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SENATE BILL No. 1255

April 13, 2010, Introduced by Senators THOMAS, BIRKHOLZ and STAMAS and referred to the Committee on Families and Human Services.

A bill to amend 1974 PA 258, entitled

"Mental health code,"

by amending section 134a (MCL 330.1134a), as amended by 2008 PA 446.

THE PEOPLE OF THE STATE OF MICHIGAN ENACT:

- Sec. 134a. (1) Except as otherwise provided in subsection (2)
- 2 SECTION 20173A OF THE PUBLIC HEALTH CODE, 1978 PA 368, MCL
- 3 333.20173A, a psychiatric facility or intermediate care facility
- 4 for people with mental retardation shall not employ, independently
- 5 contract with, or grant clinical privileges to an individual who
- 6 regularly has direct access to or provides direct services to
 - patients or residents in the psychiatric facility or intermediate

- 1 care facility for people with mental retardation after April 1,
- 2 2006 if the individual satisfies 1 or more of the following: UNTIL
- 3 THE PSYCHIATRIC FACILITY OR INTERMEDIATE CARE FACILITY FOR PEOPLE
- 4 WITH MENTAL RETARDATION COMPLIES WITH SECTION 20173A OF THE PUBLIC
- 5 HEALTH CODE, 1978 PA 368, MCL 333.20173A, AND CONDUCTS A CRIMINAL
- 6 HISTORY CHECK AS REQUIRED UNDER THAT SECTION.
- 7 (a) Has been convicted of a relevant crime described under 42
- 8 USC 1320a-7.
- 9 (b) Has been convicted of any of the following felonies, an
- 10 attempt or conspiracy to commit any of those felonies, or any other
- 11 state or federal crime that is similar to the felonies described in
- 12 this subdivision, other than a felony for a relevant crime
- 13 described under 42 USC 1320a-7, unless 15 years have lapsed since
- 14 the individual completed all of the terms and conditions of his or
- 15 her sentencing, parole, and probation for that conviction prior to
- 16 the date of application for employment or clinical privileges or
- 17 the date of the execution of the independent contract:
- 18 (i) A felony that involves the intent to cause death or serious
- 19 impairment of a body function, that results in death or serious
- 20 impairment of a body function, that involves the use of force or
- 21 violence, or that involves the threat of the use of force or
- 22 violence.
- 24 (iii) A felony under chapter XXA of the Michigan penal code,
- 25 1931 PA 328, MCL 750.145m to 750.145r.
- 26 (iv) A felony involving criminal sexual conduct.
- 27 (v) A felony involving abuse or neglect.

(vi) A felony involving the use of a firearm or dangerous 1 2 weapon. (vii) A felony involving the diversion or adulteration of a 3 prescription drug or other medications. 4 (c) Has been convicted of a felony or an attempt or conspiracy 5 to commit a felony, other than a felony for a relevant crime 6 described under 42 USC 1320a-7 or a felony described under 7 subdivision (b), unless 10 years have lapsed since the individual 8 completed all of the terms and conditions of his or her sentencing, 9 parole, and probation for that conviction prior to the date of 10 11 application for employment or clinical privileges or the date of 12 the execution of the independent contract. (d) Has been convicted of any of the following misdemeanors, 13 other than a misdemeanor for a relevant crime described under 42 14 USC 1320a-7, or a state or federal crime that is substantially 15 similar to the misdemeanors described in this subdivision, within 16 the 10 years immediately preceding the date of application for 17 employment or clinical privileges or the date of the execution of 18 19 the independent contract: (i) A misdemeanor involving the use of a firearm or dangerous 20 weapon with the intent to injure, the use of a firearm or dangerous 21 weapon that results in a personal injury, or a misdemeanor 22 involving the use of force or violence or the threat of the use of 23 24 force or violence. (ii) A misdemeanor under chapter XXA of the Michigan penal 25

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— (iii) A misdemeanor involving criminal sexual conduct.

code, 1931 PA 328, MCL 750.145m to 750.145r.

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- 1 (iv) A misdemeanor involving cruelty or torture unless
- 2 otherwise provided under subdivision (e).
- 3 (v) A misdemeanor involving abuse or neglect.
- 4 (e) Has been convicted of any of the following misdemeanors,
- 5 other than a misdemeanor for a relevant crime described under 42
- 6 USC 1320a-7, or a state or federal crime that is substantially
- 7 similar to the misdemeanors described in this subdivision, within
- 8 the 5 years immediately preceding the date of application for
- 9 employment or clinical privileges or the date of the execution of
- 10 the independent contract:
- 11 (i) A misdemeanor involving cruelty if committed by an
- 12 individual who is less than 16 years of age.
- 14 (iii) A misdemeanor involving embezzlement.
- 16 violation causing death.
- 17 (v) A misdemeanor involving largery unless otherwise provided
- 18 under subdivision (q).
- 19 (vi) A misdemeanor of retail fraud in the second degree unless
- 20 otherwise provided under subdivision (g).
- 21 (vii) Any other misdemeanor involving assault, fraud, theft, or
- 22 the possession or delivery of a controlled substance unless
- 23 otherwise provided under subdivision (d), (f), or (g).
- 24 (f) Has been convicted of any of the following misdemeanors,
- 25 other than a misdemeanor for a relevant crime described under 42
- 26 USC 1320a-7, or a state or federal crime that is substantially
- 27 similar to the misdemeanors described in this subdivision, within

- 1 the 3 years immediately preceding the date of application for
- 2 employment or clinical privileges or the date of the execution of
- 3 the independent contract:
- 4 (i) A misdemeanor for assault if there was no use of a firearm
- 5 or dangerous weapon and no intent to commit murder or inflict great
- 6 bodily injury.
- 7 (ii) A misdemeanor of retail fraud in the third degree unless
- 8 otherwise provided under subdivision (g).
- 9 (iii) A misdemeanor under part 74 of the public health code,
- 10 1978 PA 368, MCL 333.7401 to 333.7461, unless otherwise provided
- 11 under subdivision (g).
- 12 (g) Has been convicted of any of the following misdemeanors,
- 13 other than a misdemeanor for a relevant crime described under 42
- 14 USC 1320a-7, or a state or federal crime that is substantially
- 15 similar to the misdemeanors described in this subdivision, within
- 16 the year immediately preceding the date of application for
- 17 employment or clinical privileges or the date of the execution of
- 18 the independent contract:
- 19 (i) A misdemeanor under part 74 of the public health code, 1978
- 20 PA 368, MCL 333.7401 to 333.7461, if the individual, at the time of
- 21 conviction, is under the age of 18.
- 22 (ii) A misdemeanor for larceny or retail fraud in the second or
- 23 third degree if the individual, at the time of conviction, is under
- 24 the age of 16.
- 25 (h) Is the subject of an order or disposition under section
- 26 16b of chapter IX of the code of criminal procedure, 1927 PA 175,
- 27 MCL 769.16b.

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(i) Has been the subject of a substantiated finding of
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    neglect, abuse, or misappropriation of property by a state or
    federal agency according to an investigation conducted in
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    accordance with 42 USC 1395i-3 or 1396r.
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        (2) Except as otherwise provided in subsection (5), a
    psychiatric facility or intermediate care facility for people with
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    mental retardation shall not employ, independently contract with,
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    or grant privileges to an individual who regularly has direct
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    access to or provides direct services to patients or residents in
    the psychiatric facility or intermediate care facility for people
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    with mental retardation after April 1, 2006 until the psychiatric
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    facility or intermediate care facility for people with mental
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    retardation conducts a criminal history check in compliance with
    subsection (4). This subsection and subsection (1) do not apply to
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    any of the following:
        (a) An individual who is employed by, under independent
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    contract to, or granted clinical privileges in a psychiatric
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    facility or intermediate care facility for people with mental
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    retardation before April 1, 2006. By April 1, 2011, an individual
    who is exempt under this subdivision shall provide the department
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    of state police with a set of fingerprints and the department of
    state police shall input those fingerprints into the automated
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    fingerprint identification system database established under
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    subsection (12). An individual who is exempt under this subdivision
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    is not limited to working within the psychiatric facility or
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    intermediate care facility for people with mental retardation with
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    which he or she is employed by, under independent contract to, or
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granted clinical privileges on April 1, 2006. That individual may 1 transfer to another psychiatric facility or intermediate care 2 facility for people with mental retardation that is under the same 3 4 ownership with which he or she was employed, under contract, or granted privileges. If that individual wishes to transfer to 5 another psychiatric facility or intermediate care facility for 6 people with mental retardation that is not under the same 7 ownership, he or she may do so provided that a criminal history 8 check is conducted by the new psychiatric facility or intermediate 9 care facility for people with mental retardation in accordance with 10 11 subsection (4). If an individual who is exempt under this 12 subdivision is subsequently convicted of a crime described under subsection (1)(a) through (g) or found to be the subject of a 13 substantiated finding described under subsection (1)(i) or an order 14 or disposition described under subsection (1) (h), or is found to 15 have been convicted of a relevant crime described under subsection 16 17 (1) (a), then he or she is no longer exempt and shall be terminated from employment or denied employment. 18 19 (b) An individual who is an independent contractor with a psychiatric facility or intermediate care facility for people with 20 mental retardation if the services for which he or she is 21 contracted is not directly related to the provision of services to 22 a patient or resident or if the services for which he or she is 23 24 contracted allows for direct access to the patients or residents 25 but is not performed on an ongoing basis. This exception includes, 26 but is not limited to, an individual who independently contracts 27 with the psychiatric facility or intermediate care facility for

people with mental retardation to provide utility, maintenance, 1 2 construction, or communications services. (3) An individual who applies for employment either as an 3 employee or as an independent contractor or for clinical privileges 4 with a psychiatric facility or intermediate care facility for 5 people with mental retardation and has received a good faith offer 6 of employment, an independent contract, or clinical privileges from 7 the psychiatric facility or intermediate care facility for people 8 with mental retardation shall give written consent at the time of 9 application for the department of state police to conduct an 10 11 initial criminal history check under this section, along with 12 identification acceptable to the department of state police. 13 (4) Upon receipt of the written consent and identification 14 required under subsection (3), a psychiatric facility or intermediate care facility for people with mental retardation that 15 has made a good faith offer of employment or an independent 16 contract or clinical privileges to the applicant shall make a 17 request to the department of state police to conduct a criminal 18 19 history check on the applicant, to input the applicant's fingerprints into the automated fingerprint identification system 20 21 database, and to forward the applicant's fingerprints to the federal bureau of investigation. The department of state police 22 shall request the federal bureau of investigation to make a 23 24 determination of the existence of any national criminal history pertaining to the applicant. The applicant shall provide the 25 26 department of state police with a set of fingerprints. The request shall be made in a manner prescribed by the department of state 27

police. The psychiatric facility or intermediate care facility for 1 people with mental retardation shall make the written consent and 2 identification available to the department of state police. The 3 4 psychiatric facility or intermediate care facility for people with mental retardation shall make a request to the relevant licensing 5 or regulatory department to conduct a check of all relevant 6 registries established under federal and state law and regulations 7 for any substantiated findings of abuse, neglect, or 8 misappropriation of property. If the department of state police or 9 the federal bureau of investigation charges a fee for conducting 10 11 the initial criminal history check, the psychiatric facility or 12 intermediate care facility for people with mental retardation shall pay the cost of the charge. The psychiatric facility or 13 14 intermediate care facility for people with mental retardation shall not seek reimbursement for a charge imposed by the department of 15 state police or the federal bureau of investigation from the 16 17 individual who is the subject of the initial criminal history check. A prospective employee or a prospective independent 18 19 contractor covered under this section may not be charged for the 20 cost of an initial criminal history check required under this 21 section. The department of state police shall conduct a criminal 22 history check on the applicant named in the request. The department 23 of state police shall provide the department with a written report 24 of the criminal history check conducted under this subsection if 25 the criminal history check contains any criminal history record 26 information. The report shall contain any criminal history record 27 information on the applicant maintained by the department of state

police. The department of state police shall provide the results of 1 the federal bureau of investigation determination to the department 2 3 within 30 days after the request is made. If the requesting 4 psychiatric facility or intermediate care facility for people with mental retardation is not a state department or agency and if a 5 criminal conviction is disclosed on the written report of the 6 criminal history check or the federal bureau of investigation 7 determination, the department shall notify the psychiatric facility 8 or intermediate care facility for people with mental retardation 9 and the applicant in writing of the type of crime disclosed on the 10 11 written report of the criminal history check or the federal bureau 12 of investigation determination without disclosing the details of 13 the crime. Any charges imposed by the department of state police or the federal bureau of investigation for conducting an initial 14 criminal history check or making a determination under this 15 subsection shall be paid in the manner required under this 16 subsection. The notice shall include a statement that the applicant 17 18 has a right to appeal a decision made by the psychiatric facility 19 or intermediate care facility for people with mental retardation regarding his or her employment eligibility based on the criminal 20 background check. The notice shall also include information 21 regarding where to file and describing the appellate procedures 22 established under section 20173b of the public health code, 1978 PA 23 24 368, MCL 333.20173b. 25 (5) If a psychiatric facility or intermediate care facility for people with mental retardation determines it necessary to 26

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employ or grant clinical privileges to an applicant before

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- 1 receiving the results of the applicant's criminal history check
- 2 under this section, the psychiatric facility or intermediate care
- 3 facility for people with mental retardation may conditionally
- 4 employ or grant conditional clinical privileges to the individual
- 5 if all of the following apply:
- 6 (a) The psychiatric facility or intermediate care facility for
- 7 people with mental retardation requests the criminal history check
- 8 under this section upon conditionally employing or conditionally
- 9 granting clinical privileges to the individual.
- 10 (b) The individual signs a statement in writing that indicates
- 11 all of the following:
- 12 (i) That he or she has not been convicted of 1 or more of the
- 13 crimes that are described in subsection (1)(a) through (g) within
- 14 the applicable time period prescribed by each subdivision
- 15 respectively.
- 17 disposition described in subsection (1)(h).
- 18 (iii) That he or she has not been the subject of a substantiated
- 19 finding as described in subsection (1)(i).
- 20 (iv) The individual agrees that, if the information in the
- 21 criminal history check conducted under this section does not
- 22 confirm the individual's statements under subparagraphs (i) through
- 23 (iii), his or her employment or clinical privileges will be
- 24 terminated by the psychiatric facility or intermediate care
- 25 facility for people with mental retardation as required under
- 26 subsection (1) unless and until the individual appeals and can
- 27 prove that the information is incorrect.

(v) That he or she understands the conditions described in 1 subparagraphs (i) through (iv) that result in the termination of his 2 or her employment or clinical privileges and that those conditions 3 4 are good cause for termination. (6) The department shall develop and distribute a model form 5 for the statement required under subsection (5)(b). The department 6 shall make the model form available to psychiatric facilities or 7 intermediate care facilities for people with mental retardation 8 9 subject to this section upon request at no charge. (7) If an individual is employed as a conditional employee or 10 11 is granted conditional clinical privileges under subsection (5), 12 and the report described in subsection (4) does not confirm the individual's statement under subsection (5)(b)(i) through (iii), the 13 psychiatric facility or intermediate care facility for people with 14 mental retardation shall terminate the individual's employment or 15 clinical privileges as required by subsection (1). 16 (8) An individual who knowingly provides false information 17 regarding his or her identity, criminal convictions, or 18 19 substantiated findings on a statement described in subsection (5) (b) (i) through (iii) is guilty of a misdemeanor punishable by 20 21 imprisonment for not more than 93 days or a fine of not more than \$500.00, or both. 22 (9) A psychiatric facility or intermediate care facility for 23 24 people with mental retardation shall use criminal history record 25 information obtained under subsection (4) only for the purpose of 26 evaluating an applicant's qualifications for employment, an 27 independent contract, or clinical privileges in the position for

which he or she has applied and for the purposes of subsections (5) 1 and (7). A psychiatric facility or intermediate care facility for 2 people with mental retardation or an employee of the psychiatric 3 4 facility or intermediate care facility for people with mental retardation shall not disclose criminal history record information 5 obtained under subsection (4) to a person who is not directly 6 involved in evaluating the applicant's qualifications for 7 employment, an independent contract, or clinical privileges. An 8 individual who knowingly uses or disseminates the criminal history 9 record information obtained under subsection (4) in violation of 10 11 this subsection is guilty of a misdemeanor punishable by 12 imprisonment for not more than 93 days or a fine of not more than 13 \$1,000.00, or both. Upon written request from another psychiatric 14 facility or intermediate care facility for people with mental retardation, health facility or agency, or adult foster care 15 facility that is considering employing, independently contracting 16 with, or granting clinical privileges to an individual, a 17 psychiatric facility or intermediate care facility for people with 18 19 mental retardation that has obtained criminal history record information under this section on that individual shall, with the 20 consent of the applicant, share the information with the requesting 21 psychiatric facility or intermediate care facility for people with 22 mental retardation, health facility or agency, or adult foster care 23 facility. Except for a knowing or intentional release of false 24 25 information, a psychiatric facility or intermediate care facility

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for people with mental retardation has no liability in connection

with a criminal background check conducted under this section or

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- 1 the release of criminal history record information under this
- 2 subsection.
- 3 (10) As a condition of continued employment, each employee,
- 4 independent contractor, or individual granted clinical privileges
- 5 shall do each of the following:
- 6 (a) Agree in writing to report to the psychiatric facility or
- 7 intermediate care facility for people with mental retardation
- 8 immediately upon being arraigned for 1 or more of the criminal
- 9 offenses listed in subsection (1)(a) through (g), upon being
- 10 convicted of 1 or more of the criminal offenses listed in
- 11 subsection (1)(a) through (g), upon becoming the subject of an
- 12 order or disposition described under subsection (1)(h), and upon
- 13 being the subject of a substantiated finding of neglect, abuse, or
- 14 misappropriation of property as described in subsection (1)(i).
- 15 Reporting of an arraignment under this subdivision is not cause for
- 16 termination or denial of employment.
- 17 (b) If a set of fingerprints is not already on file with the
- 18 department of state police, provide the department of state police
- 19 with a set of fingerprints.
- 20 (11) In addition to sanctions set forth in this act, a
- 21 licensee, owner, administrator, or operator of a psychiatric
- 22 facility or intermediate care facility for people with mental
- 23 retardation who knowingly and willfully fails to conduct the
- 24 criminal history checks as required under this section is guilty of
- 25 a misdemeanor punishable by imprisonment for not more than 1 year
- or a fine of not more than \$5,000.00, or both.
- 27 (12) In collaboration with the department of state police, the

department of information technology shall establish an automated 1 2 fingerprint identification system database that would allow the department of state police to store and maintain all fingerprints 3 4 submitted under this section and would provide for an automatic notification if and when a subsequent criminal arrest fingerprint 5 6 card submitted into the system matches a set of fingerprints previously submitted in accordance with this section. Upon such 7 notification, the department of state police shall immediately 8 9 notify the department and the department shall immediately contact the respective psychiatric facility or intermediate care facility 10 11 for people with mental retardation with which that individual is 12 associated. Information in the database established under this subsection is confidential, is not subject to disclosure under the 13 freedom of information act, 1976 PA 442, MCL 15.231 to 15.246, and 14 shall not be disclosed to any person except for purposes of this 15 16 act or for law enforcement purposes. 17 (13) April 1, 2009, the department shall submit a written report to the legislature outlining a plan to cover the costs of 18 19 the criminal history checks required under this section if federal funding is no longer available or is inadequate to cover those 20 21 costs. 22 (14) The department and the department of state police shall 23 maintain an electronic web-based system to assist those psychiatric facilities or intermediate care facilities for people with mental 24 25 retardation required to check relevant registries and conduct 26 criminal history checks of its employees and independent contractors and to provide for an automated notice to those 27

- 1 psychiatric facilities or intermediate care facilities for people
- 2 with mental retardation for those individuals inputted in the
- 3 system who, since the initial check, have been convicted of a
- 4 disqualifying offense or have been the subject of a substantiated
- 5 finding of abuse, neglect, or misappropriation of property.
- 6 (15) As used in this section:
- 7 (a) "Adult foster care facility" means an adult foster care
- 8 facility licensed under the adult foster care facility licensing
- 9 act, 1979 PA 218, MCL 400.701 to 400.737.
- 10 (b) "Direct access" means access to a patient or resident or
- 11 to a patient's or resident's property, financial information,
- 12 medical records, treatment information, or any other identifying
- 13 information.
- 14 (c) "Health facility or agency" means a health facility or
- 15 agency that is a nursing home, county medical care facility,
- 16 hospice, hospital that provides swing bed services, home for the
- 17 aged, or home health agency and licensed as required under article
- 18 17 of the public health code, 1978 PA 368, MCL 333.20101 to
- **19** 333.22260.
- 20 (d) "Home health agency" means a person certified by medicare
- 21 whose business is to provide to individuals in their places of
- 22 residence other than in a hospital, nursing home, or county medical
- 23 care facility 1 or more of the following services: nursing
- 24 services, therapeutic services, social work services, homemaker
- 25 services, home health aide services, or other related services.
- 26 (e) "Independent contract" means a contract entered into by a
- 27 health facility or agency with an individual who provides the

contracted services independently or a contract entered into by a 1 2 health facility or agency with an organization or agency that employs or contracts with an individual after complying with the 3 4 requirements of this section to provide the contracted services to the health facility or agency on behalf of the organization or 5 6 agency. (f) "Medicare" means benefits under the federal medicare 7 program established under title XVIII of the social security act, 8 42 USC 1395 to 1395hhh. 9 Enacting section 1. This amendatory act does not take effect 10 11 unless all of the following bills of the 95th Legislature are 12 enacted into law: (a) Senate Bill No. 1253. 13 14

(b) Senate Bill No. 1254.

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