW OR INCREASED WITHDRAWALS, CONSUMPTIVE USES AND
14 DIVERSIONS, INCLUDING EXCEPTIONS, IN ACCORDANCE WITH THIS COMPACT.

15 2. EACH PARTY SHALL REQUIRE AN APPLICANT TO SUBMIT AN
16 APPLICATION IN SUCH MANNER AND WITH SUCH ACCOMPANYING INFORMATION
17 AS THE PARTY SHALL PRESCRIBE.

18 3. NO PARTY MAY APPROVE A PROPOSAL IF THE PARTY DETERMINES
19 THAT THE PROPOSAL IS INCONSISTENT WITH THIS COMPACT OR THE STANDARD
20 OF REVIEW AND DECISION OR ANY IMPLEMENTING RULES OR REGULATIONS
21 PROMULGATED THEREUNDER. THE PARTY MAY APPROVE, APPROVE WITH
22 MODIFICATIONS OR DISAPPROVE ANY PROPOSAL DEPENDING ON THE
23 PROPOSAL'S CONSISTENCY WITH THIS COMPACT AND THE STANDARD OF REVIEW
24 AND DECISION.

25 4. EACH PARTY SHALL MONITOR THE IMPLEMENTATION OF ANY APPROVED
26 PROPOSAL TO ENSURE CONSISTENCY WITH THE APPROVAL AND MAY TAKE ALL
27 NECESSARY ENFORCEMENT ACTIONS.

1 5. NO PARTY SHALL APPROVE A PROPOSAL SUBJECT TO COUNCIL OR
2 REGIONAL REVIEW, OR BOTH, PURSUANT TO THIS COMPACT UNLESS IT SHALL
3 HAVE BEEN FIRST SUBMITTED TO AND REVIEWED BY EITHER THE COUNCIL OR
4 REGIONAL BODY, OR BOTH, AND APPROVED BY THE COUNCIL, AS APPLICABLE.
5 SUFFICIENT OPPORTUNITY SHALL BE PROVIDED FOR COMMENT ON THE
6 PROPOSAL'S CONSISTENCY WITH THIS COMPACT AND THE STANDARD OF REVIEW
7 AND DECISION. ALL SUCH COMMENTS SHALL BECOME PART OF THE PARTY'S
8 FORMAL RECORD OF DECISION, AND THE PARTY SHALL TAKE INTO
9 CONSIDERATION ANY SUCH COMMENTS RECEIVED.

10 SECTION 4.4. REQUIREMENT FOR ORIGINATING PARTY APPROVAL.

11 NO PROPOSAL SUBJECT TO MANAGEMENT AND REGULATION UNDER THIS
12 COMPACT SHALL HEREAFTER BE UNDERTAKEN BY ANY PERSON UNLESS IT SHALL
13 HAVE BEEN APPROVED BY THE ORIGINATING PARTY.

14 SECTION 4.5. REGIONAL REVIEW.

15 1. GENERAL.

16 A. IT IS THE INTENTION OF THE PARTIES TO PARTICIPATE IN
17 REGIONAL REVIEW OF PROPOSALS WITH THE PROVINCES, AS DESCRIBED IN
18 THIS COMPACT AND THE AGREEMENT.

19 B. UNLESS THE APPLICANT OR THE ORIGINATING PARTY OTHERWISE
20 REQUESTS, IT SHALL BE THE GOAL OF THE REGIONAL BODY TO CONCLUDE ITS
21 REVIEW NO LATER THAN 90 DAYS AFTER NOTICE UNDER SECTION 4.5.2 OF
22 SUCH PROPOSAL IS RECEIVED FROM THE ORIGINATING PARTY.

23 C. PROPOSALS FOR EXCEPTIONS SUBJECT TO REGIONAL REVIEW SHALL
24 BE SUBMITTED BY THE ORIGINATING PARTY TO THE REGIONAL BODY FOR
25 REGIONAL REVIEW, AND WHERE APPLICABLE, TO THE COUNCIL FOR
26 CONCURRENT REVIEW.

27 D. THE PARTIES AGREE THAT THE PROTECTION OF THE INTEGRITY OF

1 THE GREAT LAKES-ST. LAWRENCE RIVER BASIN ECOSYSTEM SHALL BE THE
2 OVERARCHING PRINCIPLE FOR REVIEWING PROPOSALS SUBJECT TO REGIONAL
3 REVIEW, RECOGNIZING UNCERTAINTIES WITH RESPECT TO DEMANDS THAT MAY
4 BE PLACED ON BASIN WATER, INCLUDING GROUNDWATER, LEVELS AND FLOWS
5 OF THE GREAT LAKES AND THE ST. LAWRENCE RIVER, FUTURE CHANGES IN
6 ENVIRONMENTAL CONDITIONS, THE RELIABILITY OF EXISTING DATA AND THE
7 EXTENT TO WHICH DIVERSIONS MAY HARM THE INTEGRITY OF THE BASIN
8 ECOSYSTEM.

9 E. THE ORIGINATING PARTY SHALL HAVE LEAD RESPONSIBILITY FOR
10 COORDINATING INFORMATION FOR RESOLUTION OF ISSUES RELATED TO
11 EVALUATION OF A PROPOSAL, AND SHALL CONSULT WITH THE APPLICANT
12 THROUGHOUT THE REGIONAL REVIEW PROCESS.

13 F. A MAJORITY OF THE MEMBERS OF THE REGIONAL BODY MAY REQUEST
14 REGIONAL REVIEW OF A REGIONALLY SIGNIFICANT OR POTENTIALLY
15 PRECEDENT SETTING PROPOSAL. SUCH REGIONAL REVIEW MUST BE CONDUCTED,
16 TO THE EXTENT POSSIBLE, WITHIN THE TIME FRAMES SET FORTH IN THIS
17 SECTION. ANY SUCH REGIONAL REVIEW SHALL BE UNDERTAKEN ONLY AFTER
18 CONSULTING THE APPLICANT.

19 2. NOTICE FROM ORIGINATING PARTY TO THE REGIONAL BODY.

20 A. THE ORIGINATING PARTY SHALL DETERMINE IF A PROPOSAL IS
21 SUBJECT TO REGIONAL REVIEW. IF SO, THE ORIGINATING PARTY SHALL
22 PROVIDE TIMELY NOTICE TO THE REGIONAL BODY AND THE PUBLIC.

23 B. SUCH NOTICE SHALL NOT BE GIVEN UNLESS AND UNTIL ALL
24 INFORMATION, DOCUMENTS AND THE ORIGINATING PARTY'S TECHNICAL REVIEW
25 NEEDED TO EVALUATE WHETHER THE PROPOSAL MEETS THE STANDARD OF
26 REVIEW AND DECISION HAVE BEEN PROVIDED.

27 C. AN ORIGINATING PARTY MAY:

1 *i.* PROVIDE NOTICE TO THE REGIONAL BODY OF AN APPLICATION, EVEN
2 IF NOTIFICATION IS NOT REQUIRED; OR,

3 *ii.* REQUEST REGIONAL REVIEW OF AN APPLICATION, EVEN IF REGIONAL
4 REVIEW IS NOT REQUIRED. ANY SUCH REGIONAL REVIEW SHALL BE
5 UNDERTAKEN ONLY AFTER CONSULTING THE APPLICANT.

6 D. AN ORIGINATING PARTY MAY PROVIDE PRELIMINARY NOTICE OF A
7 POTENTIAL PROPOSAL.

8 3. PUBLIC PARTICIPATION.

9 A. TO ENSURE ADEQUATE PUBLIC PARTICIPATION, THE REGIONAL BODY
10 SHALL ADOPT PROCEDURES FOR THE REVIEW OF PROPOSALS THAT ARE SUBJECT
11 TO REGIONAL REVIEW IN ACCORDANCE WITH THIS ARTICLE.

12 B. THE REGIONAL BODY SHALL PROVIDE NOTICE TO THE PUBLIC OF A
13 PROPOSAL UNDERGOING REGIONAL REVIEW. SUCH NOTICE SHALL INDICATE
14 THAT THE PUBLIC HAS AN OPPORTUNITY TO COMMENT IN WRITING TO THE
15 REGIONAL BODY ON WHETHER THE PROPOSAL MEETS THE STANDARD OF REVIEW
16 AND DECISION.

17 C. THE REGIONAL BODY SHALL HOLD A PUBLIC MEETING IN THE STATE
18 OR PROVINCE OF THE ORIGINATING PARTY IN ORDER TO RECEIVE PUBLIC
19 COMMENT ON THE ISSUE OF WHETHER THE PROPOSAL UNDER CONSIDERATION
20 MEETS THE STANDARD OF REVIEW AND DECISION.

21 D. THE REGIONAL BODY SHALL CONSIDER THE COMMENTS RECEIVED
22 BEFORE ISSUING A DECLARATION OF FINDING.

23 E. THE REGIONAL BODY SHALL FORWARD THE COMMENTS IT RECEIVES TO
24 THE ORIGINATING PARTY.

25 4. TECHNICAL REVIEW.

26 A. THE ORIGINATING PARTY SHALL PROVIDE THE REGIONAL BODY WITH
27 ITS TECHNICAL REVIEW OF THE PROPOSAL UNDER CONSIDERATION.

1 B. THE ORIGINATING PARTY'S TECHNICAL REVIEW SHALL THOROUGHLY
2 ANALYZE THE PROPOSAL AND PROVIDE AN EVALUATION OF THE PROPOSAL
3 SUFFICIENT FOR A DETERMINATION OF WHETHER THE PROPOSAL MEETS THE
4 STANDARD OF REVIEW AND DECISION.

5 C. ANY MEMBER OF THE REGIONAL BODY MAY CONDUCT THEIR OWN
6 TECHNICAL REVIEW OF ANY PROPOSAL SUBJECT TO REGIONAL REVIEW.

7 D. AT THE REQUEST OF THE MAJORITY OF ITS MEMBERS, THE REGIONAL
8 BODY SHALL MAKE SUCH ARRANGEMENTS AS IT CONSIDERS APPROPRIATE FOR
9 AN INDEPENDENT TECHNICAL REVIEW OF A PROPOSAL.

10 E. ALL PARTIES SHALL EXERCISE THEIR BEST EFFORTS TO ENSURE
11 THAT A TECHNICAL REVIEW UNDERTAKEN UNDER SECTIONS 4.5.4.C AND
12 4.5.4.D DOES NOT UNNECESSARILY DELAY THE DECISION BY THE
13 ORIGINATING PARTY ON THE APPLICATION. UNLESS THE APPLICANT OR THE
14 ORIGINATING PARTY OTHERWISE REQUESTS, ALL TECHNICAL REVIEWS SHALL
15 BE COMPLETED NO LATER THAN 60 DAYS AFTER THE DATE THE NOTICE OF THE
16 PROPOSAL WAS GIVEN TO THE REGIONAL BODY.

17 5. DECLARATION OF FINDING.

18 A. THE REGIONAL BODY SHALL MEET TO CONSIDER A PROPOSAL. THE
19 APPLICANT SHALL BE PROVIDED WITH AN OPPORTUNITY TO PRESENT THE
20 PROPOSAL TO THE REGIONAL BODY AT SUCH TIME.

21 B. THE REGIONAL BODY, HAVING CONSIDERED THE NOTICE, THE
22 ORIGINATING PARTY'S TECHNICAL REVIEW, ANY OTHER INDEPENDENT
23 TECHNICAL REVIEW THAT IS MADE, ANY COMMENTS OR OBJECTIONS INCLUDING
24 THE ANALYSIS OF COMMENTS MADE BY THE PUBLIC, FIRST NATIONS AND
25 FEDERALLY RECOGNIZED TRIBES, AND ANY OTHER INFORMATION THAT IS
26 PROVIDED UNDER THIS COMPACT SHALL ISSUE A DECLARATION OF FINDING
27 THAT THE PROPOSAL UNDER CONSIDERATION:

- 1 *i.* MEETS THE STANDARD OF REVIEW AND DECISION;
2 *ii.* DOES NOT MEET THE STANDARD OF REVIEW AND DECISION; OR,
3 *iii.* WOULD MEET THE STANDARD OF REVIEW AND DECISION IF CERTAIN
4 CONDITIONS WERE MET.

5 C. AN ORIGINATING PARTY MAY DECLINE TO PARTICIPATE IN A
6 DECLARATION OF FINDING MADE BY THE REGIONAL BODY.

7 D. THE PARTIES RECOGNIZE AND AFFIRM THAT IT IS PREFERABLE FOR
8 ALL MEMBERS OF THE REGIONAL BODY TO AGREE WHETHER THE PROPOSAL
9 MEETS THE STANDARD OF REVIEW AND DECISION.

10 E. IF THE MEMBERS OF THE REGIONAL BODY WHO PARTICIPATE IN THE
11 DECLARATION OF FINDING ALL AGREE, THEY SHALL ISSUE A WRITTEN
12 DECLARATION OF FINDING WITH CONSENSUS.

13 F. IN THE EVENT THAT THE MEMBERS CANNOT AGREE, THE REGIONAL
14 BODY SHALL MAKE EVERY REASONABLE EFFORT TO ACHIEVE CONSENSUS WITHIN
15 25 DAYS.

16 G. SHOULD CONSENSUS NOT BE ACHIEVED, THE REGIONAL BODY MAY
17 ISSUE A DECLARATION OF FINDING THAT PRESENTS DIFFERENT POINTS OF
18 VIEW AND INDICATES EACH PARTY'S CONCLUSIONS.

19 H. THE REGIONAL BODY SHALL RELEASE THE DECLARATIONS OF FINDING
20 TO THE PUBLIC.

21 I. THE ORIGINATING PARTY AND THE COUNCIL SHALL CONSIDER THE
22 DECLARATION OF FINDING BEFORE MAKING A DECISION ON THE PROPOSAL.

23 SECTION 4.6. PROPOSALS SUBJECT TO PRIOR NOTICE.

24 1. BEGINNING NO LATER THAN FIVE YEARS OF THE EFFECTIVE DATE OF
25 THIS COMPACT, THE ORIGINATING PARTY SHALL PROVIDE ALL PARTIES AND
26 THE PROVINCES WITH DETAILED AND TIMELY NOTICE AND AN OPPORTUNITY TO
27 COMMENT WITHIN 90 DAYS ON ANY PROPOSAL FOR A NEW OR INCREASED

1 CONSUMPTIVE USE OF 5 MILLION GALLONS PER DAY OR GREATER AVERAGE IN
2 ANY 90-DAY PERIOD. COMMENTS SHALL ADDRESS WHETHER OR NOT THE
3 PROPOSAL IS CONSISTENT WITH THE STANDARD OF REVIEW AND DECISION.
4 THE ORIGINATING PARTY SHALL PROVIDE A RESPONSE TO ANY SUCH COMMENT
5 RECEIVED FROM ANOTHER PARTY.

6 2. A PARTY MAY PROVIDE NOTICE, AN OPPORTUNITY TO COMMENT AND A
7 RESPONSE TO COMMENTS EVEN IF THIS IS NOT REQUIRED UNDER PARAGRAPH 1
8 OF THIS SECTION. ANY PROVISION OF SUCH NOTICE AND OPPORTUNITY TO
9 COMMENT SHALL BE UNDERTAKEN ONLY AFTER CONSULTING THE APPLICANT.

10 SECTION 4.7. COUNCIL ACTIONS.

11 1. PROPOSALS FOR EXCEPTIONS SUBJECT TO COUNCIL REVIEW SHALL BE
12 SUBMITTED BY THE ORIGINATING PARTY TO THE COUNCIL FOR COUNCIL
13 REVIEW, AND WHERE APPLICABLE, TO THE REGIONAL BODY FOR CONCURRENT
14 REVIEW.

15 2. THE COUNCIL SHALL REVIEW AND TAKE ACTION ON PROPOSALS IN
16 ACCORDANCE WITH THIS COMPACT AND THE STANDARD OF REVIEW AND
17 DECISION. THE COUNCIL SHALL NOT TAKE ACTION ON A PROPOSAL SUBJECT
18 TO REGIONAL REVIEW PURSUANT TO THIS COMPACT UNLESS THE PROPOSAL
19 SHALL HAVE BEEN FIRST SUBMITTED TO AND REVIEWED BY THE REGIONAL
20 BODY. THE COUNCIL SHALL CONSIDER ANY FINDINGS RESULTING FROM SUCH
21 REVIEW.

22 SECTION 4.8. PROHIBITION OF NEW OR INCREASED DIVERSIONS.

23 ALL NEW OR INCREASED DIVERSIONS ARE PROHIBITED, EXCEPT AS
24 PROVIDED FOR IN THIS ARTICLE.

25 SECTION 4.9. EXCEPTIONS TO THE PROHIBITION OF DIVERSIONS.

26 1. STRADDLING COMMUNITIES. A PROPOSAL TO TRANSFER WATER TO AN
27 AREA WITHIN A STRADDLING COMMUNITY BUT OUTSIDE THE BASIN OR OUTSIDE

1 THE SOURCE GREAT LAKE WATERSHED SHALL BE EXCEPTED FROM THE
2 PROHIBITION AGAINST DIVERSIONS AND BE MANAGED AND REGULATED BY THE
3 ORIGINATING PARTY PROVIDED THAT, REGARDLESS OF THE VOLUME OF WATER
4 TRANSFERRED, ALL THE WATER SO TRANSFERRED SHALL BE USED SOLELY FOR
5 PUBLIC WATER SUPPLY PURPOSES WITHIN THE STRADDLING COMMUNITY, AND:

6 A. ALL WATER WITHDRAWN FROM THE BASIN SHALL BE RETURNED,
7 EITHER NATURALLY OR AFTER USE, TO THE SOURCE WATERSHED LESS AN
8 ALLOWANCE FOR CONSUMPTIVE USE. NO SURFACE WATER OR GROUNDWATER FROM
9 OUTSIDE THE BASIN MAY BE USED TO SATISFY ANY PORTION OF THIS
10 CRITERION EXCEPT IF IT:

11 i. IS PART OF A WATER SUPPLY OR WASTEWATER TREATMENT SYSTEM
12 THAT COMBINES WATER FROM INSIDE AND OUTSIDE OF THE BASIN;

13 ii. IS TREATED TO MEET APPLICABLE WATER QUALITY DISCHARGE
14 STANDARDS AND TO PREVENT THE INTRODUCTION OF INVASIVE SPECIES INTO
15 THE BASIN;

16 iii. MAXIMIZES THE PORTION OF WATER RETURNED TO THE SOURCE
17 WATERSHED AS BASIN WATER AND MINIMIZES THE SURFACE WATER OR
18 GROUNDWATER FROM OUTSIDE THE BASIN;

19 B. IF THE PROPOSAL RESULTS FROM A NEW OR INCREASED WITHDRAWAL
20 OF 100,000 GALLONS PER DAY OR GREATER AVERAGE OVER ANY 90-DAY
21 PERIOD, THE PROPOSAL SHALL ALSO MEET THE EXCEPTION STANDARD; AND,

22 C. IF THE PROPOSAL RESULTS IN A NEW OR INCREASED CONSUMPTIVE
23 USE OF 5 MILLION GALLONS PER DAY OR GREATER AVERAGE OVER ANY 90-DAY
24 PERIOD, THE PROPOSAL SHALL ALSO UNDERGO REGIONAL REVIEW.

25 2. INTRA-BASIN TRANSFER. A PROPOSAL FOR AN INTRA-BASIN
26 TRANSFER THAT WOULD BE CONSIDERED A DIVERSION UNDER THIS COMPACT,
27 AND NOT ALREADY EXCEPTED PURSUANT TO PARAGRAPH 1 OF THIS SECTION,

1 SHALL BE EXCEPTED FROM THE PROHIBITION AGAINST DIVERSIONS, PROVIDED
2 THAT:

3 A. IF THE PROPOSAL RESULTS FROM A NEW OR INCREASED WITHDRAWAL
4 LESS THAN 100,000 GALLONS PER DAY AVERAGE OVER ANY 90-DAY PERIOD,
5 THE PROPOSAL SHALL BE SUBJECT TO MANAGEMENT AND REGULATION AT THE
6 DISCRETION OF THE ORIGINATING PARTY.

7 B. IF THE PROPOSAL RESULTS FROM A NEW OR INCREASED WITHDRAWAL
8 100,000 GALLONS PER DAY OR GREATER AVERAGE OVER ANY 90-DAY PERIOD
9 AND IF THE CONSUMPTIVE USE RESULTING FROM THE WITHDRAWAL IS LESS
10 THAN 5 MILLION GALLONS PER DAY AVERAGE OVER ANY 90-DAY PERIOD:

11 i. THE PROPOSAL SHALL MEET THE EXCEPTION STANDARD AND BE
12 SUBJECT TO MANAGEMENT AND REGULATION BY THE ORIGINATING PARTY,
13 EXCEPT THAT THE WATER MAY BE RETURNED TO ANOTHER GREAT LAKE
14 WATERSHED RATHER THAN THE SOURCE WATERSHED;

15 ii. THE APPLICANT SHALL DEMONSTRATE THAT THERE IS NO FEASIBLE,
16 COST EFFECTIVE, AND ENVIRONMENTALLY SOUND WATER SUPPLY ALTERNATIVE
17 WITHIN THE GREAT LAKE WATERSHED TO WHICH THE WATER WILL BE
18 TRANSFERRED, INCLUDING CONSERVATION OF EXISTING WATER SUPPLIES;
19 AND,

20 iii. THE ORIGINATING PARTY SHALL PROVIDE NOTICE TO THE OTHER
21 PARTIES PRIOR TO MAKING ANY DECISION WITH RESPECT TO THE PROPOSAL.

22 C. IF THE PROPOSAL RESULTS IN A NEW OR INCREASED CONSUMPTIVE
23 USE OF 5 MILLION GALLONS PER DAY OR GREATER AVERAGE OVER ANY 90-DAY
24 PERIOD:

25 i. THE PROPOSAL SHALL BE SUBJECT TO MANAGEMENT AND REGULATION
26 BY THE ORIGINATING PARTY AND SHALL MEET THE EXCEPTION STANDARD,
27 ENSURING THAT WATER WITHDRAWN SHALL BE RETURNED TO THE SOURCE

1 WATERSHED;

2 ii. THE APPLICANT SHALL DEMONSTRATE THAT THERE IS NO FEASIBLE,
3 COST EFFECTIVE, AND ENVIRONMENTALLY SOUND WATER SUPPLY ALTERNATIVE
4 WITHIN THE GREAT LAKE WATERSHED TO WHICH THE WATER WILL BE
5 TRANSFERRED, INCLUDING CONSERVATION OF EXISTING WATER SUPPLIES;

6 iii. THE PROPOSAL UNDERGOES REGIONAL REVIEW; AND,

7 iv. THE PROPOSAL IS APPROVED BY THE COUNCIL. COUNCIL APPROVAL
8 SHALL BE GIVEN UNLESS ONE OR MORE COUNCIL MEMBERS VOTE TO
9 DISAPPROVE.

10 3. STRADDLING COUNTIES. A PROPOSAL TO TRANSFER WATER TO A
11 COMMUNITY WITHIN A STRADDLING COUNTY THAT WOULD BE CONSIDERED A
12 DIVERSION UNDER THIS COMPACT SHALL BE EXCEPTED FROM THE PROHIBITION
13 AGAINST DIVERSIONS, PROVIDED THAT IT SATISFIES ALL OF THE FOLLOWING
14 CONDITIONS:

15 A. THE WATER SHALL BE USED SOLELY FOR THE PUBLIC WATER SUPPLY
16 PURPOSES OF THE COMMUNITY WITHIN A STRADDLING COUNTY THAT IS
17 WITHOUT ADEQUATE SUPPLIES OF POTABLE WATER;

18 B. THE PROPOSAL MEETS THE EXCEPTION STANDARD, MAXIMIZING THE
19 PORTION OF WATER RETURNED TO THE SOURCE WATERSHED AS BASIN WATER
20 AND MINIMIZING THE SURFACE WATER OR GROUNDWATER FROM OUTSIDE THE
21 BASIN;

22 C. THE PROPOSAL SHALL BE SUBJECT TO MANAGEMENT AND REGULATION
23 BY THE ORIGINATING PARTY, REGARDLESS OF ITS SIZE;

24 D. THERE IS NO REASONABLE WATER SUPPLY ALTERNATIVE WITHIN THE
25 BASIN IN WHICH THE COMMUNITY IS LOCATED, INCLUDING CONSERVATION OF
26 EXISTING WATER SUPPLIES;

27 E. CAUTION SHALL BE USED IN DETERMINING WHETHER OR NOT THE

1 PROPOSAL MEETS THE CONDITIONS FOR THIS EXCEPTION. THIS EXCEPTION
2 SHOULD NOT BE AUTHORIZED UNLESS IT CAN BE SHOWN THAT IT WILL NOT
3 ENDANGER THE INTEGRITY OF THE BASIN ECOSYSTEM;

4 F. THE PROPOSAL UNDERGOES REGIONAL REVIEW; AND,

5 G. THE PROPOSAL IS APPROVED BY THE COUNCIL. COUNCIL APPROVAL
6 SHALL BE GIVEN UNLESS ONE OR MORE COUNCIL MEMBERS VOTE TO
7 DISAPPROVE.

8 A PROPOSAL MUST SATISFY ALL OF THE CONDITIONS LISTED ABOVE.
9 FURTHER, SUBSTANTIVE CONSIDERATION WILL ALSO BE GIVEN TO WHETHER OR
10 NOT THE PROPOSAL CAN PROVIDE SUFFICIENT SCIENTIFICALLY BASED
11 EVIDENCE THAT THE EXISTING WATER SUPPLY IS DERIVED FROM GROUNDWATER
12 THAT IS HYDROLOGICALLY INTERCONNECTED TO WATERS OF THE BASIN.

13 4. EXCEPTION STANDARD. PROPOSALS SUBJECT TO MANAGEMENT AND
14 REGULATION IN THIS SECTION SHALL BE DECLARED TO MEET THIS EXCEPTION
15 STANDARD AND MAY BE APPROVED AS APPROPRIATE ONLY WHEN THE FOLLOWING
16 CRITERIA ARE MET:

17 A. THE NEED FOR ALL OR PART OF THE PROPOSED EXCEPTION CANNOT
18 BE REASONABLY AVOIDED THROUGH THE EFFICIENT USE AND CONSERVATION OF
19 EXISTING WATER SUPPLIES;

20 B. THE EXCEPTION WILL BE LIMITED TO QUANTITIES THAT ARE
21 CONSIDERED REASONABLE FOR THE PURPOSES FOR WHICH IT IS PROPOSED;

22 C. ALL WATER WITHDRAWN SHALL BE RETURNED, EITHER NATURALLY OR
23 AFTER USE, TO THE SOURCE WATERSHED LESS AN ALLOWANCE FOR
24 CONSUMPTIVE USE. NO SURFACE WATER OR GROUNDWATER FROM THE OUTSIDE
25 THE BASIN MAY BE USED TO SATISFY ANY PORTION OF THIS CRITERION
26 EXCEPT IF IT:

27 i. IS PART OF A WATER SUPPLY OR WASTEWATER TREATMENT SYSTEM

1 THAT COMBINES WATER FROM INSIDE AND OUTSIDE OF THE BASIN;

2 *ii.* IS TREATED TO MEET APPLICABLE WATER QUALITY DISCHARGE
3 STANDARDS AND TO PREVENT THE INTRODUCTION OF INVASIVE SPECIES INTO
4 THE BASIN;

5 D. THE EXCEPTION WILL BE IMPLEMENTED SO AS TO ENSURE THAT IT
6 WILL RESULT IN NO SIGNIFICANT INDIVIDUAL OR CUMULATIVE ADVERSE
7 IMPACTS TO THE QUANTITY OR QUALITY OF THE WATERS AND WATER
8 DEPENDENT NATURAL RESOURCES OF THE BASIN WITH CONSIDERATION GIVEN
9 TO THE POTENTIAL CUMULATIVE IMPACTS OF ANY PRECEDENT-SETTING
10 CONSEQUENCES ASSOCIATED WITH THE PROPOSAL;

11 E. THE EXCEPTION WILL BE IMPLEMENTED SO AS TO INCORPORATE
12 ENVIRONMENTALLY SOUND AND ECONOMICALLY FEASIBLE WATER CONSERVATION
13 MEASURES TO MINIMIZE WATER WITHDRAWALS OR CONSUMPTIVE USE;

14 F. THE EXCEPTION WILL BE IMPLEMENTED SO AS TO ENSURE THAT IT
15 IS IN COMPLIANCE WITH ALL APPLICABLE MUNICIPAL, STATE AND FEDERAL
16 LAWS AS WELL AS REGIONAL INTERSTATE AND INTERNATIONAL AGREEMENTS,
17 INCLUDING THE BOUNDARY WATERS TREATY OF 1909; AND,

18 G. ALL OTHER APPLICABLE CRITERIA IN SECTION 4.9 HAVE ALSO BEEN
19 MET.

20 SECTION 4.10. MANAGEMENT AND REGULATION OF NEW OR INCREASED
21 WITHDRAWALS AND CONSUMPTIVE USES.

22 1. WITHIN FIVE YEARS OF THE EFFECTIVE DATE OF THIS COMPACT,
23 EACH PARTY SHALL CREATE A PROGRAM FOR THE MANAGEMENT AND REGULATION
24 OF NEW OR INCREASED WITHDRAWALS AND CONSUMPTIVE USES BY ADOPTING
25 AND IMPLEMENTING MEASURES CONSISTENT WITH THE DECISION-MAKING
26 STANDARD. EACH PARTY, THROUGH A CONSIDERED PROCESS, SHALL SET AND
27 MAY MODIFY THRESHOLD LEVELS FOR THE REGULATION OF NEW OR INCREASED

1 WITHDRAWALS IN ORDER TO ASSURE AN EFFECTIVE AND EFFICIENT WATER
2 MANAGEMENT PROGRAM THAT WILL ENSURE THAT USES OVERALL ARE
3 REASONABLE, THAT WITHDRAWALS OVERALL WILL NOT RESULT IN SIGNIFICANT
4 IMPACTS TO THE WATERS AND WATER DEPENDENT NATURAL RESOURCES OF THE
5 BASIN, DETERMINED ON THE BASIS OF SIGNIFICANT IMPACTS TO THE
6 PHYSICAL, CHEMICAL, AND BIOLOGICAL INTEGRITY OF SOURCE WATERSHEDS,
7 AND THAT ALL OTHER OBJECTIVES OF THE COMPACT ARE ACHIEVED. EACH
8 PARTY MAY DETERMINE THE SCOPE AND THRESHOLDS OF ITS PROGRAM,
9 INCLUDING WHICH NEW OR INCREASED WITHDRAWALS AND CONSUMPTIVE USES
10 WILL BE SUBJECT TO THE PROGRAM.

11 2. ANY PARTY THAT FAILS TO SET THRESHOLD LEVELS THAT COMPLY
12 WITH SECTION 4.10.1 ANY TIME BEFORE 10 YEARS AFTER THE EFFECTIVE
13 DATE OF THIS COMPACT SHALL APPLY A THRESHOLD LEVEL FOR MANAGEMENT
14 AND REGULATION OF ALL NEW OR INCREASED WITHDRAWALS OF 100,000
15 GALLONS PER DAY OR GREATER AVERAGE IN ANY 90 DAY PERIOD.

16 3. THE PARTIES INTEND PROGRAMS FOR NEW OR INCREASED
17 WITHDRAWALS AND CONSUMPTIVE USES TO EVOLVE AS MAY BE NECESSARY TO
18 PROTECT BASIN WATERS. PURSUANT TO SECTION 3.4, THE COUNCIL, IN
19 COOPERATION WITH THE PROVINCES, SHALL PERIODICALLY ASSESS THE WATER
20 MANAGEMENT PROGRAMS OF THE PARTIES. SUCH ASSESSMENTS MAY PRODUCE
21 RECOMMENDATIONS FOR THE STRENGTHENING OF THE PROGRAMS, INCLUDING
22 WITHOUT LIMITATION, ESTABLISHING LOWER THRESHOLDS FOR MANAGEMENT
23 AND REGULATION IN ACCORDANCE WITH THE DECISION-MAKING STANDARD.

24 SECTION 4.11. DECISION-MAKING STANDARD.

25 PROPOSALS SUBJECT TO MANAGEMENT AND REGULATION IN SECTION 4.10
26 SHALL BE DECLARED TO MEET THIS DECISION-MAKING STANDARD AND MAY BE
27 APPROVED AS APPROPRIATE ONLY WHEN THE FOLLOWING CRITERIA ARE MET:

1 1. ALL WATER WITHDRAWN SHALL BE RETURNED, EITHER NATURALLY OR
2 AFTER USE, TO THE SOURCE WATERSHED LESS AN ALLOWANCE FOR
3 CONSUMPTIVE USE;

4 2. THE WITHDRAWAL OR CONSUMPTIVE USE WILL BE IMPLEMENTED SO AS
5 TO ENSURE THAT THE PROPOSAL WILL RESULT IN NO SIGNIFICANT
6 INDIVIDUAL OR CUMULATIVE ADVERSE IMPACTS TO THE QUANTITY OR QUALITY
7 OF THE WATERS AND WATER DEPENDENT NATURAL RESOURCES AND THE
8 APPLICABLE SOURCE WATERSHED;

9 3. THE WITHDRAWAL OR CONSUMPTIVE USE WILL BE IMPLEMENTED SO AS
10 TO INCORPORATE ENVIRONMENTALLY SOUND AND ECONOMICALLY FEASIBLE
11 WATER CONSERVATION MEASURES;

12 4. THE WITHDRAWAL OR CONSUMPTIVE USE WILL BE IMPLEMENTED SO AS
13 TO ENSURE THAT IT IS IN COMPLIANCE WITH ALL APPLICABLE MUNICIPAL,
14 STATE AND FEDERAL LAWS AS WELL AS REGIONAL INTERSTATE AND
15 INTERNATIONAL AGREEMENTS, INCLUDING THE BOUNDARY WATERS TREATY OF
16 1909;

17 5. THE PROPOSED USE IS REASONABLE, BASED UPON A CONSIDERATION
18 OF THE FOLLOWING FACTORS:

19 A. WHETHER THE PROPOSED WITHDRAWAL OR CONSUMPTIVE USE IS
20 PLANNED IN A FASHION THAT PROVIDES FOR EFFICIENT USE OF THE WATER,
21 AND WILL AVOID OR MINIMIZE THE WASTE OF WATER;

22 B. IF THE PROPOSAL IS FOR AN INCREASED WITHDRAWAL OR
23 CONSUMPTIVE USE, WHETHER EFFICIENT USE IS MADE OF EXISTING WATER
24 SUPPLIES;

25 C. THE BALANCE BETWEEN ECONOMIC DEVELOPMENT, SOCIAL
26 DEVELOPMENT AND ENVIRONMENTAL PROTECTION OF THE PROPOSED WITHDRAWAL
27 AND USE AND OTHER EXISTING OR PLANNED WITHDRAWALS AND WATER USES

1 SHARING THE WATER SOURCE;

2 D. THE SUPPLY POTENTIAL OF THE WATER SOURCE, CONSIDERING
3 QUANTITY, QUALITY, AND RELIABILITY AND SAFE YIELD OF HYDROLOGICALLY
4 INTERCONNECTED WATER SOURCES;

5 E. THE PROBABLE DEGREE AND DURATION OF ANY ADVERSE IMPACTS
6 CAUSED OR EXPECTED TO BE CAUSED BY THE PROPOSED WITHDRAWAL AND USE
7 UNDER FORESEEABLE CONDITIONS, TO OTHER LAWFUL CONSUMPTIVE OR NON-
8 CONSUMPTIVE USES OF WATER OR TO THE QUANTITY OR QUALITY OF THE
9 WATERS AND WATER DEPENDENT NATURAL RESOURCES OF THE BASIN, AND THE
10 PROPOSED PLANS AND ARRANGEMENTS FOR AVOIDANCE OR MITIGATION OF SUCH
11 IMPACTS; AND,

12 F. IF A PROPOSAL INCLUDES RESTORATION OF HYDROLOGIC CONDITIONS
13 AND FUNCTIONS OF THE SOURCE WATERSHED, THE PARTY MAY CONSIDER THAT.

14 SECTION 4.12. APPLICABILITY.

15 1. MINIMUM STANDARD. THIS STANDARD OF REVIEW AND DECISION
16 SHALL BE USED AS A MINIMUM STANDARD. PARTIES MAY IMPOSE A MORE
17 RESTRICTIVE DECISION-MAKING STANDARD FOR WITHDRAWALS UNDER THEIR
18 AUTHORITY. IT IS ALSO ACKNOWLEDGED THAT ALTHOUGH A PROPOSAL MEETS
19 THE STANDARD OF REVIEW AND DECISION IT MAY NOT BE APPROVED UNDER
20 THE LAWS OF THE ORIGINATING PARTY THAT HAS IMPLEMENTED MORE
21 RESTRICTIVE MEASURES.

22 2. BASELINE.

23 A. TO ESTABLISH A BASELINE FOR DETERMINING A NEW OR INCREASED
24 DIVERSION, CONSUMPTIVE USE OR WITHDRAWAL, EACH PARTY SHALL DEVELOP
25 EITHER OR BOTH OF THE FOLLOWING LISTS FOR THEIR JURISDICTION:

26 i. A LIST OF EXISTING WITHDRAWAL APPROVALS AS OF THE EFFECTIVE
27 DATE OF THE COMPACT;

1 ii. A LIST OF THE CAPACITY OF EXISTING SYSTEMS AS OF THE
2 EFFECTIVE DATE OF THIS COMPACT. THE CAPACITY OF THE EXISTING
3 SYSTEMS SHOULD BE PRESENTED IN TERMS OF WITHDRAWAL CAPACITY,
4 TREATMENT CAPACITY, DISTRIBUTION CAPACITY, OR OTHER CAPACITY
5 LIMITING FACTORS. THE CAPACITY OF THE EXISTING SYSTEMS MUST
6 REPRESENT THE STATE OF THE SYSTEMS. EXISTING CAPACITY
7 DETERMINATIONS SHALL BE BASED UPON APPROVAL LIMITS OR THE MOST
8 RESTRICTIVE CAPACITY INFORMATION.

9 B. FOR ALL PURPOSES OF THIS COMPACT, VOLUMES OF DIVERSIONS,
10 CONSUMPTIVE USES, OR WITHDRAWALS OF WATER SET FORTH IN THE LIST(S)
11 PREPARED BY EACH PARTY IN ACCORDANCE WITH THIS SECTION, SHALL
12 CONSTITUTE THE BASELINE VOLUME.

13 C. THE LIST(S) SHALL BE FURNISHED TO THE REGIONAL BODY AND THE
14 COUNCIL WITHIN ONE YEAR OF THE EFFECTIVE DATE OF THIS COMPACT.

15 3. TIMING OF ADDITIONAL APPLICATIONS. APPLICATIONS FOR NEW OR
16 INCREASED WITHDRAWALS, CONSUMPTIVE USES OR EXCEPTIONS SHALL BE
17 CONSIDERED CUMULATIVELY WITHIN TEN YEARS OF ANY APPLICATION.

18 4. CHANGE OF OWNERSHIP. UNLESS A NEW OWNER PROPOSES A PROJECT
19 THAT SHALL RESULT IN A PROPOSAL FOR A NEW OR INCREASED DIVERSION OR
20 CONSUMPTIVE USE SUBJECT TO REGIONAL REVIEW OR COUNCIL APPROVAL, THE
21 CHANGE OF OWNERSHIP IN AND OF ITSELF SHALL NOT REQUIRE REGIONAL
22 REVIEW OR COUNCIL APPROVAL.

23 5. GROUNDWATER. THE BASIN SURFACE WATER DIVIDE SHALL BE USED
24 FOR THE PURPOSE OF MANAGING AND REGULATING NEW OR INCREASED
25 DIVERSIONS, CONSUMPTIVE USES OR WITHDRAWALS OF SURFACE WATER AND
26 GROUNDWATER.

27 6. WITHDRAWAL SYSTEMS. THE TOTAL VOLUME OF SURFACE WATER AND

1 GROUNDWATER RESOURCES THAT SUPPLY A COMMON DISTRIBUTION SYSTEM
2 SHALL DETERMINE THE VOLUME OF A WITHDRAWAL, CONSUMPTIVE USE OR
3 DIVERSION.

4 7. CONNECTING CHANNELS. THE WATERSHED OF EACH GREAT LAKE SHALL
5 INCLUDE ITS UPSTREAM AND DOWNSTREAM CONNECTING CHANNELS.

6 8. TRANSMISSION IN WATER LINES. TRANSMISSION OF WATER WITHIN A
7 LINE THAT EXTENDS OUTSIDE THE BASIN AS IT CONVEYS WATER FROM ONE
8 POINT TO ANOTHER WITHIN THE BASIN SHALL NOT BE CONSIDERED A
9 DIVERSION IF NONE OF THE WATER IS USED OUTSIDE THE BASIN.

10 9. HYDROLOGIC UNITS. THE LAKE MICHIGAN AND LAKE HURON
11 WATERSHEDS SHALL BE CONSIDERED TO BE A SINGLE HYDROLOGIC UNIT AND
12 WATERSHED.

13 10. BULK WATER TRANSFER. A PROPOSAL TO WITHDRAW WATER AND TO
14 REMOVE IT FROM THE BASIN IN ANY CONTAINER GREATER THAN 5.7 GALLONS
15 SHALL BE TREATED UNDER THIS COMPACT IN THE SAME MANNER AS A
16 PROPOSAL FOR A DIVERSION. EACH PARTY SHALL HAVE THE DISCRETION,
17 WITHIN ITS JURISDICTION, TO DETERMINE THE TREATMENT OF PROPOSALS TO
18 WITHDRAW WATER AND TO REMOVE IT FROM THE BASIN IN ANY CONTAINER OF
19 5.7 GALLONS OR LESS.

20 SECTION 4.13. EXEMPTIONS.

21 WITHDRAWALS FROM THE BASIN FOR THE FOLLOWING PURPOSES ARE
22 EXEMPT FROM THE REQUIREMENTS OF ARTICLE 4.

23 1. TO SUPPLY VEHICLES, INCLUDING VESSELS AND AIRCRAFT, WHETHER
24 FOR THE NEEDS OF THE PERSONS OR ANIMALS BEING TRANSPORTED OR FOR
25 BALLAST OR OTHER NEEDS RELATED TO THE OPERATION OF THE VEHICLES.

26 2. TO USE IN A NON-COMMERCIAL PROJECT ON A SHORT-TERM BASIS
27 FOR FIREFIGHTING, HUMANITARIAN, OR EMERGENCY RESPONSE PURPOSES.

1 SECTION 4.14. U.S. SUPREME COURT DECREE: WISCONSIN V.
2 ILLINOIS, 388 U.S. 426 (1967).

3 1. NOTWITHSTANDING ANY TERMS OF THIS COMPACT TO THE CONTRARY,
4 WITH THE EXCEPTION OF PARAGRAPH 5 OF THIS SECTION, CURRENT, NEW OR
5 INCREASED WITHDRAWALS, CONSUMPTIVE USES AND DIVERSIONS OF BASIN
6 WATER BY THE STATE OF ILLINOIS SHALL BE GOVERNED BY THE TERMS OF
7 THE UNITED STATES SUPREME COURT DECREE IN WISCONSIN V. ILLINOIS,
8 388 U.S. 426 (1967) AND SHALL NOT BE SUBJECT TO THE TERMS OF THIS
9 COMPACT NOR ANY RULES OR REGULATIONS PROMULGATED PURSUANT TO THIS
10 COMPACT. THIS MEANS THAT, WITH THE EXCEPTION OF PARAGRAPH 5 OF THIS
11 SECTION, FOR PURPOSES OF THIS COMPACT, CURRENT, NEW OR INCREASED
12 WITHDRAWALS, CONSUMPTIVE USES AND DIVERSIONS OF BASIN WATER WITHIN
13 THE STATE OF ILLINOIS SHALL BE ALLOWED UNLESS PROHIBITED BY THE
14 TERMS OF THE UNITED STATES SUPREME COURT DECREE IN WISCONSIN V.
15 ILLINOIS, 388 U.S. 426 (1967).

16 2. THE PARTIES ACKNOWLEDGE THAT THE UNITED STATES SUPREME
17 COURT DECREE IN WISCONSIN V. ILLINOIS, 388 U.S. 426 (1967) SHALL
18 CONTINUE IN FULL FORCE AND EFFECT, THAT THIS COMPACT SHALL NOT
19 MODIFY ANY TERMS THEREOF, AND THAT THIS COMPACT SHALL GRANT THE
20 PARTIES NO ADDITIONAL RIGHTS, OBLIGATIONS, REMEDIES OR DEFENSES
21 THERETO. THE PARTIES SPECIFICALLY ACKNOWLEDGE THAT THIS COMPACT
22 SHALL NOT PROHIBIT OR LIMIT THE STATE OF ILLINOIS IN ANY MANNER
23 FROM SEEKING ADDITIONAL BASIN WATER AS ALLOWED UNDER THE TERMS OF
24 THE UNITED STATES SUPREME COURT DECREE IN WISCONSIN V. ILLINOIS,
25 388 U.S. 426 (1967), ANY OTHER PARTY FROM OBJECTING TO ANY REQUEST
26 BY THE STATE OF ILLINOIS FOR ADDITIONAL BASIN WATER UNDER THE TERMS
27 OF SAID DECREE, OR ANY PARTY FROM SEEKING ANY OTHER TYPE OF

1 MODIFICATION TO SAID DECREE. IF AN APPLICATION IS MADE BY ANY PARTY
2 TO THE SUPREME COURT OF THE UNITED STATES TO MODIFY SAID DECREE,
3 THE PARTIES TO THIS COMPACT WHO ARE ALSO PARTIES TO THE DECREE
4 SHALL SEEK FORMAL INPUT FROM THE CANADIAN PROVINCES OF ONTARIO AND
5 QUÉBEC, WITH RESPECT TO THE PROPOSED MODIFICATION, USE BEST EFFORTS
6 TO FACILITATE THE APPROPRIATE PARTICIPATION OF SAID PROVINCES IN
7 THE PROCEEDINGS TO MODIFY THE DECREE, AND SHALL NOT UNREASONABLY
8 IMPEDE OR RESTRICT SUCH PARTICIPATION.

9 3. WITH THE EXCEPTION OF PARAGRAPH 5 OF THIS SECTION, BECAUSE
10 CURRENT, NEW OR INCREASED WITHDRAWALS, CONSUMPTIVE USES AND
11 DIVERSIONS OF BASIN WATER BY THE STATE OF ILLINOIS ARE NOT SUBJECT
12 TO THE TERMS OF THIS COMPACT, THE STATE OF ILLINOIS IS PROHIBITED
13 FROM USING ANY TERM OF THIS COMPACT, INCLUDING SECTION 4.9, TO SEEK
14 NEW OR INCREASED WITHDRAWALS, CONSUMPTIVE USES OR DIVERSIONS OF
15 BASIN WATER.

16 4. WITH THE EXCEPTION OF PARAGRAPH 5 OF THIS SECTION, BECAUSE
17 SECTIONS 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12
18 (PARAGRAPHS 1, 2, 3, 4, 6 AND 10 ONLY), AND 4.13 OF THIS COMPACT
19 ALL RELATE TO CURRENT, NEW OR INCREASED WITHDRAWALS, CONSUMPTIVE
20 USES AND DIVERSIONS OF BASIN WATERS, SAID PROVISIONS DO NOT APPLY
21 TO THE STATE OF ILLINOIS. ALL OTHER PROVISIONS OF THIS COMPACT NOT
22 LISTED IN THE PRECEDING SENTENCE SHALL APPLY TO THE STATE OF
23 ILLINOIS, INCLUDING THE WATER CONSERVATION PROGRAMS PROVISION OF
24 SECTION 4.2.

25 5. IN THE EVENT OF A PROPOSAL FOR A DIVERSION OF BASIN WATER
26 FOR USE OUTSIDE THE TERRITORIAL BOUNDARIES OF THE PARTIES TO THIS
27 COMPACT, DECISIONS BY THE STATE OF ILLINOIS REGARDING SUCH A

1 PROPOSAL WOULD BE SUBJECT TO ALL TERMS OF THIS COMPACT, EXCEPT
2 PARAGRAPHS 1, 3 AND 4 OF THIS SECTION.

3 6. FOR PURPOSES OF THE STATE OF ILLINOIS' PARTICIPATION IN
4 THIS COMPACT, THE ENTIRETY OF THIS SECTION 4.14 IS NECESSARY FOR
5 THE CONTINUED IMPLEMENTATION OF THIS COMPACT AND, IF SEVERED, THIS
6 COMPACT SHALL NO LONGER BE BINDING ON OR ENFORCEABLE BY OR AGAINST
7 THE STATE OF ILLINOIS.

8 SECTION 4.15. ASSESSMENT OF CUMULATIVE IMPACTS.

9 1. THE PARTIES IN COOPERATION WITH THE PROVINCES SHALL
10 COLLECTIVELY CONDUCT WITHIN THE BASIN, ON A LAKE WATERSHED AND ST.
11 LAWRENCE RIVER BASIN BASIS, A PERIODIC ASSESSMENT OF THE CUMULATIVE
12 IMPACTS OF WITHDRAWALS, DIVERSIONS AND CONSUMPTIVE USES FROM THE
13 WATERS OF THE BASIN, EVERY 5 YEARS OR EACH TIME THE INCREMENTAL
14 BASIN WATER LOSSES REACH 50 MILLION GALLONS PER DAY AVERAGE IN ANY
15 90-DAY PERIOD IN EXCESS OF THE QUANTITY AT THE TIME OF THE MOST
16 RECENT ASSESSMENT, WHICHEVER COMES FIRST, OR AT THE REQUEST OF ONE
17 OR MORE OF THE PARTIES. THE ASSESSMENT SHALL FORM THE BASIS FOR A
18 REVIEW OF THE STANDARD OF REVIEW AND DECISION, COUNCIL AND PARTY
19 REGULATIONS AND THEIR APPLICATION. THIS ASSESSMENT SHALL:

20 A. UTILIZE THE MOST CURRENT AND APPROPRIATE GUIDELINES FOR
21 SUCH A REVIEW, WHICH MAY INCLUDE BUT NOT BE LIMITED TO COUNCIL ON
22 ENVIRONMENTAL QUALITY AND ENVIRONMENT CANADA GUIDELINES;

23 B. GIVE SUBSTANTIVE CONSIDERATION TO CLIMATE CHANGE OR OTHER
24 SIGNIFICANT THREATS TO BASIN WATERS AND TAKE INTO ACCOUNT THE
25 CURRENT STATE OF SCIENTIFIC KNOWLEDGE, OR UNCERTAINTY, AND
26 APPROPRIATE MEASURES TO EXERCISE CAUTION IN CASES OF UNCERTAINTY IF
27 SERIOUS DAMAGE MAY RESULT;

1 C. CONSIDER ADAPTIVE MANAGEMENT PRINCIPLES AND APPROACHES,
2 RECOGNIZING, CONSIDERING AND PROVIDING ADJUSTMENTS FOR THE
3 UNCERTAINTIES IN, AND EVOLUTION OF SCIENCE CONCERNING THE BASIN'S
4 WATER RESOURCES, WATERSHEDS AND ECOSYSTEMS, INCLUDING POTENTIAL
5 CHANGES TO BASIN-WIDE PROCESSES, SUCH AS LAKE LEVEL CYCLES AND
6 CLIMATE.

7 2. THE PARTIES HAVE THE RESPONSIBILITY OF CONDUCTING THIS
8 CUMULATIVE IMPACT ASSESSMENT. APPLICANTS ARE NOT REQUIRED TO
9 PARTICIPATE IN THIS ASSESSMENT.

10 3. UNLESS REQUIRED BY OTHER STATUTES, APPLICANTS ARE NOT
11 REQUIRED TO CONDUCT A SEPARATE CUMULATIVE IMPACT ASSESSMENT IN
12 CONNECTION WITH AN APPLICATION BUT SHALL SUBMIT INFORMATION ABOUT
13 THE POTENTIAL IMPACTS OF A PROPOSAL TO THE QUANTITY OR QUALITY OF
14 THE WATERS AND WATER DEPENDENT NATURAL RESOURCES OF THE APPLICABLE
15 SOURCE WATERSHED. AN APPLICANT MAY, HOWEVER, PROVIDE AN ANALYSIS OF
16 HOW THEIR PROPOSAL MEETS THE NO SIGNIFICANT ADVERSE CUMULATIVE
17 IMPACT PROVISION OF THE STANDARD OF REVIEW AND DECISION.

18 ARTICLE 5

19 TRIBAL CONSULTATION

20 SECTION 5.1. CONSULTATION WITH TRIBES

21 1. IN ADDITION TO ALL OTHER OPPORTUNITIES TO COMMENT PURSUANT
22 TO SECTION 6.2, APPROPRIATE CONSULTATIONS SHALL OCCUR WITH
23 FEDERALLY RECOGNIZED TRIBES IN THE ORIGINATING PARTY FOR
24 ALL PROPOSALS SUBJECT TO COUNCIL OR REGIONAL REVIEW PURSUANT TO
25 THIS COMPACT. SUCH CONSULTATIONS SHALL BE ORGANIZED IN THE MANNER
26 SUITABLE TO THE INDIVIDUAL PROPOSAL AND THE LAWS AND POLICIES OF
27 THE ORIGINATING PARTY.

1 2. ALL FEDERALLY RECOGNIZED TRIBES WITHIN THE BASIN SHALL
2 RECEIVE REASONABLE NOTICE INDICATING THAT THEY HAVE AN OPPORTUNITY
3 TO COMMENT IN WRITING TO THE COUNCIL OR THE REGIONAL BODY, OR BOTH,
4 AND OTHER RELEVANT ORGANIZATIONS ON WHETHER THE PROPOSAL MEETS THE
5 REQUIREMENTS OF THE STANDARD OF REVIEW AND DECISION WHEN A PROPOSAL
6 IS SUBJECT TO REGIONAL REVIEW OR COUNCIL APPROVAL. ANY NOTICE FROM
7 THE COUNCIL SHALL INFORM THE TRIBES OF ANY MEETING OR HEARING THAT
8 IS TO BE HELD UNDER SECTION 6.2 AND INVITE THEM TO ATTEND. THE
9 PARTIES AND THE COUNCIL SHALL CONSIDER THE COMMENTS RECEIVED UNDER
10 THIS SECTION BEFORE APPROVING, APPROVING WITH MODIFICATIONS OR
11 DISAPPROVING ANY PROPOSAL SUBJECT TO COUNCIL OR REGIONAL REVIEW.

12 3. IN ADDITION TO THE SPECIFIC CONSULTATION MECHANISMS
13 DESCRIBED ABOVE, THE COUNCIL SHALL SEEK TO ESTABLISH MUTUALLY
14 AGREED UPON MECHANISMS OR PROCESSES TO FACILITATE DIALOGUE WITH,
15 AND INPUT FROM FEDERALLY RECOGNIZED TRIBES ON MATTERS TO BE DEALT
16 WITH BY THE COUNCIL; AND, THE COUNCIL SHALL SEEK TO ESTABLISH
17 MECHANISMS AND PROCESSES WITH FEDERALLY RECOGNIZED TRIBES DESIGNED
18 TO FACILITATE ON-GOING SCIENTIFIC AND TECHNICAL INTERACTION AND
19 DATA EXCHANGE REGARDING MATTERS FALLING WITHIN THE SCOPE OF THIS
20 COMPACT. THIS MAY INCLUDE PARTICIPATION OF TRIBAL REPRESENTATIVES
21 ON ADVISORY COMMITTEES ESTABLISHED UNDER THIS COMPACT OR SUCH OTHER
22 PROCESSES THAT ARE MUTUALLY-AGREED UPON WITH FEDERALLY RECOGNIZED
23 TRIBES INDIVIDUALLY OR THROUGH DULY-AUTHORIZED INTERTRIBAL AGENCIES
24 OR BODIES.

25 ARTICLE 6

26 PUBLIC PARTICIPATION

27 SECTION 6.1. MEETINGS, PUBLIC HEARINGS AND RECORDS.

1 1. THE PARTIES RECOGNIZE THE IMPORTANCE AND NECESSITY OF
2 PUBLIC PARTICIPATION IN PROMOTING MANAGEMENT OF THE WATER RESOURCES
3 OF THE BASIN. CONSEQUENTLY, ALL MEETINGS OF THE COUNCIL SHALL BE
4 OPEN TO THE PUBLIC, EXCEPT WITH RESPECT TO ISSUES OF PERSONNEL.

5 2. THE MINUTES OF THE COUNCIL SHALL BE A PUBLIC RECORD OPEN TO
6 INSPECTION AT ITS OFFICES DURING REGULAR BUSINESS HOURS.

7 SECTION 6.2. PUBLIC PARTICIPATION.

8 IT IS THE INTENT OF THE COUNCIL TO CONDUCT PUBLIC
9 PARTICIPATION PROCESSES CONCURRENTLY AND JOINTLY WITH PROCESSES
10 UNDERTAKEN BY THE PARTIES AND THROUGH REGIONAL REVIEW. TO ENSURE
11 ADEQUATE PUBLIC PARTICIPATION, EACH PARTY OR THE COUNCIL SHALL
12 ENSURE PROCEDURES FOR THE REVIEW OF PROPOSALS SUBJECT TO THE
13 STANDARD OF REVIEW AND DECISION CONSISTENT WITH THE FOLLOWING
14 REQUIREMENTS:

15 1. PROVIDE PUBLIC NOTIFICATION OF RECEIPT OF ALL APPLICATIONS
16 AND A REASONABLE OPPORTUNITY FOR THE PUBLIC TO SUBMIT COMMENTS
17 BEFORE APPLICATIONS ARE ACTED UPON.

18 2. ASSURE PUBLIC ACCESSIBILITY TO ALL DOCUMENTS RELEVANT TO AN
19 APPLICATION, INCLUDING PUBLIC COMMENT RECEIVED.

20 3. PROVIDE GUIDANCE ON STANDARDS FOR DETERMINING WHETHER TO
21 CONDUCT A PUBLIC MEETING OR HEARING FOR AN APPLICATION, TIME AND
22 PLACE OF SUCH A MEETING(S) OR HEARING(S), AND PROCEDURES FOR
23 CONDUCTING OF THE SAME.

24 4. PROVIDE THE RECORD OF DECISION FOR PUBLIC INSPECTION
25 INCLUDING COMMENTS, OBJECTIONS, RESPONSES AND APPROVALS, APPROVALS
26 WITH CONDITIONS AND DISAPPROVALS.

27 ARTICLE 7

DISPUTE RESOLUTION AND ENFORCEMENT

SECTION 7.1. GOOD FAITH IMPLEMENTATION.

EACH OF THE PARTIES PLEDGES TO SUPPORT IMPLEMENTATION OF ALL PROVISIONS OF THIS COMPACT, AND COVENANTS THAT ITS OFFICERS AND AGENCIES SHALL NOT HINDER, IMPAIR, OR PREVENT ANY OTHER PARTY CARRYING OUT ANY PROVISION OF THIS COMPACT.

SECTION 7.2. ALTERNATIVE DISPUTE RESOLUTION.

1. DESIRING THAT THIS COMPACT BE CARRIED OUT IN FULL, THE PARTIES AGREE THAT DISPUTES BETWEEN THE PARTIES REGARDING INTERPRETATION, APPLICATION AND IMPLEMENTATION OF THIS COMPACT SHALL BE SETTLED BY ALTERNATIVE DISPUTE RESOLUTION.

2. THE COUNCIL, IN CONSULTATION WITH THE PROVINCES, SHALL PROVIDE BY RULE PROCEDURES FOR THE RESOLUTION OF DISPUTES PURSUANT TO THIS SECTION.

SECTION 7.3. ENFORCEMENT.

1. ANY PERSON AGGRIEVED BY ANY ACTION TAKEN BY THE COUNCIL PURSUANT TO THE AUTHORITIES CONTAINED IN THIS COMPACT SHALL BE ENTITLED TO A HEARING BEFORE THE COUNCIL. ANY PERSON AGGRIEVED BY A PARTY ACTION SHALL BE ENTITLED TO A HEARING PURSUANT TO THE RELEVANT PARTY'S ADMINISTRATIVE PROCEDURES AND LAWS. AFTER EXHAUSTION OF SUCH ADMINISTRATIVE REMEDIES, (i) ANY AGGRIEVED PERSON SHALL HAVE THE RIGHT TO JUDICIAL REVIEW OF A COUNCIL ACTION IN THE UNITED STATES DISTRICT COURTS FOR THE DISTRICT OF COLUMBIA OR THE DISTRICT COURT IN WHICH THE COUNCIL MAINTAINS OFFICES, PROVIDED SUCH ACTION IS COMMENCED WITHIN 90 DAYS; AND, (ii) ANY AGGRIEVED PERSON SHALL HAVE THE RIGHT TO JUDICIAL REVIEW OF A PARTY'S ACTION IN THE RELEVANT PARTY'S COURT OF COMPETENT JURISDICTION, PROVIDED

1 THAT AN ACTION OR PROCEEDING FOR SUCH REVIEW IS COMMENCED WITHIN
2 THE TIME FRAMES PROVIDED FOR BY THE PARTY'S LAW. FOR THE PURPOSES
3 OF THIS PARAGRAPH, A STATE OR PROVINCE IS DEEMED TO BE AN AGGRIEVED
4 PERSON WITH RESPECT TO ANY PARTY ACTION PURSUANT TO THIS COMPACT.

5 2. A. ANY PARTY OR THE COUNCIL MAY INITIATE ACTIONS TO COMPEL
6 COMPLIANCE WITH THE PROVISIONS OF THIS COMPACT, AND THE RULES AND
7 REGULATIONS PROMULGATED HEREUNDER BY THE COUNCIL. JURISDICTION OVER
8 SUCH ACTIONS IS GRANTED TO THE COURT OF THE RELEVANT PARTY, AS WELL
9 AS THE UNITED STATES DISTRICT COURTS FOR THE DISTRICT OF COLUMBIA
10 AND THE DISTRICT COURT IN WHICH THE COUNCIL MAINTAINS OFFICES. THE
11 REMEDIES AVAILABLE TO ANY SUCH COURT SHALL INCLUDE, BUT NOT BE
12 LIMITED TO, EQUITABLE RELIEF AND CIVIL PENALTIES.

13 B. EACH PARTY MAY ISSUE ORDERS WITHIN ITS RESPECTIVE
14 JURISDICTION AND MAY INITIATE ACTIONS TO COMPEL COMPLIANCE WITH THE
15 PROVISIONS OF ITS RESPECTIVE STATUTES AND REGULATIONS ADOPTED TO
16 IMPLEMENT THE AUTHORITIES CONTEMPLATED BY THIS COMPACT IN
17 ACCORDANCE WITH THE PROVISIONS OF THE LAWS ADOPTED IN EACH PARTY'S
18 JURISDICTION.

19 3. ANY AGGRIEVED PERSON, PARTY OR THE COUNCIL MAY COMMENCE A
20 CIVIL ACTION IN THE RELEVANT PARTY'S COURTS AND ADMINISTRATIVE
21 SYSTEMS TO COMPEL ANY PERSON TO COMPLY WITH THIS COMPACT SHOULD ANY
22 SUCH PERSON, WITHOUT APPROVAL HAVING BEEN GIVEN, UNDERTAKE A NEW OR
23 INCREASED WITHDRAWAL, CONSUMPTIVE USE OR DIVERSION THAT IS
24 PROHIBITED OR SUBJECT TO APPROVAL PURSUANT TO THIS COMPACT.

25 A. NO ACTION UNDER THIS SUBSECTION MAY BE COMMENCED IF:

26 i. THE ORIGINATING PARTY OR COUNCIL APPROVAL FOR THE NEW OR
27 INCREASED WITHDRAWAL, CONSUMPTIVE USE OR DIVERSION HAS BEEN

1 GRANTED; OR,

2 *ii.* THE ORIGINATING PARTY OR COUNCIL HAS FOUND THAT THE NEW OR
3 INCREASED WITHDRAWAL, CONSUMPTIVE USE OR DIVERSION IS NOT SUBJECT
4 TO APPROVAL PURSUANT TO THIS COMPACT.

5 B. NO ACTION UNDER THIS SUBSECTION MAY BE COMMENCED UNLESS:

6 *i.* A PERSON COMMENCING SUCH ACTION HAS FIRST GIVEN 60 DAYS
7 PRIOR NOTICE TO THE ORIGINATING PARTY, THE COUNCIL AND PERSON
8 ALLEGED TO BE IN NONCOMPLIANCE; AND,

9 *ii.* NEITHER THE ORIGINATING PARTY NOR THE COUNCIL HAS COMMENCED
10 AND IS DILIGENTLY PROSECUTING APPROPRIATE ENFORCEMENT ACTIONS TO
11 COMPEL COMPLIANCE WITH THIS COMPACT.

12 THE AVAILABLE REMEDIES SHALL INCLUDE EQUITABLE RELIEF, AND THE
13 PREVAILING OR SUBSTANTIALLY PREVAILING PARTY MAY RECOVER THE COSTS
14 OF LITIGATION, INCLUDING REASONABLE ATTORNEY AND EXPERT WITNESS
15 FEES, WHENEVER THE COURT DETERMINES THAT SUCH AN AWARD IS
16 APPROPRIATE.

17 4. EACH OF THE PARTIES MAY ADOPT PROVISIONS PROVIDING
18 ADDITIONAL ENFORCEMENT MECHANISMS AND REMEDIES INCLUDING EQUITABLE
19 RELIEF AND CIVIL PENALTIES APPLICABLE WITHIN ITS JURISDICTION TO
20 ASSIST IN THE IMPLEMENTATION OF THIS COMPACT.

21 ARTICLE 8

22 ADDITIONAL PROVISIONS

23 SECTION 8.1. EFFECT ON EXISTING RIGHTS.

24 1. NOTHING IN THIS COMPACT SHALL BE CONSTRUED TO AFFECT,
25 LIMIT, DIMINISH OR IMPAIR ANY RIGHTS VALIDLY ESTABLISHED AND
26 EXISTING AS OF THE EFFECTIVE DATE OF THIS COMPACT UNDER STATE OR
27 FEDERAL LAW GOVERNING THE WITHDRAWAL OF WATERS OF THE BASIN.

1 2. NOTHING CONTAINED IN THIS COMPACT SHALL BE CONSTRUED AS
2 AFFECTING OR INTENDING TO AFFECT OR IN ANY WAY TO INTERFERE WITH
3 THE LAW OF THE RESPECTIVE PARTIES RELATING TO COMMON LAW WATER
4 RIGHTS.

5 3. NOTHING IN THIS COMPACT IS INTENDED TO ABROGATE OR DEROGATE
6 FROM TREATY RIGHTS OR RIGHTS HELD BY ANY TRIBE RECOGNIZED BY THE
7 FEDERAL GOVERNMENT OF THE UNITED STATES BASED UPON ITS STATUS AS A
8 TRIBE RECOGNIZED BY THE FEDERAL GOVERNMENT OF THE UNITED STATES.

9 4. AN APPROVAL BY A PARTY OR THE COUNCIL UNDER THIS COMPACT
10 DOES NOT GIVE ANY PROPERTY RIGHTS, NOR ANY EXCLUSIVE PRIVILEGES,
11 NOR SHALL IT BE CONSTRUED TO GRANT OR CONFER ANY RIGHT, TITLE,
12 EASEMENT, OR INTEREST IN, TO OR OVER ANY LAND BELONGING TO OR HELD
13 IN TRUST BY A PARTY; NEITHER DOES IT AUTHORIZE ANY INJURY TO
14 PRIVATE PROPERTY OR INVASION OF PRIVATE RIGHTS, NOR INFRINGEMENT OF
15 FEDERAL, STATE OR LOCAL LAWS OR REGULATIONS; NOR DOES IT OBVIATE
16 THE NECESSITY OF OBTAINING FEDERAL ASSENT WHEN NECESSARY.

17 SECTION 8.2. RELATIONSHIP TO AGREEMENTS CONCLUDED BY THE
18 UNITED STATES OF AMERICA.

19 1. NOTHING IN THIS COMPACT IS INTENDED TO PROVIDE NOR SHALL BE
20 CONSTRUED TO PROVIDE, DIRECTLY OR INDIRECTLY, TO ANY PERSON ANY
21 RIGHT, CLAIM OR REMEDY UNDER ANY TREATY OR INTERNATIONAL AGREEMENT
22 NOR IS IT INTENDED TO DEROGATE ANY RIGHT, CLAIM, OR REMEDY THAT
23 ALREADY EXISTS UNDER ANY TREATY OR INTERNATIONAL AGREEMENT.

24 2. NOTHING IN THIS COMPACT IS INTENDED TO INFRINGE NOR SHALL
25 BE CONSTRUED TO INFRINGE UPON THE TREATY POWER OF THE UNITED STATES
26 OF AMERICA, NOR SHALL ANY TERM HEREOF BE CONSTRUED TO ALTER OR
27 AMEND ANY TREATY OR TERM THEREOF THAT HAS BEEN OR MAY HEREAFTER BE

1 EXECUTED BY THE UNITED STATES OF AMERICA.

2 3. NOTHING IN THIS COMPACT IS INTENDED TO AFFECT NOR SHALL BE
3 CONSTRUED TO AFFECT THE APPLICATION OF THE BOUNDARY WATERS TREATY
4 OF 1909 WHOSE REQUIREMENTS CONTINUE TO APPLY IN ADDITION TO THE
5 REQUIREMENTS OF THIS COMPACT.

6 SECTION 8.3. CONFIDENTIALITY.

7 1. NOTHING IN THIS COMPACT REQUIRES A PARTY TO BREACH
8 CONFIDENTIALITY OBLIGATIONS OR REQUIREMENTS PROHIBITING DISCLOSURE,
9 OR TO COMPROMISE SECURITY OF COMMERCIALLY SENSITIVE OR PROPRIETARY
10 INFORMATION.

11 2. A PARTY MAY TAKE MEASURES, INCLUDING BUT NOT LIMITED TO
12 DELETION AND REDACTION, DEEMED NECESSARY TO PROTECT ANY
13 CONFIDENTIAL, PROPRIETARY OR COMMERCIALLY SENSITIVE INFORMATION
14 WHEN DISTRIBUTING INFORMATION TO OTHER PARTIES. THE PARTY SHALL
15 SUMMARIZE OR PARAPHRASE ANY SUCH INFORMATION IN A MANNER SUFFICIENT
16 FOR THE COUNCIL TO EXERCISE ITS AUTHORITIES CONTAINED IN THIS
17 COMPACT.

18 SECTION 8.4. ADDITIONAL LAWS.

19 NOTHING IN THIS COMPACT SHALL BE CONSTRUED TO REPEAL, MODIFY
20 OR QUALIFY THE AUTHORITY OF ANY PARTY TO ENACT ANY LEGISLATION OR
21 ENFORCE ANY ADDITIONAL CONDITIONS AND RESTRICTIONS REGARDING THE
22 MANAGEMENT AND REGULATION OF WATERS WITHIN ITS JURISDICTION.

23 SECTION 8.5. AMENDMENTS AND SUPPLEMENTS.

24 THE PROVISIONS OF THIS COMPACT SHALL REMAIN IN FULL FORCE AND
25 EFFECT UNTIL AMENDED BY ACTION OF THE GOVERNING BODIES OF THE
26 PARTIES AND CONSENTED TO AND APPROVED BY ANY OTHER NECESSARY
27 AUTHORITY IN THE SAME MANNER AS THIS COMPACT IS REQUIRED TO BE

1 RATIFIED TO BECOME EFFECTIVE.

2 SECTION 8.6. SEVERABILITY.

3 SHOULD A COURT OF COMPETENT JURISDICTION HOLD ANY PART OF THIS
4 COMPACT TO BE VOID OR UNENFORCEABLE, IT SHALL BE CONSIDERED
5 SEVERABLE FROM THOSE PORTIONS OF THE COMPACT CAPABLE OF CONTINUED
6 IMPLEMENTATION IN THE ABSENCE OF THE VOIDED PROVISIONS. ALL OTHER
7 PROVISIONS CAPABLE OF CONTINUED IMPLEMENTATION SHALL CONTINUE IN
8 FULL FORCE AND EFFECT.

9 SECTION 8.7. DURATION OF COMPACT AND TERMINATION.

10 ONCE EFFECTIVE, THE COMPACT SHALL CONTINUE IN FORCE AND REMAIN
11 BINDING UPON EACH AND EVERY PARTY UNLESS TERMINATED.

12 THIS COMPACT MAY BE TERMINATED AT ANY TIME BY A MAJORITY VOTE
13 OF THE PARTIES. IN THE EVENT OF SUCH TERMINATION, ALL RIGHTS
14 ESTABLISHED UNDER IT SHALL CONTINUE UNIMPAIRED.

15 ARTICLE 9

16 EFFECTUATION

17 SECTION 9.1. REPEALER.

18 ALL ACTS AND PARTS OF ACTS INCONSISTENT WITH THIS ACT ARE TO
19 THE EXTENT OF SUCH INCONSISTENCY HEREBY REPEALED.

20 SECTION 9.2. EFFECTUATION BY CHIEF EXECUTIVE.

21 THE GOVERNOR IS AUTHORIZED TO TAKE SUCH ACTION AS MAY BE
22 NECESSARY AND PROPER IN HIS OR HER DISCRETION TO EFFECTUATE THE
23 COMPACT AND THE INITIAL ORGANIZATION AND OPERATION THEREUNDER.

24 SECTION 9.3. ENTIRE AGREEMENT.

25 THE PARTIES CONSIDER THIS COMPACT TO BE COMPLETE AND AN
26 INTEGRAL WHOLE. EACH PROVISION OF THIS COMPACT IS CONSIDERED
27 MATERIAL TO THE ENTIRE COMPACT, AND FAILURE TO IMPLEMENT OR ADHERE

1 TO ANY PROVISION MAY BE CONSIDERED A MATERIAL BREACH. UNLESS
2 OTHERWISE NOTED IN THIS COMPACT, ANY CHANGE OR AMENDMENT MADE TO
3 THE COMPACT BY ANY PARTY IN ITS IMPLEMENTING LEGISLATION OR BY THE
4 U.S. CONGRESS WHEN GIVING ITS CONSENT TO THIS COMPACT IS NOT
5 CONSIDERED EFFECTIVE UNLESS CONCURRED IN BY ALL PARTIES.

6 SECTION 9.4. EFFECTIVE DATE AND EXECUTION.

7 THIS COMPACT SHALL BECOME BINDING AND EFFECTIVE WHEN RATIFIED
8 THROUGH CONCURRING LEGISLATION BY THE STATES OF ILLINOIS, INDIANA,
9 MICHIGAN, MINNESOTA, NEW YORK, OHIO AND WISCONSIN AND THE
10 COMMONWEALTH OF PENNSYLVANIA AND CONSENTED TO BY THE CONGRESS OF
11 THE UNITED STATES. THIS COMPACT SHALL BE SIGNED AND SEALED IN NINE
12 IDENTICAL ORIGINAL COPIES BY THE RESPECTIVE CHIEF EXECUTIVES OF THE
13 SIGNATORY PARTIES. ONE SUCH COPY SHALL BE FILED WITH THE SECRETARY
14 OF STATE OF EACH OF THE SIGNATORY PARTIES OR IN ACCORDANCE WITH THE
15 LAWS OF THE STATE IN WHICH THE FILING IS MADE, AND ONE COPY SHALL
16 BE FILED AND RETAINED IN THE ARCHIVES OF THE COUNCIL UPON ITS
17 ORGANIZATION. THE SIGNATURES SHALL BE AFFIXED AND ATTESTED UNDER
18 THE FOLLOWING FORM:

19 IN WITNESS WHEREOF, AND IN EVIDENCE OF THE ADOPTION AND
20 ENACTMENT INTO LAW OF THIS COMPACT BY THE LEGISLATURES OF THE
21 SIGNATORY PARTIES AND CONSENT BY THE CONGRESS OF THE UNITED STATES,
22 THE RESPECTIVE GOVERNORS DO HEREBY, IN ACCORDANCE WITH THE
23 AUTHORITY CONFERRED BY LAW, SIGN THIS COMPACT IN NINE DUPLICATE
24 ORIGINAL COPIES, ATTESTED BY THE RESPECTIVE SECRETARIES OF STATE,
25 AND HAVE CAUSED THE SEALS OF THE RESPECTIVE STATES TO BE HEREUNTO
26 AFFIXED THIS ____ DAY OF (MONTH), (YEAR).