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## **HOUSE BILL No. 6456**

September 16, 2010, Introduced by Rep. Segal and referred to the Committee on Families and Children's Services.

A bill to amend 1974 PA 258, entitled

"Mental health code,"
by amending sections 100d, 232a, 752, 754, 756, 757, 758, 772, 774,
776, 780, 784, and 786 (MCL 330.1100d, 330.1232a, 330.1752,
330.1754, 330.1756, 330.1757, 330.1758, 330.1772, 330.1774,

756, 757, 758, 772, 774, 776, 780, 784, and 786 as added and section 752 as amended by 1995 PA 290 and section 754 as amended by 2006 PA 604; and to repeal acts and parts of acts.

330.1776, 330.1780, 330.1784, and 330.1786), sections 100d, 232a,

## THE PEOPLE OF THE STATE OF MICHIGAN ENACT:

- Sec. 100d. (1) "Service" means a mental health service.
- (2) "Serious emotional disturbance" means a diagnosable mental, behavioral, or emotional disorder affecting a minor that

- 1 exists or has existed during the past year for a period of time
- 2 sufficient to meet diagnostic criteria specified in the most recent
- 3 diagnostic and statistical manual of mental disorders published by
- 4 the American psychiatric association and approved by the department
- 5 and that has resulted in functional impairment that substantially
- 6 interferes with or limits the minor's role or functioning in
- 7 family, school, or community activities. The following disorders
- 8 are included only if they occur in conjunction with another
- 9 diagnosable serious emotional disturbance:
- 10 (a) A substance abuse disorder.
- 11 (b) A developmental disorder.
- 12 (c) "V" codes in the diagnostic and statistical manual of
- 13 mental disorders.
- 14 (3) "Serious mental illness" means a diagnosable mental,
- 15 behavioral, or emotional disorder affecting an adult that exists or
- 16 has existed within the past year for a period of time sufficient to
- 17 meet diagnostic criteria specified in the most recent diagnostic
- 18 and statistical manual of mental disorders published by the
- 19 American psychiatric association and approved by the department and
- 20 that has resulted in functional impairment that substantially
- 21 interferes with or limits 1 or more major life activities. Serious
- 22 mental illness includes dementia with delusions, dementia with
- 23 depressed mood, and dementia with behavioral disturbance but does
- 24 not include any other dementia unless the dementia occurs in
- 25 conjunction with another diagnosable serious mental illness. The
- 26 following disorders also are included only if they occur in
- 27 conjunction with another diagnosable serious mental illness:

- 1 (a) A substance abuse disorder.
- 2 (b) A developmental disorder.
- $\bf 3$  (c) A "V" code in the diagnostic and statistical manual of
- 4 mental disorders.
- 5 (4) "Special compensation" means payment to an adult foster
- 6 care facility to ensure the provision of a specialized program in
- 7 addition to the basic payment for adult foster care. Special
- 8 compensation does not include payment received directly from the
- 9 medicaid program for personal care services for a resident, or
- 10 payment received under the supplemental security income program.
- 11 (5) "Specialized program" means a program of services,
- 12 supports, or treatment that are provided in an adult foster care
- 13 facility to meet the unique programmatic needs of individuals with
- 14 serious mental illness or developmental disability as set forth in
- 15 the resident's individual plan of services and for which the adult
- 16 foster care facility receives special compensation.
- 17 (6) "Specialized residential service" means a combination of
- 18 residential care and mental health services that are expressly
- 19 designed to provide rehabilitation and therapy to a recipient, that
- 20 are provided in the residence of the recipient, and that are part
- 21 of a comprehensive individual plan of services.
- 22 (7) "State facility" means a center or a hospital operated by
- 23 the department.
- 24 (8) "State recipient rights advisory committee" means a
- 25 committee appointed by the director OF THE STATE OFFICE OF
- 26 RECIPIENT RIGHTS under section 756 to advise the director and the
- 27 director of the department's STATE office of recipient rights.

- 1 (9) "Substance abuse" means that term as defined in section
- 2 6107 of the public health code, Act No. 368 of the Public Acts of
- 3 1978, being section 333.6107 of the Michigan Compiled Laws. 1978 PA
- 4 368, MCL 333.6107.
- 5 (10) "Supplemental security income" means the program
- 6 authorized under title XVI of the social security act, chapter 531,
- 7 49 Stat. 620, U.S.C. 1381 to 1382; and 1383 to 1383d. 42 USC 1381
- 8 TO 1383F.
- 9 (11) "Transition services" means a coordinated set of
- 10 activities for a special education student designed within an
- 11 outcome-oriented process that promotes movement from school to
- 12 postschool activities, including postsecondary education,
- 13 vocational training, integrated employment including supported
- 14 employment, continuing and adult education, adult services,
- 15 independent living, or community participation.
- 16 (12) "Treatment" means care, diagnostic, and therapeutic
- 17 services, including the administration of drugs, and any other
- 18 service for the treatment of an individual's serious mental illness
- 19 or serious emotional disturbance.
- 20 (13) "Treatment position" means a unit of measure of the
- 21 client capacity of a psychiatric partial hospitalization program.
- 22 Each treatment position represents a minimum of 6 hours per day and
- 23 5 days per calendar week.
- 24 (14) "Urgent situation" means a situation in which an
- 25 individual is determined to be at risk of experiencing an emergency
- 26 situation in the near future if he or she does not receive care,
- 27 treatment, or support services.

- 1 (15) "Wraparound services" means an individually designed set
- 2 of services provided to minors with serious emotional disturbance
- 3 or serious mental illness and their families that includes
- 4 treatment services and personal support services or any other
- 5 supports necessary to maintain the child in the family home.
- 6 Wraparound services are to be developed through an interagency
- 7 collaborative approach and a minor's parent or quardian and a minor
- 8 age 14 or older are to participate in planning the services.
- 9 Sec. 232a. (1) Subject to section 114a, the department shall
- 10 promulgate rules to establish standards for certification and the
- 11 certification review process for community mental health services
- 12 programs. The standards shall include, but not be limited to, all
- 13 of the following:
- 14 (a) Matters of governance, resource management, quality
- improvement, service delivery, and safety management.
- 16 (b) Promotion and protection of recipient rights.
- 17 (2) After reviewing a community mental health services
- 18 program, the department shall notify a program that substantially
- 19 complies with the standards established under this section that it
- 20 is certified by the department.
- 21 (3) The department may waive the certification review process
- 22 in whole or in part and consider the community mental health
- 23 services program to be in substantial compliance with the standards
- 24 established under this section if the program has received
- 25 accreditation from a national accrediting organization recognized
- 26 by the department that includes review of matters described in
- 27 subsection  $\frac{(1)(a)}{(1)}$ .

- 1 (4) If the department certifies a community mental health
- 2 services program despite some items of noncompliance with the
- 3 standards established under this section, the notice of
- 4 certification shall identify the items of noncompliance and the
- 5 program shall correct the items of noncompliance. The department
- 6 shall require the community mental health board to submit a plan to
- 7 correct items of noncompliance before recertification or sooner at
- 8 the discretion of the department.
- 9 (5) Certification is effective for 3 years and is not
- 10 transferable. Requests for recertification shall be submitted to
- 11 the department at least 6 months before the expiration of
- 12 certification. Certification remains in effect after the submission
- 13 of a renewal request until the department conducts a review and
- 14 makes a redetermination.
- 15 (6) The department shall conduct an annual review of each
- 16 community mental health services program's recipient rights system
- 17 to ensure compliance with standards established under subsection
- 18 (1) (b). An on-site review shall be conducted once every 3 years.
- 19 (6) (7)—The community mental health services program shall
- 20 promptly notify the department of any changes that may affect
- 21 continued certification.
- 22 (7) (8) The department may deny certification if the community
- 23 mental health services program cannot demonstrate substantial
- 24 compliance with the standards established under this section.
- 25 (8) (9) In lieu of denying certification, the department may
- 26 issue a provisional certification for a period of up to 6 months
- 27 upon receiving a plan of correction submitted by the community

- 1 mental health services board. The department shall provide a copy
- 2 of the review and the approved plan of correction to the board of
- 3 commissioners of each county that established the county community
- 4 mental health agency or created the community mental health
- 5 organization or community mental health authority. A provisional
- 6 certification may be extended, but the entire provisional period
- 7 shall not exceed 1 year. The department shall conduct an on-site
- 8 review to determine the community mental health services program's
- 9 compliance with the plan of correction at least 30 days before the
- 10 expiration of the provisional certification. A provisional
- 11 certification automatically expires either on its original
- 12 expiration date or the expiration date of the extension granted.
- 13 (9) (10)—If a community mental health services program is
- 14 denied certification, fails to comply with an approved plan of
- 15 correction before the expiration of a provisional certification, or
- 16 fails to comply substantially with the standards established under
- 17 this section, the department shall notify the community mental
- 18 health services board and the board of commissioners of each county
- 19 that established the agency or created the organization or
- 20 authority of the department's intention to suspend, deny, or revoke
- 21 certification. The notice shall be sent by certified mail and shall
- 22 set forth the particular reasons for the proposed action and offer
- 23 an opportunity for a hearing with the director of the department's
- 24 division that manages contracts with community mental health
- 25 services programs. If it desires a hearing, the community mental
- 26 health services board shall request it in writing within 60 days
- 27 after receipt of the notice. The department shall hold the hearing

- 1 not less than 30 days or more than 60 days from the date it
- 2 receives the request for a hearing.
- 3 (10) (11) The director of the department's division that
- 4 manages contracts with community mental health services programs
- 5 shall make a decision regarding suspension, denial, or revocation
- 6 of certification based on evidence presented at the hearing or on
- 7 the default of the community mental health services board. A copy
- 8 of the decision shall be sent by certified mail within 45 days
- 9 after the close of the hearing to the community mental health
- 10 services board and to the board of commissioners of each county
- 11 that established the agency or created the organization or
- **12** authority.
- 13 (11) (12) A community mental health services board may appeal
- 14 a decision made under subsection (11) (10) as provided in chapter 4
- 15 of the administrative procedures act of 1969, Act No. 306 of the
- 16 Public Acts of 1969, being sections 24.271 to 24.287 of the
- 17 Michigan Compiled Laws. 1969 PA 306, MCL 24.271 TO 24.287.
- 18 (12) (13)—During the period of certification, the department
- 19 may conduct an unannounced review of a certified community mental
- 20 health services program. The department shall conduct an
- 21 unannounced review of a certified community mental health services
- 22 program in response to information that raises questions regarding
- 23 recipient health or safety. If the department finds based on its
- 24 review that the community mental health services program does not
- 25 substantially comply with the standards established under this
- 26 section, the department shall provide notice and a hearing under
- 27 subsections (9) AND (10). and (11).

- 1 (13) (14)—If a community mental health services program fails
- 2 to obtain or retain certification as a result of the department's
- 3 review, has exhausted the time period for provisional
- 4 certification, is not engaged in the process of appeal or appeal
- 5 has been unsuccessful, and if no agreement has been reached by the
- 6 department with the community mental health services program to
- 7 assure certification compliance within a specified time period, the
- 8 department shall within 90 days do both of the following:
- 9 (a) Cancel the state funding commitment to the community
- 10 mental health services board.
- 11 (b) Utilize the funds previously provided to the community
- mental health services board to do 1 or more of the following:
- 13 (i) Secure services from other providers of mental health
- 14 services that the department has determined can operate in
- 15 substantial compliance with the standards established under this
- 16 section and continue the delivery of services within the county or
- 17 counties.
- 18 (ii) Provide the service.
- 19 (14) (15) If state funding is canceled under subsection (14)
- 20 (13) and the community mental health services program is an
- 21 authority created under section 205, the county or counties that
- 22 created the authority are financially liable only for the local
- 23 match formula established for the authority under chapter 3. If
- 24 state funding is canceled under subsection (14) (13) and the
- 25 community mental health services program is a county community
- 26 mental health agency or a community mental health organization, the
- 27 county or counties that established the agency are financially

- 1 liable for local match for all services contractually or directly
- 2 provided by the department to residents of the county or counties
- 3 in accordance with chapter 3.
- 4 (15) (16) The department shall not utilize the certification
- 5 process under this section to require a community mental health
- 6 services program to become a community mental health authority.
- 7 Community mental health authority status is voluntary as provided
- 8 in section 205.
- 9 (17) Subject to section 114a, the department shall submit
- 10 proposed rules for certification to public hearing within 6 months
- 11 after the effective date of the amendatory act that added this
- 12 section.
- Sec. 752. (1) The department, each community mental health
- 14 services program, each licensed hospital, and each service provider
- 15 under contract with the department, a community mental health
- 16 services program, or a licensed hospital THE STATE OFFICE OF
- 17 RECIPIENT RIGHTS shall establish written policies and procedures
- 18 concerning recipient rights and the operation of an office of
- 19 recipient rights. The policies and procedures shall provide a
- 20 mechanism for prompt reporting, review, investigation, and
- 21 resolution of apparent or suspected violations of the rights
- 22 guaranteed by this chapter, shall be consistent with this chapter
- 23 and chapter 7a, and shall be designed to protect recipients from,
- 24 and prevent repetition of, violations of rights guaranteed by this
- 25 chapter and chapter 7a. The policies and procedures shall include,
- 26 at a minimum, all of the following:
- 27 (a) Complaint and appeal processes.

- 1 (b) Consent to treatment and services.
- 2 (c) Sterilization, contraception, and abortion.
- 3 (d) Fingerprinting, photographing, audiotaping, and use of 1-
- 4 way glass.
- 5 (e) Abuse and neglect, including detailed categories of type
- 6 and severity.
- 7 (f) Confidentiality and disclosure.
- 8 (g) Treatment by spiritual means.
- 9 (h) Qualifications and training for recipient rights staff.
- 10 (i) Change in type of treatment.
- 11 (j) Medication procedures.
- 12 (k) Use of psychotropic drugs.
- 13 (l) Use of restraint.
- 14 (m) Right to be treated with dignity and respect.
- (n) Least restrictive setting.
- 16 (o) Services suited to condition.
- 17 (p) Policies and procedures that address all of the following
- 18 matters with respect to residents:
- 19 (i) Right to entertainment material, information, and news.
- 20 (ii) Comprehensive examinations.
- 21 (iii) Property and funds.
- 22 (iv) Freedom of movement.
- (v) Resident labor.
- (vi) Communication and visits.
- 25 (vii) Use of seclusion.
- 26 (2) All policies and procedures required by this section shall
- 27 be established within 12 months after the effective date of the

- 1 amendatory act that added section 753.
- 2 Sec. 754. (1) The department shall establish a state office of
- 3 recipient rights subordinate only to the director.
- 4 (2) The department shall ensure all of the following:
- 5 (a) The process for funding the state office of recipient
- 6 rights includes a review of the funding by the state recipient
- 7 rights advisory committee.
- 8 (b) The state office of recipient rights will be protected
- 9 from pressures that could interfere with the impartial, even-
- 10 handed, and thorough performance of its duties.
- 11 (c) The state office of recipient rights will have unimpeded
- 12 access to all of the following:
- (i) All programs and services operated by or under contract
- 14 with the department except where other recipient rights systems
- 15 authorized by this act exist.
- 16 (ii) All staff employed by or under contract with the
- 17 department.
- 18 (iii) All evidence necessary to conduct a thorough investigation
- 19 or to fulfill its monitoring function.
- 20 (d) Staff of the state office of recipient rights receive
- 21 training each year in recipient rights protection.
- (e) Each contract between the department and a provider
- 23 requires both of the following:
- 24 (i) That the provider and his or her employees receive annual
- 25 training in recipient rights protection.
- 26 (ii) That recipients will be protected from rights violations
- 27 while they are receiving services under the contract.

- 1 (f) Technical assistance and training in recipient rights
- 2 protection are available to all community mental health services
- 3 programs and other mental health service providers subject to this
- 4 act.
- 5 (3) The department shall endeavor to ensure all of the
- 6 following:
- 7 (a) The state office of recipient rights has sufficient staff
- 8 and other resources necessary to perform the duties described in
- 9 this section.
- 10 (b) Complainants, staff of the state office of recipient
- 11 rights, and any staff acting on behalf of a recipient will be
- 12 protected from harassment or retaliation resulting from recipient
- 13 rights activities.
- 14 (c) Appropriate remedial action is taken to resolve violations
- 15 of rights and notify the complainants of substantiated violations
- in a manner that does not violate employee rights.
- 17 (4) After consulting with the state recipient rights advisory
- 18 committee, the department director shall select a director of the
- 19 state office of recipient rights who has the education, training,
- 20 and experience to fulfill the responsibilities of the office. The
- 21 department director shall not replace or dismiss the director of
- 22 the state office of recipient rights without first consulting the
- 23 state recipient rights advisory committee. The director of the
- 24 state office of recipient rights shall have no direct service
- 25 responsibility. The director of the state office of recipient
- 26 rights shall report directly and solely to the department director.
- 27 The department director shall not delegate his or her

- 1 responsibility under this subsection.
- 2 (5) The state office of recipient rights may SHALL do all of
- 3 the following:
- 4 (a) Investigate apparent or suspected violations of the rights
- 5 guaranteed by this chapter.
- 6 (b) Resolve disputes relating to violations.
- 7 (c) Act on behalf of recipients to obtain appropriate remedies
- 8 for any apparent violations.
- 9 (d) Apply for and receive grants, gifts, and bequests to
- 10 effectuate any purpose of this chapter.
- 11 (6) The state office of recipient rights shall do all of the
- 12 following:
- 13 (a) Ensure that recipients, parents of minor recipients, and
- 14 guardians or other legal representatives have access to summaries
- 15 of the rights guaranteed by this chapter and chapter 7a and are
- 16 notified of those rights in an understandable manner, both at the
- 17 time services are requested and periodically during the time
- 18 services are provided to the recipient.
- 19 (b) Ensure that the telephone number and address of the office
- 20 of recipient rights and the names of rights officers are
- 21 conspicuously posted in all service sites.
- (c) Maintain a record system for all reports of apparent or
- 23 suspected rights violations received, including a mechanism for
- 24 logging in all complaints and a mechanism for secure storage of all
- 25 investigative documents and evidence.
- 26 (d) Initiate actions that are appropriate and necessary to
- 27 safeguard and protect rights guaranteed by this chapter to

- 1 recipients of services provided directly by the department or by
- 2 its contract providers other than INCLUDING community mental health
- 3 services programs, HOSPITALS, AND LICENSED FACILITIES.
- 4 (e) Receive reports of apparent or suspected violations of
- 5 rights guaranteed by this chapter. The state office of recipient
- 6 rights shall refer reports of apparent or suspected rights
- 7 violations to the APPROPRIATE LOCAL recipient rights office. of the
- 8 appropriate provider to be addressed by the provider's internal
- 9 rights protection mechanisms. The state office OF RECIPIENT RIGHTS
- 10 shall intervene as necessary to act on behalf of recipients in
- 11 situations in which the director of the department STATE OFFICE OF
- 12 RECIPIENT RIGHTS considers THE PROVIDER'S IMPLEMENTATION OF AND
- 13 ADHERENCE TO the rights protection system of the provider to be out
- 14 of compliance with this act and rules promulgated under this act.
- 15 (f) Upon request, advise recipients of the process by which a
- 16 rights complaint or appeal may be made and assist recipients in
- 17 preparing written rights complaints and appeals.
- 18 (g) Advise recipients that there are advocacy organizations
- 19 available to assist recipients in preparing written rights
- 20 complaints and appeals and offer to refer recipients to those
- 21 organizations.
- (h) Upon receipt of a complaint, advise the complainant of the
- 23 complaint process, appeal process, and mediation option.
- 24 (i) Ensure that each service site operated by the department
- 25 or by a provider under contract with the department, other than a
- 26 community mental health services program, is visited by recipient
- 27 rights staff with the frequency necessary for protection of rights

- 1 but in no case less than annually.
- 2 (j) Ensure that all individuals employed by the department
- 3 receive department-approved training related to recipient rights
- 4 protection before or within 30 days after being employed.
- 5 (I) ENSURE THAT ALL INDIVIDUALS EMPLOYED BY THE STATE OFFICE
- 6 OF RECIPIENT RIGHTS RECEIVE APPROVED TRAINING RELATED TO RIGHTS
- 7 PROTECTION BEFORE OR WITHIN 30 DAYS AFTER BEING EMPLOYED.
- 8 (J) PROMULGATE RULES TO ESTABLISH STANDARDS FOR CERTIFICATION
- 9 AND THE CERTIFICATION REVIEW PROCESS FOR THE DEPARTMENT, COMMUNITY
- 10 MENTAL HEALTH SERVICES PROGRAMS, HOSPITALS, AND LICENSED
- 11 FACILITIES.
- 12 (K) CONDUCT AN ANNUAL REVIEW OF EACH PROVIDER OF MENTAL HEALTH
- 13 SERVICES TO ENSURE COMPLIANCE WITH STANDARDS ESTABLISHED UNDER
- 14 RULES PROMULGATED IN ACCORDANCE WITH SUBDIVISION (J) AND CONDUCT AN
- 15 ON-SITE REVIEW EVERY 2 YEARS. IF THE PROVIDER OF MENTAL HEALTH
- 16 SERVICES IS FOUND TO BE NOT IN COMPLIANCE WITH THE STANDARDS
- 17 ESTABLISHED UNDER RULES PROMULGATED IN ACCORDANCE WITH SUBDIVISION
- 18 (J), THE OFFICE OF RECIPIENT RIGHTS SHALL ISSUE A PROVISIONAL
- 19 CERTIFICATE FOR A PERIOD NOT TO EXCEED 6 MONTHS. UPON RECEIVING THE
- 20 PLAN OF CORRECTION SUBMITTED BY THE PROVIDER OF MENTAL HEALTH
- 21 SERVICES, THE OFFICE OF RECIPIENT RIGHTS SHALL CONDUCT AN ON-SITE
- 22 REVIEW TO DETERMINE COMPLIANCE BEFORE THE EXPIRATION OF THE
- 23 PROVISIONAL CERTIFICATE. IF AFTER 6 MONTHS, THE PROVIDER OF MENTAL
- 24 HEALTH SERVICES IS FOUND TO NOT BE IN COMPLIANCE WITH THE STANDARDS
- 25 ESTABLISHED UNDER RULES PROMULGATED IN ACCORDANCE WITH SUBDIVISION
- 26 (J), THE PROVIDER OF MENTAL HEALTH SERVICES SHALL PAY A FINE OF 10%
- 27 OF ITS ADMINISTRATIVE BUDGET TO A FUND TO BE CREATED BY THE

- 1 DEPARTMENT DIRECTOR TO ASSIST RECIPIENTS WITH COMMUNITY PLACEMENT
- 2 AND INDEPENDENT LIVING.
- 3 (1) (k)—Ensure that all reports of apparent or suspected
- 4 violations of rights within state facilities or programs operated
- 5 by providers under contract with the department other than
- 6 INCLUDING community mental health services programs AND HOSPITALS
- 7 AND LICENSED FACILITIES are investigated in accordance with section
- 8 778 and that those reports that do not warrant investigation are
- 9 recorded in accordance with subdivision (c).
- 10 (M) (l)—Review semiannual statistical rights data submitted by
- 11 community mental health services programs and licensed hospitals to
- 12 determine trends and patterns in the protection of recipient rights
- in the public mental health system and provide a summary of the
- 14 data to community mental health services programs, HOSPITALS AND
- 15 LICENSED FACILITIES, THE GOVERNOR, and to the DEPARTMENT director.
- 16 of the department.
- 17 (N) (m)—Serve as consultant to the director in matters related
- 18 to recipient rights.
- 19 (0) (n)—At least quarterly, provide summary complaint data
- 20 consistent with the annual report required in subdivision (o) (P),
- 21 together with a summary of remedial action taken on substantiated
- 22 complaints, to the department and the state recipient rights
- 23 advisory committee.
- 24 (P) (O)—Submit to the department director and to the
- 25 committees and subcommittees of the legislature with legislative
- 26 oversight of mental health matters, for availability to the public,
- 27 an annual report on the current status of recipient rights for the

- 1 state. The report shall be submitted not later than March 31 of
- 2 each year for the preceding fiscal year. The annual report shall
- 3 include, at a minimum, all of the following:
- 4 (i) Summary data by type or category regarding the rights of
- 5 recipients receiving services from the department including the
- 6 number of complaints received, by each state facility and other
- 7 state-operated placement agency, the number of reports filed, and
- 8 the number of reports investigated.
- 9 (ii) The number of substantiated rights violations by category,
- 10 and by state facility, COMMUNITY MENTAL HEALTH SERVICES PROGRAM,
- 11 AND HOSPITALS AND LICENSED FACILITIES.
- 12 (iii) The remedial actions taken on substantiated rights
- 13 violations by category, and by state facility, COMMUNITY MENTAL
- 14 HEALTH SERVICES PROGRAM, AND HOSPITALS AND LICENSED FACILITIES.
- 15 (iv) Training received by staff of the state office of
- 16 recipient rights.
- 17 (v) Training provided by the state office of recipient rights
- 18 to staff of contract providers.
- 19 (vi) Outcomes of assessments of the recipient rights system of
- 20 each community mental health services program.
- 21 (vi) (vii)—Identification of patterns and trends in rights
- 22 protection in the public mental health system in this state.
- 23 (vii) (viii)—Review of budgetary issues including staffing and
- 24 financial resources.
- 25 (viii) (ix)—Summary of the results of any consumer satisfaction
- 26 surveys conducted.
- (ix)  $\frac{(x)}{(x)}$  Recommendations to the department.

- 1 (Q) (p) Provide education and training to its recipient rights
- 2 advisory committee and its recipient rights appeals committee.
- 3 Sec. 756. (1) The director OF THE STATE OFFICE OF RECIPIENT
- 4 RIGHTS shall appoint a 12-member state recipient rights advisory
- 5 committee. The membership of the committee shall be broadly based
- 6 so as to best represent the varied perspectives of department
- 7 staff, government officials, attorneys, community mental health
- 8 services program staff, private providers, recipients, and
- 9 recipient interest groups. At least 1/3 of the membership of the
- 10 state recipient rights advisory committee shall be primary
- 11 consumers or family members, and of that 1/3, at least 2 shall be
- 12 primary consumers. In appointing members to the advisory committee,
- 13 the director shall consider the recommendations of the director of
- 14 the state office of recipient rights and individuals who are
- 15 members of the recipient rights advisory committee.
- 16 (2) The state recipient rights advisory committee shall do all
- 17 of the following:
- (a) Meet at least quarterly, or more frequently as necessary,
- 19 to carry out its responsibilities.
- 20 (b) Maintain a current list of members' names to be made
- 21 available to individuals upon request.
- (c) Maintain a current list of categories represented, to be
- 23 made available to individuals upon request.
- 24 (d) Protect the state office of recipient rights from
- 25 pressures that could interfere with the impartial, even-handed, and
- 26 thorough performance of its functions.
- 27 (e) Recommend to the director of the department GOVERNOR

- 1 candidates for the position of director of the state office of
- 2 recipient rights and consult with the director GOVERNOR regarding
- 3 any proposed dismissal of the director of the state office of
- 4 recipient rights.
- 5 (f) Serve in an advisory capacity to the director of the
- 6 department and the director of the state office of recipient
- 7 rights.
- 8 (g) Review and provide comments on the report submitted by the
- 9 state office of recipient rights to the department under section
- **10** 754.
- 11 (3) Meetings of the state recipient rights advisory committee
- 12 are subject to the open meetings act, Act No. 267 of the Public
- 13 Acts of 1976, being sections 15.261 to 15.275 of the Michigan
- 14 Compiled Laws 1976 PA 267, MCL 15.261 TO 15.275. Minutes shall be
- 15 maintained and made available to individuals upon request.
- Sec. 757. (1) The board of each community mental health
- 17 services program shall appoint a recipient rights advisory
- 18 committee consisting of at least 6 members. The membership of the
- 19 committee shall be broadly based so as to best represent the varied
- 20 perspectives of the community mental health services program's
- 21 geographic area. At least 1/3 of the membership shall be primary
- 22 consumers or family members, and of that 1/3, at least 1/2 shall be
- 23 primary consumers.
- 24 (2) The recipient rights advisory committee shall do all of
- 25 the following:
- 26 (a) Meet at least semiannually or as necessary to carry out
- 27 its responsibilities.

- 1 (b) Maintain a current list of members' names to be made
- 2 available to individuals upon request.
- 3 (c) Maintain a current list of categories represented to be
- 4 made available to individuals upon request.
- 5 (d) Protect the office of recipient rights from pressures that
- 6 could interfere with the impartial, even-handed, and thorough
- 7 performance of its functions.
- 8 (e) Recommend candidates for director of the office of
- 9 recipient rights to the executive director, and consult with the
- 10 executive director regarding any proposed dismissal of the director
- 11 of the office of recipient rights.
- 12 (E) (f)—Serve in an advisory capacity to the executive
- 13 director and the director of the office of recipient rights.
- 14 (g) Review and provide comments on the report submitted by the
- 15 executive director to the community mental health services program
- 16 board under section 755.
- 17 (F) (h) If designated by the board of the community mental
- 18 health services program, serve SERVE as the appeals committee for a
- 19 recipient's appeal under section 784.
- 20 (G) (i) Meetings of the recipient rights advisory committee
- 21 are subject to the open meetings act, Act No. 267 of the Public
- 22 Acts of 1976, being sections 15.261 to 15.275 of the Michigan
- 23 Compiled Laws. 1976 PA 267, MCL 15.261 TO 15.275. Minutes shall be
- 24 maintained and made available to individuals upon request.
- Sec. 758. Unless otherwise provided by contract with the local
- 26 community mental health services program, each EACH licensed
- 27 hospital shall appoint a recipient rights advisory committee. At

- 1 least 1/3 of the membership shall be primary consumers or family
- 2 members and, of that 1/3, at least 1/2 shall be primary consumers.
- 3 The recipient rights advisory committee shall do all of the
- 4 following:
- 5 (a) Meet at least semiannually or as necessary to carry out
- 6 its responsibilities.
- 7 (b) Maintain a current list of members' names and a separate
- 8 list of categories represented, to be made available to individuals
- 9 upon request.
- 10 (c) Protect the office of recipient rights from pressures that
- 11 could interfere with the impartial, even-handed, and thorough
- 12 performance of its functions.
- 13 (d) Review and provide comments on the report submitted by the
- 14 hospital director to the governing board of the licensed hospital
- 15 under section 755.
- 16 (D) (e) Serve in an advisory capacity to the hospital director
- 17 and the director of the office of recipient rights.
- 18 Sec. 772. As used in this chapter:
- 19 (a) "Allegation" means an assertion of fact made by an
- 20 individual that has not yet been proved or supported with evidence.
- 21 (b) "Appeals committee" means a committee appointed by the
- 22 director or by the board of a community mental health services
- 23 program or licensed hospital under section 774.
- 24 (c) "Appellant" means the recipient, complainant, parent, or
- 25 guardian who appeals a recipient rights finding or a respondent's
- 26 action to an appeals committee.
- 27 (d) "Complainant" means an individual who files a rights

- 1 complaint.
- 2 (e) "Investigation" means a detailed inquiry into and
- 3 systematic examination of an allegation raised in a rights
- 4 complaint.
- 5 (f) "Mediation" means a private, informal dispute resolution
- 6 process in which an impartial, neutral individual, in a
- 7 confidential setting, assists parties in reaching their own
- 8 settlement of issues in a dispute and has no authoritative
- 9 decision-making power.
- 10 (q) "Office" means THE STATE OFFICE OF RECIPIENT RIGHTS
- 11 CREATED UNDER SECTION 754. all of the following:
- 12 (i) With respect to a rights complaint involving services
- 13 provided directly by or under contract with the department, unless
- 14 the provider is a community mental health services program, the
- 15 state office of recipient rights created under section 754.
- 17 provided directly by or under contract with a community mental
- 18 health services program, the office of recipient rights created by
- 19 a community mental health services program under section 755.
- 20 <u>(iii) With respect to a rights complaint involving services</u>
- 21 provided by a licensed hospital, the office of recipient rights
- 22 created by a licensed hospital under section 755.
- 23 (h) "Rights complaint" means a written or oral statement that
- 24 meets the requirements of section 776.
- 25 (i) "Respondent" means the service provider that had
- 26 responsibility at the time of an alleged rights violation for the
- 27 services with respect to which a rights complaint has been filed.

- Sec. 774. (1) The director **OF THE OFFICE OF RECIPIENT RIGHTS**
- 2 shall appoint an appeals committee consisting of 7 individuals,
- 3 none of whom shall be employed by the department or a community
- 4 mental health services program, to hear appeals of recipient rights
- 5 matters. The committee shall include at least 3 members of the
- 6 state recipient rights advisory committee and 2 primary consumers.
- 7 (2) The board of a community mental health services program
- 8 shall do 1 of the following:
- 9 (a) Appoint an appeals committee consisting of 7 individuals,
- 10 none of whom shall be employed by the department or a community
- 11 mental health services program, to hear appeals of recipients'
- 12 rights matters. The appeals committee shall include at least 3
- 13 members of the recipient rights advisory committee, 2 board
- 14 members, and 2 primary consumers. A member of the appeals committee
- 15 may represent more than 1 of these categories.
- 17 committee as the appeals committee.
- 18 (3) The governing body of a licensed hospital shall designate
- 19 the appeals committee of the local community mental health services
- 20 program to hear an appeal of a decision on a recipient rights
- 21 matter brought by or on behalf of a recipient of that community
- 22 mental health services program.
- 23 (4) The governing body of a licensed hospital shall, do 1 of
- 24 the following with respect to an appeal of a decision on a
- 25 recipient rights matter brought by or on behalf of an individual
- 26 who is not a recipient of a community mental health services
- 27 program:

- 1 (a) Appoint an appeals committee consisting of 7 members, none
- 2 of whom shall be employed by the department or a community mental
- 3 health services program, 2 of whom shall be primary consumers and 2
- 4 of whom shall be community members.
- 5 (b) By BY agreement with the department STATE OFFICE OF
- 6 RECIPIENT RIGHTS, designate the appeals committee appointed by the
- 7 department to hear appeals of rights complaints brought against the
- 8 licensed hospital ON BEHALF OF AN INDIVIDUAL WHO IS NOT A RECIPIENT
- 9 OF MENTAL HEALTH SERVICES PROVIDED BY A COMMUNITY MENTAL HEALTH
- 10 SERVICES PROGRAM.
- 11 (5) An appeals committee appointed under this section may
- 12 request consultation and technical assistance from the department
- 13 STATE OFFICE OF RECIPIENT RIGHTS.
- 14 (6) A member of an appeals committee who has a personal or
- 15 professional relationship with an individual involved in an appeal
- 16 shall abstain from participating in that appeal as a member of the
- 17 committee.
- 18 Sec. 776. (1) A recipient, or another individual on behalf of
- 19 a recipient, may file a rights complaint with the office alleging a
- 20 violation of this act or rules promulgated under this act.
- 21 (2) A rights complaint shall contain all of the following
- 22 information:
- 23 (a) A statement of the allegations that give rise to the
- 24 dispute.
- 25 (b) A statement of the right or rights that may have been
- 26 violated.
- (c) The outcome that the complainant is seeking as a

- 1 resolution to the complaint.
- 2 (3) Each rights complaint shall be recorded upon receipt by
- 3 the office, and acknowledgment of the recording shall be sent along
- 4 with a copy of the complaint to the complainant within 5 business
- 5 days.
- 6 (4) Within 5 business days after the office receives a
- 7 complaint, it shall notify the complainant if it determines that no
- 8 investigation of the rights complaint is warranted.
- 9 (5) The office shall assist the recipient or other individual
- 10 with the complaint process. The office shall advise the recipient
- 11 or other individual that there are advocacy organizations available
- 12 to assist in preparation of a written rights complaint and shall
- 13 offer to refer the recipient or other individual to those
- 14 organizations. In the absence of assistance from an advocacy
- 15 organization, the office shall assist in preparing a written rights
- 16 complaint. The office shall inform the recipient or other
- 17 individual of the option of mediation under section 786.
- 18 (6) If a rights complaint has been filed regarding the conduct
- 19 of the executive director, the rights investigation shall be
- 20 conducted by the office of another community mental health services
- 21 program or by the state office of recipient rights as decided by
- 22 the board.
- 23 Sec. 780. (1) If it has been determined through investigation
- 24 that a right has been violated, the respondent shall take
- 25 appropriate remedial action that meets all of the following
- 26 requirements:
- 27 (a) Corrects or provides a remedy for the rights violations.

- 1 (b) Is implemented in a timely manner.
- 2 (c) Attempts to prevent a recurrence of the rights violation.
- 3 (2) The action shall be documented and made part of the record
- 4 maintained by the office.
- 5 (3) IF THE APPROPRIATE REMEDIAL ACTION IS NOT IMPLEMENTED, THE
- 6 RESPONDENT SHALL PAY A FINE THAT IS EQUAL TO 10% OF ITS
- 7 ADMINISTRATIVE BUDGET TO A FUND TO BE CREATED BY THE DEPARTMENT
- 8 DIRECTOR TO ASSIST RECIPIENTS WITH COMMUNITY PLACEMENT AND
- 9 INDEPENDENT LIVING.
- Sec. 784. (1) Not later than 45 days after receipt of the
- 11 summary report under section 782, the complainant may file a
- 12 written appeal with the appeals committee with jurisdiction over
- 13 the office of recipient rights that issued the summary report.
- 14 (2) An appeal under subsection (1) shall be based on 1 of the
- 15 following grounds:
- 16 (a) The investigative findings of the office are not
- 17 consistent with the facts or with law, rules, policies, or
- 18 quidelines.
- 19 (b) The action taken or plan of action proposed by the
- 20 respondent does not provide an adequate remedy.
- 21 (c) An investigation was not initiated or completed on a
- 22 timely basis.
- 23 (3) The office shall advise the complainant that there are
- 24 advocacy organizations available to assist the complainant in
- 25 preparing the written appeal and shall offer to refer the
- 26 complainant to those organizations. In the absence of assistance
- 27 from an advocacy organization, the office shall assist the

- 1 complainant in meeting the procedural requirements of a written
- 2 appeal. The office shall also inform the complainant of the option
- 3 of mediation under section 786.
- 4 (4) Within 5 business days after receipt of the written
- 5 appeal, members of the appeals committee shall review the appeal to
- 6 determine whether it meets the criteria set forth in subsection
- 7 (2). If the appeal is denied because the criteria in subsection (2)
- 8 were not met, the complainant shall be notified in writing. If the
- 9 appeal is accepted, written notice shall be provided to the
- 10 complainant and a copy of the appeal shall be provided to the
- 11 respondent and the responsible mental health agency.
- 12 (5) Within 30 days after receipt of a written appeal, the
- 13 appeals committee shall meet and review the facts as stated in all
- 14 complaint investigation documents and shall do 1 of the following:
- 15 (a) Uphold the investigative findings of the LOCAL office OF
- 16 RECIPIENT RIGHTS and the action taken or plan of action proposed by
- 17 the respondent.
- 18 (b) Return the investigation to the ANOTHER office OF
- 19 RECIPIENT RIGHTS and request that it be reopened or
- 20 reinvestigated. THE INVESTIGATION BE INVESTIGATED BY ANOTHER RIGHTS
- 21 ADVISOR OUTSIDE OF THE SERVICE AREA OF THE COMPLAINANT.
- 22 (c) Uphold the investigative findings of the LOCAL office OF
- 23 RECIPIENT RIGHTS but recommend that the respondent take additional
- 24 or different action to remedy the violation.
- 25 (d) If the responsible mental health agency is a community
- 26 mental health services program or a licensed hospital, recommend
- 27 that the board of the community mental health services program or

- 1 the governing board of the licensed hospital request an external
- 2 investigation by the state office of recipient rights.
- 3 (6) The appeals committee shall document its decision in
- 4 writing. Within 10 working days after reaching its decision, it
- 5 shall provide copies of the decision to the respondent, appellant,
- 6 recipient if different than the appellant, the recipient's guardian
- 7 if a guardian has been appointed, the responsible mental health
- 8 agency, and the office.
- 9 Sec. 786. (1) Within 45-30 days after receiving written notice
- 10 of the decision of an appeals committee under section 784(5), THE
- 11 SUMMARY REPORT CONDUCTED BY AN OUTSIDE RIGHTS ADVISOR UNDER SECTION
- 12 784(5)(B), the appellant may file a written appeal with the
- 13 department STATE APPEALS COMMITTEE. The appeal shall be based on
- 14 the record established in the previous RELATED appeal WITH THE
- 15 LOCAL OFFICE OF RECIPIENT RIGHTS, and on the allegation that the
- 16 investigative REINVESTIGATIVE findings of the local office of
- 17 recipient rights are not consistent with the facts or with law,
- 18 rules, policies, or guidelines.
- 19 (2) Upon receipt of an appeal under subsection (1), the
- 20 department STATE APPEALS COMMITTEE shall give written notice of
- 21 receipt of the appeal to the appellant, respondent, local office
- 22 AND OUTSIDE OFFICES of recipient rights holding the record of the
- 23 complaint, and the responsible mental health agency. The
- 24 respondent, local office OFFICES of recipient rights holding the
- 25 record of the complaint, and the responsible mental health agency
- 26 shall ensure that the department STATE APPEALS COMMITTEE has access
- 27 to all necessary documentation and other evidence cited in the

- 1 complaint.
- 2 (3) The department STATE APPEALS COMMITTEE shall review the
- 3 record based on the allegation described in subsection (1). The
- 4 department STATE APPEALS COMMITTEE shall not consider additional
- 5 evidence or information that was not available during the appeal
- 6 under section 784. , although the department may return the matter
- 7 to the board or the governing body of the licensed hospital
- 8 requesting an additional investigation.
- 9 (4) Within 30—14 days after receiving the appeal, the
- 10 department STATE APPEALS COMMITTEE shall review the appeal and do 1
- 11 of the following:
- 12 (a) Affirm the decision of the appeals committee. FINDINGS OF
- 13 THE REINVESTIGATION.
- 14 (b) Return the matter to the board or the governing body of
- 15 the licensed hospital with instruction for additional investigation
- 16 and consideration. RECOMMEND MEDIATION AS DESCRIBED IN SECTION 788.
- 17 (5) The department—STATE APPEALS COMMITTEE shall provide
- 18 copies of its action to the respondent, appellant, recipient if
- 19 different than the appellant, the recipient's guardian if a
- 20 guardian has been appointed, the board of the community mental
- 21 health services program or the governing body of the licensed
- 22 hospital, and the local office of recipient rights holding the
- 23 record.
- 24 (6) WITHIN 14 DAYS OF RECEIVING THE STATE APPEALS COMMITTEE'S
- 25 DECISION, THE APPELLANT MAY REQUEST MEDIATION BY SUBMITTING A
- 26 REQUEST TO THE OFFICE OF THE STATE EMPLOYER. WITHIN 30 DAYS OF
- 27 RECEIVING THE REQUEST, THE OFFICE OF THE STATE EMPLOYER SHALL

- 1 COORDINATE A MEETING WITH THE APPELLANT AND THE RESPONSIBLE MENTAL
- 2 HEALTH AGENCY, HOSPITAL, OR LICENSED FACILITY AND ISSUE A REPORT OF
- 3 THE OUTCOME OF THE MEETING.
- 4 Enacting section 1. Sections 753 and 755 of the mental health
- 5 code, 1974 PA 258, MCL 330.1753 and 330.1755, are repealed.